



ELECTION COMMISSION OF INDIA

GENERAL ELECTION 2014

COMPENDIUM
of **INSTRUCTIONS**



ELECTION COMMISSION OF INDIA
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INSTRUCTION SI. No. 1

Election Commission's Letter No. 464/INST/2008-PLN-1 Dated: 13.05.2008 addressed to the Chief Electoral Officers of All States and Union Territories.

Subject: Advance preparation for bye-polls in the State.

I am directed to say that bye-elections are held from time to time whenever seats are falling vacant in the Lok Sabha as well as In Legislative Assemblies due to various reasons. While future general elections In the States will be held on the basis of newly delimited constituencies, during the currency of the life of the present Houses (Be it Lok Sabha or the Legislative Assembly of the State concerned), any bye-election held for that House has to be conducted on the basis of the rolls of the pre delimited (old constituency) constituencies. For this purpose, there is a need to keep the electoral roll of the pre delimited constituency intact for use, if need arises.

In this context. The Commission directs that the CEOs will make the following preparative arrangement whenever a bye-election is likely to be held in any State:-

1. As soon as the information received about death, resignation or disqualification of any sitting member that causes vacancy of a seat In the House, the CEO shall pursue the issue and find out whether the Lok Sabha/Legislative Assembly has notified the vacancy, whether any Court in the context of disqualification order passed etc., and ascertain the vacancy position and inform the Zonal Secretary immediately with a copy to the Secretary, Planning Section in the Election Commission of India.
2. The bye-election will have to be held before the expiry of six months from the date of vacancy. The Election Commission decides the date keeping various aspects in mind. The CEOs instead of waiting for the formal announcement of bye-election schedule, shall initiate advance preparatory measures to ensure smooth conduct of poll. This includes preparation of electoral roll as per the existing guidelines; assessment, of the presence of RO/ARO, vacancy, if any, of key posts connected with bye-election; past data about the constituency covering the previous election; the likely requirement of CPF of SAP

in connection with the conduct of bye poll etc. The date of election schedule in the meanwhile.

3. Since the election roll for the old constituency will have to be reconstructed on the basis of the conversion table from the newly delimited constituencies, the CEO concerned will have to start this activity without losing any time immediately as and when a vacancy comes to his notice. For the purpose CEO will keep a constant watch on the vacancy position and shall not wait for formal notification of the vacancy for this activity to start. The electoral roll for the old constituency shall have to be completed minimum one week before the notification of the bye-election as per the existing practice.

The Commission desires strict adherence to this instruction.

INSTRUCTION SI. No. 2

Election Commission's Letter No. 464/INST/2013-EPS Dated: 8th August, 2013 addressed to the Chief Electoral Officers of All States and Union Territories.

Subject: Vacancy in the House of People/Legislative Assemblies- Regarding.
Sir/Madam ,

You are aware that as per the provisions of Sections 147,149,150 and 151 of the Representation of the People Act, 1951, a bye-election has to be held to fill a casual vacancy in either House of Parliament or of a State Legislature caused by the resignation, death, etc. of a member of that House. Further under Section 151(A) of the Representation of the People Act, 1951, a bye-election for filling any casual vacancy has to be held within a period of six months from the date of the occurrence of the vacancy, if the remainder of the term of the house/Assembly is not less than one year. Thus, whenever any vacancy occurs, the Commission initiates action to fill up the vacancy, provided no Election Petition is pending in respect of the said seat, in which apart from challenging the election of the returned candidate, an additional prayer has been made for declaring the petitioner himself or any other candidate as duly elected [as per the decision of the Supreme Court in Telengana Rastra Samithi Case{2011(1)SCJ13}].

2. In the recent past, instances have come to the notice of the Commission where some delay has been made in sending information of occurring of vacancies, which resulted in delay in holding of bye-elections.

3. In this regard, the Commission has directed that as soon as a casual vacancy in either Houses of Parliament or State Legislative Assembly comes to the notice of the Chief Electoral Officers, they should coordinate with the concerned authorities and send a copy of notification of such vacancy and position of Election Petition in respect of that seat, in the format given overleaf, immediately to the Commission.

Yours faithfully

Jaydeb Lahiri
Under Secretary

Format

Information of vacancy/vacancies occurred in HOP/LA

SL. No.	Name of the State	Term of House upto	No. and Name of Assembly/Parliamentary Constituency	Date of Vacancy (Copy of notification to be enclosed)	Reason of Vacancy	Whether Election Petition is pending in respect of the said AC/PC	If yes, whether further direction to declare the petitioner elected (Enclose details)	No. of polling stations	Remarks, if any

INSTRUCTION SI. No. 3

Election Commission's letter No. PS/AK/2005, dated 30.09.2005. addressed to the Chief Electoral Officer, Bihar

Subject : District Deployment Plan and the District Communication Plan to be brought to the notice of ROs, DEOs, SPs and Observers

The following points concerning the District Deployment Plan and the District Communication Plan may be brought to the notice of all ROs, DEOs, SPs and Observers so that they are squarely before them as they are in process of formulating these two plans: -

- (i) The deployment plan should be such that all polling booths are covered with effective static armed force and are backed up with proper mobile strike force. CPMF should be deployed on most sensitive booths followed by BMP and then DAP. In no circumstances CPMF shall be kept on reserve or used for any other purpose except with the prior approval of the CEO.
- (ii) The CPMF force will do area domination, flag marches, etc. in the district till poll day (-2) and will become static at polling booths assigned on day (-1) i.e. day prior to poll day.
- (iii) In Bihar it has been observed that polling party and static armed force party that are deployed to guard the polling booth move separately for reaching the assigned polling booth. During last election it was found that there was considerable lack of coordination between the two. The Commission has now decided that polling parties and the static armed force parties (to guard the polling booths) will merge at polling stations latest by 3.00 P.M. in naxal areas and 5.00 P.M. in non-naxal areas on the day prior to poll day. .
- (iv) It will be the responsibility of the concerned District Magistrate and concerned Superintendent of Police to ensure that polling parties and static armed force party reach polling booths in time on the day prior to the poll day.
- (v) In Bihar, Patrolling/Sector Magistrate distribute EVMs in the morning of the poll day to 4 to 5 polling booths under their charge. They shall be instructed not to hand over EVMs to the polling

parties if they find that static armed force party as per district deployment plan is not present at the polling booth. The polling for such booths shall take place alongwith other repolls.

- (vi) The Patrolling Magistrate should have the details of what kind of static force parties have been allocated to polling booths under his charge as per the district deployment plan.
- (vii) After handing over the EVMs to the polling booths, the Patrolling Magistrate must oscillate between all those booths throughout the day that are under their charge to monitor the polling process. They shall ensure that Presiding Officers fill up their diaries at regular intervals.
- (viii) On reaching a booth under his charge, the Patrolling Magistrate must get down from his vehicle, enter the booth, oversee the polling process that is going on and record his observations in the Presiding Officer's diary. In addition to his observations on the nature of polling, peaceful or otherwise, and any incident that might have taken place, he shall also record at every visit the number of electors who have cast their votes in that booth as per form 17A and as per EVM at the time of his visit. He must also ensure that no unauthorized persons are present in the booth.
- (ix) As per instructions from the Commission regarding district communication plan a landline connection, public or private, should be tagged to each polling booth from which communications including complaints regarding that booth shall flow to the control room. Presiding Officer / Patrolling/Sector/Zonal Magistrates may communicate all complaints/messages regarding the polling booth through this landline phone.
- (x) The Patrolling Magistrate, after visiting a booth each time, shall go to the designated telephone attached to that booth and convey his findings to the control room every time he visits the control room. If any complaint is received by him in respect of any polling booth under his charge, he shall enquire into the same and thereafter inform his findings to the district control room.
- (xi) The Patrolling Magistrates, therefore, shall move in a pre-determined manner from booth to booth and after inspection at

every booth, shall communicate over phone to the district/sub-division/ thana control room the situation prevailing there before moving to the next polling station.

- (xii) Where there is three-tier system of Magistrates monitoring the poll process, namely, Patrolling Magistrate, Sector Magistrate and Zonal Magistrate, the Sector Magistrate also shall move in a pre-determined manner from booth to booth under his charge and record his observations in the Presiding Officer's diary as has been contemplated for the Patrolling Magistrate. The third tier of Magistrates, namely, Zonal Magistrate shall function as strike force to be rushed to any place where there is information about considerable disruption of the poll process.
- (xiii) In the district control room, a separate register shall be maintained for every assembly constituency in the district. On the left hand page, the complaints received in respect of the polling booths will be logged in and on the opposite page, the instructions issued to field and response received from the field level on these complaints will be recorded. Besides this, any information received from any of the inspecting officers in the control room in respect of polling booths covered by them are also to be logged into these registers.
- (xiv) In respect of isolated booths located in jungle areas etc., it may be necessary to adopt some deviations from the standard methods adopted for the district deployment and district communication plans. For every such case, the deviations will have to be got ratified through CEO.
- (xv) The randomization, deployment of polling parties and correct preparation of EVMs for the poll shall be the responsibility of the concerned District Collector and officials below him.
- (xvi) The responsibility regarding timely operation of EVMs on the day of poll shall lie with Presiding Officer, Patrolling Magistrate and Sector Magistrate.
- (xvii) The deployment of forces within the district and at the polling booths as per the district deployment plan shall be the

responsibility of the concerned District Superintendent of Police and officers below him.

A copy of these instructions may be given to all ROs, DEOs, SPs and Observers immediately for strict compliance.

INSTRUCTION SI. No. 4

Election Commission's Letter No. 464/INST/2008-EPS Dated: 24th October, 2008 addressed to the Chief Electoral Officers of All States and Union Territories.

Subject:- Preparation of District Election Plan - Regarding

I am directed to state that the Commission has been issuing instructions regarding poll management from time to time. The past experience shows that having a proper and timely district election plan helps the administration in many ways to manage the elections effectively in free, fair and peaceful manner. Therefore, all officers connected with elections should familiarize/acquaint themselves with each measure to be taken at various stages of election process with reference to the Commission's existing instructions/directions and also updated provisions of the R.P.Act, 1951 & Rules made thereunder. More specifically, the District Election Officers should prepare a District Election Plan listing out detailed arrangements for the poll well in advance, particularly on the following items: -

1. District Profile:

Prepare a district profile giving the following -

1.1 Important Officials and their contact numbers.

1.2 Geography.

1.3 Demography.

1.4 Administrative Units (including constituencies).

1.5 General Law & Order, History of District -Constituency specific - to the extent possible.

1.6 Past Electoral offences - action taken against each of them and list of pending cases.

2. Elector Details :

2.1 Sex ratio :

Provide information in Format 1B & 1C and provide explanation as in footnote to the format 1B & 1C.

2.2 Voter – Population Ratio

Provide information in Form 2C and as explained in footnote of 2C, provide information for each of the constituency within the administrative jurisdiction of the DEO.

2.3 Inclusion and Deletion

Provide information in Format 3B and 3C

2.4 EPIC Coverage

Provide information in format 5B and 5C.

3. Polling Stations :

3.1 Basic details on polling stations e.g. constituency-wise no. of polling stations, nos. of polling locations, polling stations categorized by no. of Voters etc.

3.2 Special information on polling stations like list of polling station nos. accessible by vehicle, their distance from road head; list of polling stations without telephone lines (telephone nos. against each polling station).

3.3 Vulnerable Villages/Hamlets: Provide a list of vulnerable villages/Hamlets in Format 8. The vulnerable Hamlets/villages have to be identified in accordance with Commission's instructions on the subject.

4. Logistic Plan:

4.1 Polling personnel

Assess the manpower requirement at various levels for appointment as polling personnel like sector/zonal magistrate, presiding officer, polling officer etc. For the purpose, particulars of officers/officials working in the Central/State Govt. Offices, PSUs etc. based in the Districts have to be obtained and compiled in electronic database. Please provide the basic details category-wise in terms of number of eligible personnel available in district; no. of personnel (Deptt.-wise entered in electronic database) and no. of personnel actually required.

5. Movement Plan :

5.1 Sector Movement Plan

Draw a plan of movement of polling parties/security forces, sector offices indicating sectors covering polling booths/polling centres, with detailed route-chart with physical and time distance. Mention whether route is metalled or *kachcha*. Provide a sketch map of constituency showing the sector routes in different columns and Polling Station No. also marked thereon.

5.2 Requirements of vehicles etc.

Assess the requirement of vehicles (buses, LMVs and heavy vehicles) for movement of polling parties and security forces deployed on poll and counting duties.

6. Police Deployment Plan:

Assess the requirement of police personnel (in various ranks) for Sectoral movement in the district and to man polling booths/polling centres. Prepare the return journey plan for polled EVMs/polling material, their storage and Security plan till counting.

7. Communication Plan:

Prepare list of landline telephones/cellular connections available in/nearby each of the polling station alongwith particulars of persons to be contacted. Also prepare list of polling personnel/sector/zonal magistrates with their cellular numbers. Plan mode of communication such as VHF/HF linkage to ensure connectivity with each polling booth on the day of poll where no landline/mobile telephone connection is available. Provide details thereof.

8. Counting Plan:

Identify the place(s) for storage of EVMs and polling material and also for counting of votes with reference to standing guidelines prescribed by the Commission. Furnish the proposal through Chief Electoral Officer for the counting centres so identified for the Commission's approval well in advance. Assess counting staff availability, detail other logistics arrangements for counting, media arrangements and security arrangements for counting centre.

9. Provision for Polling Staff Welfare

There shall be a separate chapter in the election arrangement booklet prepared by DEO/RO dedicated to the polling staff welfare. In this connection, attention is invited to the detailed guidelines contained in Commission's letter of even no. dated 12th September 2008. The receipt of this letter may please be acknowledged with the confirmation that the relevant instructions have been issued to all the concerned officers. It should be clarified that the above are only indicative topics/items for the detailed district election plan. A copy of instructions/directions so issued in this behalf may also be endorsed to the Commission for its information and record.

Election Plan For a Constituency

Step 1

Collect information on total number of polling stations (parts) in a constituency.

Step 2

Organize and classify them as 'rural area polling stations' and 'urban area polling stations'. For classifying 'urban area polling stations' take the 7 corporation area, all the district head quarters and established city like towns for example Morvi, etc. Very small towns with semi-rural characteristics should not be taken as urban area polling stations.

Step 3

For **urban area polling stations**, collect the information on number of buildings (locations) in which these polling stations are located. Organize the information under

following columns:

- number of locations (buildings) with 1 to 3 polling station;
- number of locations (buildings) with 4 to 6 polling station;
- number of locations (buildings) with 7 to 8 polling station;
- number of locations (buildings) with 9 to 12 polling station; and
- number of locations (buildings) with 13 to 16 polling station;

Step 4

Plot these locations on a map showing the approach road and route to these locations.

Step 5

Organize these locations into clusters (Sectors). One cluster ideally should not have more than 8 locations. The minimum can even be one location if that location has too many polling stations and has other sensitivities like not easily accessible or law and order problem prone area etc.

The cluster (Sector) formation is an important task and needs care. Most important care to be taken is that all the polling station locations of one cluster should fall on one common road route. Economy of time and ease of access is prime criteria. A circular route is ideal; however it may not always be possible. Another care required is that polling station locations within a cluster should not be at a greater distance than an hour. In other words, all polling stations of a cluster can be visited within one hour at the most. The lesser the time taken, the better it would be.

Step 6

Repeat step 3 & 4 & 5 for **rural area polling stations**. For rural area however the number of locations covered in one sector can be more. The time distance for coverage can be upto 2 hours.

Step 7

Compile and put the information in the following format for each constituency of your district.

Inform voters about the helpline numbers and location of their polling stations where they have to vote

About vulnerability mapping:

During their 'voter contact programme' the sector officers shall also ascertain apprehensions if any of the voters, especially the minority community voters, dalits, tribal and backwards. The Sector Officer shall also attempt to collect the names of trouble mongers as perceived by the vulnerable inhabitants in confidence and give the information in Format 8 to the RO/DEO without having to disclose the source. In every vulnerable population or settlement (hamlet/pocket etc) of the voters in his/her jurisdiction, the sector officer shall identify a nodal contact person/family of that community, obtain the contact number (if he/she has) and leave his own mobile number for contact by them in any emergency. The sector officer will make frequent visit to such identified pockets and hold meetings with vulnerable population in their hamlets as confidence building measure.

On the poll eve:

The sector officer shall be responsible for ensuring that the Polling team and all the materials/equipment has reached the polling stations. He will report any missing team/member of a team to the RO immediately. The sector officer shall also be responsible for reporting that the Force Deployed according to the plan has reached the polling stations

On Poll Day:

Sector Officer will visit all the polling stations during the first two hours of poll and give the poll commencement report to the RO for polling stations under his jurisdiction. Sector Officer will ensure replacement of any EVM that would not operate for whatsoever reason at the start and during the poll hours. Thereafter the Sector Officer will oscillate between his polling stations and make himself available/contactable to every Presiding Officer under his jurisdiction and ensure that the poll is conducted in a free and fair manner and without interruptions. Sector officer will ensure that there is no obstruction to any of the vulnerable pockets/population identified earlier in approaching the polling station and casting of votes. Any such thing shall be reported to the RO immediately. Sector Officer will give an OK report on conduct of poll in polling stations under his jurisdiction. Sector Officer will safely escort and get deposited the polled EVMs at designated counters.

In order that the Sector Officers are able to carry out their duties smoothly, the following arrangements should be made for them:

Best officers available within the district will have to be hand picked. Elaborate training of sector officers. Wherever possible, they should be declared magistrates on duty. They should be provided with a vehicle and sufficient fuel. This should be provided well in advance, as soon as possible but not later than one week before the gazette notification. A videographer with him to monitor any breach of the Model Code of Conduct. A route map of his sector, giving the broad layout and location

of polling stations falling in his sector (It could be a sketch map, need not be a scale map) Polling part's detail viz the voter roll with hamlets name etc for him to be able to contact some voters of every section in the part; An EVM for awareness of and demonstration before the voters (This can be given by rotation and a programme for each sector officer should be drawn up so that each sector officer gets it for 2-3 days) On poll day, the urban sector officers will move with ½ section of CPF in same vehicle

The RO/DEO should take weekly review with all Sector Officers to monitor the works done by them as well as to review the action taken by officers/departments concerned on the reports (shortcomings) pointed in Sector Officer's reports.

INSTRUCTION SI. No. 5

Election Commission's letter No.464/Misc/2005/PLN-I, dated 22.12.2005 addressed to the Chief Electoral Officers of all States/Union Territories.

Subject:- Disposal of Grievances/Complaints received on electoral issues.

In order to redress the grievances/complaints that are received from public and various other Stake holders, on various electoral issues, the Commission has felt a need to set up grievance redressal mechanism.

2. Most of the complaints received can be clubbed in following categories: -
 - (1) Complaints from general public regarding missing names in the voter's list, non-availability of EPIC, shifting of name to an inconvenient booth, refusal to carry out inclusion in periods other than during summary revision or intensive revision, etc.
 - (2) Complaints from political parties and candidates regarding non-supply of electoral rolls, not taking them into confidence while shifting the booths, non-action on their complaints regarding Model code of Conduct, etc.
 - (3) Complaints from government staff regarding using their services for election work but not making necessary travel and accommodation arrangements, non-payment or delay in payment of TA/DA etc., vindictiveness towards some staff while favouring some other staff, etc.
 - (4) Complaints from suppliers of election material, transporters etc. regarding delays in payments.
3. Since these grievances are to be redressed at the ERO's, DEO's and CEO's level the Commission has decided that a Grievance Redressal Mechanism may be set up as follows: -
 - (i) Grievance cells-cum-control rooms may be opened in the office of each ERO to attend to any complaint regarding electoral rolls, EPIC, shifting of names from inconvenient booths etc.

- (ii) Grievance cells-cum-Control rooms may be opened in the offices of DEOs where Deputy DEO who is usually a State Civil Officer can be made in charge. He may attend to all complaints on all issues as have been categorized above.
 - (iii) Grievance cells-cum-control rooms may be opened in the offices of CEOs, where Additional CEO or Deputy CEO who is usually a State Civil Officer can be made in charge. He may also attend to all complaints on all issues as have been categorized above.
4. All Grievances Cell-cum-control rooms opened in the offices of EROs, DEOs and CEOs may maintain a proper register detailing the complaints received and action taken. Registers maintained in the offices of EROs, DEOs may be checked by the CEOs from time to time. The registers maintained in the offices of CEOs shall be checked by the CEO and officers of the Commission. A time frame of 15 days may be fixed for attending to complaints and giving a reply to the complainant.
 5. All concerned officers may be informed of above decisions immediately for compliance within 15 days.
 6. Kindly acknowledge the receipt.

INSTRUCTION SI. No. 6

Election Commission's Letter No.22/2/2008-PLN-II Dated: 8th August, 2008 addressed to the Chief f Electoral Officers of All States and Union Territories.

Subject:- Integration, carrying out corrections, printing and sharing of photo-rolls with political parties and contesting candidates.

As per the Commission's existing policy, all the supplements to the mother roll should be integrated and consolidated every year before draft publication so that there is no supplement at the time of draft publication. Thereafter, only one supplement is appended to the draft roll at the time of final publication to list out Additions, Deletions and Corrections allowed after draft publication of roll and before final publication of roll. (Another supplement of continuous updation, wherever necessary, is appended for conduct of poll). A bare minimum of copies of draft electoral roll are printed and the basic roll (draft roll) is again reprinted at the time of final publication in order to mark all the deletions through computer-generated horizontal strike-through (in case of text rolls) of the deleted entry(ies). In case of photo-rolls, the word "D E L E T E D" is superimposed diagonally (again computer-generated) on the elector detail box concerned. Similarly, all corrections appearing in the supplement are carried out in the reprinted basic roll (draft roll) at the time of final publication. A hash sign (#) is also prefixed before the serial number of the entry corrected and 'E' 'S' 'R' 'Q' or 'M' letter is prefixed to each deletion to denote the reasons of deletions.

The electoral roll in most of the States/UTs is now being printed in the revised format with photograph of electors (Photo-Rolls). Multiple copies of the photo electoral roll can only be laser printed or made through digital photocopier as quality copies cannot be made by photocopying with normal photocopier machines. The printing of these new photo-rolls has thus become time consuming and expensive. In an election year, it would not be possible to supply one complete set of reprinted electoral roll to the candidates of recognised political parties within 3 days of withdrawal.

Keeping the above practical difficulties in case of photo electoral rolls in mind, the Commission has reviewed its existing instructions for photo electoral

rolls and has decided the following:

On Integration of Roll and Its Supplements before Draft Publication:

1. It would not be necessary to integrate all the supplements of an existing photo-electoral roll before publication as draft electoral roll each year, unless specified by the ECI in its revision order. In non-election years, the basic roll from previous years along with all its supplements from previous years should be published together, without integration, as the draft roll, unless there is a specific direction of the Commission in which case the direction of the Commission shall be scrupulously followed.
2. However, in an election year when general election to the State Assembly or the Parliament is due, the existing photo-electoral roll with all its supplements shall first be integrated into one roll and then published as the draft roll.

On Reprinting and Marking of Amendments Arising Out of Supplements in Mother Roll (Draft Roll):

3. Similarly, in a non-election year, the final roll will be in the form of the mother (published as draft) roll with an additional supplement of additions, deletions and corrections – without any of the changes indicated in the mother roll. The political parties shall be asked, in writing while supplying copies of final roll, to make necessary markings to indicate the deletions and corrections, if any, in the additional supplements.
4. As against this, in an election year, at the time of final publication, the basic mother (integrated draft) roll shall be reprinted. The reprinted mother roll shall remain the same as was published except in the following three manners –
 - (i) the word “D E L E T E D” shall be superimposed diagonally (computer-generated) on the elector detail box concerned to indicate that the entry has been deleted in the Supplement. (In the Supplement, the alphabets, ‘E’ ‘S’ ‘Q’ ‘R’ or ‘M’ shall be pre - fixed against serial number of each deleted entry to denote the reason for deletion.)
 - (ii) Secondly, a hash (#) sign shall be prefixed before serial

number of the entry corrected to indicate that the entry has been corrected in the supplement but no correction actually should be carried out in the draft (mother) roll.

- (iii) **Similarly, photographs of electors corrected in the supplement of corrections will not be added/changed/corrected in the reprinted mother roll.** Photograph of an already registered elector received/captured subsequently, or corrected or replaced should be listed in the 'correction' list and retained therein. Such photographs should not be inserted in the reprinted mother roll while reflecting all other corrections. Instead, in the reprinted mother roll, in the space provided for photograph, the words "Photo as in Correction List" in bold should be printed. These words should be stamped / imprinted over an existing photograph in case the existing photograph was wrong or needs to be changed due to any other reason.

On Sharing the Copies of Electoral Roll with Political Parties:

5. Two copies of the electoral roll – one printed copy and another soft copy in PS-CD ROM – shall be supplied to the recognised political parties, free of cost, immediately at the time of draft publication as well as the final publication. While the hard (printed) copy shall have the electors' photographs, the soft copy of the roll shall be supplied without images of the electors.
6. **Complete set of the full roll in force should be shared. It means rolls inclusive of the last part of the roll for an assembly constituency (Service Voters) as available at the time of final publication of other parts of the rolls.**
7. Whenever any Supplement of Continuous Updation is brought out for conduct of poll, copies thereof should be supplied, free of cost, to the recognised political parties. It is clarified that in such cases it is not, repeat, **not necessary** to supply again a complete set of

electoral roll.

8. Whenever copies of photo-electoral roll are supplied to the political parties or candidates of recognised political parties in a non-election year, they should be informed in writing to mark the deletions (and corrections) in the supplements by hand in the mother roll and previous supplements.

On Steps to ensure that Photo-Electoral Roll Supplied to Political Parties and the Copy Set Apart for Markings (For Use in Conduct of Poll) are Identical:

9. In an election year, there shall be one integrated draft roll; one supplement (of final publication); and another supplement of continuous updation upto the last date for making nominations appended to final publication.
10. The ERO shall supply one authenticated copy of the complete roll at each stage of publication alongwith PDF version thereof, to the DEO and the RO in a sealed cover, which shall be the reference copy in case of any dispute. The DEOs/ROs shall preserve the sealed copy of the electoral roll.
11. Besides, the ERO shall give a few more copies and a CD of the roll in printable form to the DEO/RO for making as many copies as may be necessary (for use in election).
12. It shall be the responsibility of the RO to reflect all the deletions and corrections, if any, appearing in the Supplements.
13. At supplement 1 stage (final publication) the roll is computer generated and all deletions/corrections are software generated. The RO shall share this computer generated roll with political parties. It shall be same, and therefore, identical to the final reprinted roll with supplement 1.
14. At the 2nd supplement stage, which is the last day of nomination, it would not be possible to generate a reprinted mother roll with all deletions struck-through and changes indicated in the reprinted mother roll. The deletions in nd supplementary therefore shall be marked by hand through a rubber stamp D E L E T E D with 'E' 'S' 'R' 'Q' or 'M' written with red ink to indicate the reason.

15. Similarly, all corrections in supplement 2 shall be indicated by putting a **(#)** sign **by hand in red ink** on the entry(ies) corrected just after the name of the elector concerned. In case of corrections/addition of a photograph, the photo-box in the mother roll should be hand written in red ink with “Photo as in Correction List”.
16. For authentication of the stamping/hand markings, the official entrusted to reflect the deletions/corrections of Supplements should put his/her signatures by the side of each and every relevant elector box without fail.
17. The Returning Officer shall maintain a register showing names and designations of officials authorised to mark the above stated deletions and corrections of Supplement No. 2. Not more than 2 officers should be authorized to do the work per AC.
18. The RO / ARO shall give a Certificate, as provided in the enclosed format, about the correctness of the copies of the roll. The certificate shall be signed in ink by the RO/ARO and attached on the top of the copy of the roll to be set apart for markings (like PB, EDC).
19. RO will supply one such complete copy of the electoral roll to the contesting candidates of every recognized political party in the State within 3 days after the last date of withdrawal of candidatures. They should be asked in writing to mark the deletions and corrections in Supplement No.2 by hand.

The above revised instructions shall be brought to the notice of all concerned for strict compliance. This supercedes all previous instructions on the subject.

INSTRUCTION SI. No. 7

Election Commission's Letter No.464/INST/2007-PLN-I Dated: 12th October, 2007 addressed to 1. The Chief Secretaries of All States and Union Territories..
2. The Chief Electoral Officers of All States and Union Territories.

Sub: Measures to ensure free and fair elections- Prevention of intimidation to the voters of vulnerable sections of electorate- Mapping of Vulnerability regarding.

I am directed to state that the Commission has been issuing instructions regarding various measures to be taken to ensure free and fair elections. An atmosphere in which each and every elector is able to access the polling station without being obstructed or being unduly influenced by anybody is an important prerequisite to a free and fair election. Undue influence at elections is an electoral offence under section 171C of the IPC. Any voluntary interference or attempt at interfering with the free exercise of any electoral right constitutes the crime of undue influence at an election. Section 123 (2) of the R.P Act 1951 defines, any direct or indirect interference or attempt to interfere on the part of the candidate or his agent, or of any other person with the consent of the candidate or his election agent with the free exercise of any electoral right, as a corrupt practice.

Taking due cognizance of the role being played by the muscle power in the Elections and taking into account of certain prevailing socio economic realities of the electoral politics, the Commission has decided to issue the following instructions to curb the menace of threat and intimidation at elections by identifying the locations within a polling station area vulnerable for such threat and intimidation.

1. An exercise to identify the villages/ hamlets/habitats and segments of electorate vulnerable to any threat, intimidation or interference with the free exercise of electoral right shall be taken up polling station wise. The sector officers for their respective polling stations shall do this exercise by visiting the catchments area of the polling stations. The local Thane officer (SHO) and local civil authorities such as BDO / Tehsildar shall also be consulted and their inputs taken into account before finalizing the list. They should identify the source of such threat/ intimidation and identify the names of persons who are likely to spearhead such offence of undue influence. While doing this exercise

they shall take into account the past incidents, and current apprehensions.

2. They shall identify some point of contact within the habitat/ community vulnerable for such undue influence so that information related to such developments can be tracked constantly.
3. The Returning Officer of the Assembly Constituency should compile all such information and finalize the vulnerability mapping for the entire constituency, polling station wise in a format (enclosed).
4. The DEO and SP shall initiate all preventive measures to ensure that such intimidation/ obstruction do not really happen on the poll day. They shall initiate confidence-building measures to bolster the voters' confidence about the arrangements for free and fair poll. They shall undertake tours to such locations and meet the communities and explain the arrangements made for the free and fair poll.
5. The DEO/ RO shall interact with the candidates and representatives of political parties to gather regular feedback. The District Intelligence shall give regular feedback on the subject to the DEO through SP.
6. Upon the arrival of the Observers the DEO/ RO shall hand over the details of the polling station wise vulnerability mapping for the relevant Assembly Constituency. The Observer will also visit such locations and interact with the voters and constantly monitor the developments.
7. The DEO and Superintendent of Police of the District should hold a joint review on the subject and finalize a focused action plan to deal with the potential threats and intimidation points identified. The action plan may include, inter-alia, binding the identified trouble mongers under appropriate sections of the law, preventive detention if required, forcing their appearance in local police stations at reasonable intervals to ensure their good behavior, placement of police pickets, regular confidence building visits etc. It has to be ensured that all such measures are undertaken in absolutely non-partisan manner without fear or favor towards any particular party.
8. The Zonal/ and sector arrangements to monitor the events on the poll day shall take such pre-identified vulnerable locations into account for effective tracking. If the normal sector route map does not cover the vulnerable locations special arrangements shall be made for this purpose. The Sector officers shall make regular visits to those villages and hamlets in advance and collect information and keep the senior officers informed.
9. Where there is a cluster of such vulnerable pockets, the DEO shall arrange for dedicated police teams/squads and locate them at convenient locations in the vicinity, to be pressed into service for action on the day of poll without any loss of time. It should invariably form part of the district security plan.

10. On the day of poll, the sector officers shall give special attention to verify whether voters from the vulnerable habitats/ communities are turning up for voting or not. In case, they find (it can be gauged from the marked copy of the electoral roll where voters who have voted are ticked) that some section of voters is conspicuously absent, then they should inform the Returning Officer about this immediately. The Returning Officer and DEO shall dispatch the dedicated squad specifically meant for this purpose, to ascertain, by a visit to the area/hamlet, that there is no hindrance – overt or covert – in movement of that section of voters. They should closely monitor the developments and initiate effective interventions. After the closing hours on the poll day, the sector officers shall submit a special report, polling station wise, in writing to the Returning Officers indicating as to whether voters from the vulnerable habitats were able to vote or not.
11. At the time of Dispatch of the polling parties at the Dispatch Centers the RO should brief the Presiding Officer concerned about the vulnerable locations within the Polling Station area. In the electoral roll the Section within the Part should also be marked for proper monitoring. The Presiding officers shall submit a report indicating abnormally low percentage of voter turnout if any within any section/sections, particularly, with reference to the vulnerable locations.
12. During the poll the Observers and other senior officers while visiting the polling station shall pay a special attention to this problem and find out whether any undue influence, intimidation/ obstruction is being caused.
13. The police patrolling parties should keep track of the vulnerable locations and keep the control room informed. Wherever necessary police pickets shall be established to ensure free access to all voters to cast their votes without fear.
14. The Commanders/Assistant Commanders of the CPF shall be given a list of such vulnerable locations. Wherever CPF arrives in advance for area domination, special attention shall be given for such locations. On the day of poll the Commanders/Assistant Commanders shall make it a point to visit such vulnerable pockets as a confidence building measure. In case they come across any obstruction they shall take note of that and immediately inform any of the electoral officials such as RO/DEO/SP/Observer/Sector Officer and keep a note of the time of their intimation.
15. If any complaint is received or information gathered from any sources about obstruction/threat to any voter/voters the same shall be enquired into by the local administration without any delay.

16. The Returning Officer shall take the inputs on mass scale intimidation/threat/obstruction if any into consideration while submitting their report after the poll.
17. The Observers shall give their full attention to this issue and verify at every stage (before poll/on poll day) and submit reports to the Commission from time to time. A special mention shall be made about this in their final report. Apart from this they should make an intelligent reading of the Form 17A and the marked copy of the electoral roll used in the polling stations at the time of Form 17A scrutiny, ordered if any, by the Commission after the poll.
18. The Commission directs that accountability of various police and civil officials for vulnerability mapping and follow up at every stage shall be clearly defined with reference to each polling station/constituency. Severe disciplinary action will be initiated in case of dereliction of duty on the part of any police/civil officials in this matter.

This shall be brought to the notice of all concerned.

Format for Collection of Information on Vulnerable Hamlets

District: _____

Constituency: _____

Polling Station no. and Name	Names of hamlets covered by the P.S.	Name of Hamlets identified as vulnerable	Name of persons identified as probable source of trouble	Remarks (Type of Threat, e.g. caste domination, communal tension, criminal gangs etc)
1	2	3	4	5

Certificate

(Refer Para 18 of the instructions)

2This is to certify that the electoral roll of part No.....of..... Assembly Constituency contains total ___ numbers of pages (From 1 to ____). The deletions in the nd supplementary of the roll resulting from continuous updation after final publication of rolls have been indicated by putting a rubber stamp on the original entry in final rolls and corrections have been indicated by putting a “#” sign on the original entry by _____ (name & designation of the employee authorised to be indicated).

- A total of _____ number of entries have been deleted;

- A total of _____ number of entries have been corrected;

This is the authentic copy of the electoral roll and in case of any discrepancy, whatsoever, this electoral roll shall prevail.

Place:

Date :

Signature & Seal of
the Returning Officer/Asstt. Returning Officer

INSTRUCTION SI. No.8

Election Commission's Letter No.464/INST/2008-EPS Dated: 24th October, 2008 addressed to 1. The Chief Secretaries of All States and Union Territories.. 2. The Chief Electoral Officers of All States and Union Territories.

Subject:- Identification of critical polling stations and measures to be taken to ensure free and fair elections

Sir,

In supersession of Commission's instructions contained in its letter No. 464/INST/2007-PLN-I dated the 12th October, 2007, I am directed to say that in order to ensure free and fair elections the Commission has decided to deploy CPF in all polling stations. However, in order to identify the critical polling stations, which may require some more additional measures, it is necessary to spell out certain objective criteria to be followed by the DEO/RO as under :-

1. An analysis of the polling station wise number of voters with EPIC and without EPIC (non EPIC voters) shall be made. The polling stations shall be sorted in descending order in terms of number of non-EPIC voters in order to prioritise.
2. The Commission had recently directed a survey of missing voters under two categories i.e. missing voters with family links and missing voters without family links. Out of these two categories the existence of missing voters without family links offers a scope for misuse and malpractice. The number of voters coming under the second category shall be analyzed polling station wise and the Polling Station with large number of such voters shall be marked. For this purpose the total number of such missing voters without family linkage shall be divided by the total number of polling stations to workout the assembly constituency average. Analyzing the deviation above the average shall identify the polling stations with large number of such voters.
3. During the election the DEOs/ROs may be asked to do a vulnerability mapping of hamlets/villages/electoral segments vulnerable for threat and intimidation. Polling stations identified as having vulnerable pockets shall be listed.

4. The polling station wise election results available in Form 20 with reference to the past general election shall be analyzed. All such Polling Station where percentage of poll recorded is more than 75% and where more than 75% of votes have been recorded in favors of one candidate shall be identified as critical polling station.
5. The polling stations that went for redpoll during the previous election due to reported electoral malpractices; the polling stations that witnessed any sort of electoral violence shall also be identified.
6. The DEOs and ROs shall factor all the above inputs while finally identifying the critical polling stations for additional measures. The ECI Observers shall be consulted while finalizing the list of critical polling stations as per the above instructions.
7. The response protocol to be followed with reference to the vulnerable villages/hamlets/electoral segments has been detailed vide Commission's letter 464/INST/2007-PLN-I Dated 12th October, 2007. These instructions shall be implemented without fail.
8. With reference to polling stations identified as **critical polling stations** on account of other indicators listed above one or all of the following measures shall be put in place.
 - a. The presence of CPF to safe guard the polling station.
 - b. Digital camera or video camera shall be positioned in the polling station. The procedure for deploying such cameras has been given vide letter No.447/2007/PLN-IV, dated 17.01.2007.
 - c. The Presiding Officer shall be specially briefed to ensure that the EPIC/approved identification document, if any are properly verified and reflected in the remarks column of Form 17 A.
 - d. The list of such polling stations shall be given to the Commanding/Assistant Commanding Officers of CPF so that they can also keep an eye on such polling stations.

- e. Deployment of a micro-observer inside the polling station.
- 9. This shall be brought to the notice of all concerned. A copy of the circular be handed over to all Observers through DEOs.

**B- MAINTENANCE OF LAW AND ORDER
AND DEPLOYMENT OF SECURITY
FORCES**

INSTRUCTION SI. No. 9

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI – 110 001.

No. 464/Instructions/ EPS/ 2011

Date 5th March, 2011.

To,

The Chief Electoral Officers,
West Bengal, Assam, Kerala.

Sub :- Vulnerability Mapping

Ref :- Letters no. 464/INST/2008-EPS dated 24-10-2008 and 22-03-2009 of ECI.

In continuation of the instructions of the Commission conveyed vide letters nos.

464/INST/2008-EPS dated 24-10-2008 and 22-03-2009, as well as other instructions on the above mentioned and related subjects conveyed from time to time from ECI, the following instructions relating to Vulnerability Mapping are being issued for timely compliance in the context of the ongoing Assembly Elections, 2011.

'Vulnerability' – in the context of elections - may be defined as the susceptibility of any voter or section of voters, whether or not living in a geographically identifiable area, to being wrongfully prevented from or influenced upon in relation to the exercise of his right to vote in a free and fair manner, through intimidation or use of undue influence or force of any kind on him.

The exercise of **Vulnerability Mapping (VM)** in the context of the Assembly Elections is to be undertaken with the point of view of clearly identifying in advance, such voters or sections of voters who are likely to be 'vulnerable', the persons or other factors causing such vulnerability, and taking adequate corrective action well in advance on the basis of such identification.

The first step in the exercise of Vulnerability Mapping has to be undertaken with immediate effect by the Sector Officer or the Sector Magistrate (who have to be appointed as per ECI instructions and posted to commence their assigned task). The Sector Officer must necessarily visit every Locality/ Pocket in the area of every Polling Station in his Sector, hold widespread discussions with people there, collect intelligence, and list the vulnerable households and families, as well as the persons and factors causing such vulnerability there. He should, further carefully fill the **Format 'VM-SO'[Annexure I]** for each such Locality/ Pocket, preferably while he is touring the locality itself. All help, including vehicular support if needed, should be extended to the Sector Officer for this purpose. The Sector Officer must retain a copy of the filled

Formats VM-SO with himself and submit all filled in Formats VM-SO to the Retuning Officer within **14th March, 2011**.

Each Returning Officer must collect all Formats VM-SO for his AC by 14th March, 2011, and prepare and make available to DEO, the **Format 'VM-RO' [Annexure II]** by **16th March, 2011** after retaining a copy of the same.

The DEO, on the same lines must collect the Formats VM-RO by 16th March 2011, and prepare and make available to the CEO, the **Format 'VM-DEO' [Annexure III]** latest by **18th March, 2011**.

The CEO must compile all the district formats VM-DEO of the state into a book and make this compilation available to the Commission latest by **20th March, 2011**.

Sufficient copies of these instructions and formats must be made available immediately to all concerned officials for timely compliance and completion of the assigned tasks.

Yours sincerely,

**Ashish Srivastava,
Director**

Annexure I Format VM-SO

(The Sector Officer/ Sector Magistrate has to fill a different Format VM-SO for each Polling Station in his Sector, and as many Formats VM-SO as is the number of Polling Stations in his Sector.

Each Format VM-SO must contain the details for all Vulnerable Localities/ Pockets/ Voter Segments in one Polling Station area of the Sector.

It must be ensured and certified that no locality/ pocket/ voter segment which is vulnerable has escaped or been missed from inclusion in this format for any polling station area).

Number and Name of the AC –

Number and Name of the Polling Station -

I. Name of the Locality –

Date of Information-

A. List of Vulnerable Houses/ Families

S.No.	House No./ Family Name/ other identifying details of the Household/ Family which has Vulnerable Voters in the Locality	Number of Voters identified as Vulnerable in the house/ family identified in col-2	Contact No. of the Household, if any	Action Taken/ Proposed	Remarks
1	2	3	4	5	6
Total					

B. List of Persons to be Tracked/ Prevented from Intimidating/ Wrongly Influencing Voters:

S.No.	Name of the Person	Contact No./Address of the person	Action Taken/ Proposed	Remarks
1	2	3	4	5
Total				

[CONTINUED]

II. Name of the Locality – ..

Date of Information-..

A. List of ..

B. List of ..

III. **Name of the Locality – ..**

Date of Information-..

A. List of ..

B. List of ..

IV. ...

CERTIFICATION BY THE SECTOR OFFICER/ SECTOR MAGISTRATE

IT IS HEREBY CERTIFIED THAT NO LOCALITY/ POCKET/ VOTER SEGMENT WHICH IS 'VULNERABLE' FROM THE POINT OF VIEW OF THE ASSEMBLY ELECTIONS, 2011 IN THE AREA OF THE POLLING STATION NO. -----, POLLING STATION NAME ----- WHICH IS INCLUDED IN MY SECTOR, HAS ESCAPED OR BEEN MISSED FROM INCLUSION IN THIS FORMAT.

Signatures of Sector Officer/ Sector Magistrate

Name and Mobile No. of the Sector Officer/ Sector Magistrate

**Annexure II
Format VM-RO**

Name of the District –

Number and Name of the AC -

Date -

A. AC Summary on Vulnerability

S.No.	No. & Name of Polling Station	No. of Families/ Households identified as Vulnerable in the PS area by the SO	Total number of Voters identified as Vulnerable (in the families/ households identified as vulnerable) in the PS area in col 3	No. of Persons Causing Vulnerability in the PS area	Action Taken
1	2	3	4	5	6
Total					

B. List of Persons causing Vulnerability in the AC

S.No.	Name of the Person Causing Vulnerability	PS nos. in which he is causing vulnerability	Action Taken/	Remarks if any
1	2	3	4	5
Total				

Signatures of the Returning Officer

Name of the Returning Officer

Annexure III

Format VM-DEO

Name of the District – Date –

Table A

Identification of Vulnerability and Action thereon –

S.No.	AC No. & Name	Total number of Polling Stations	Number of Polling Stations in whose area Vulnerable Persons/ Families/ Households have been identified	Number of Vulnerable Voters identified in these Polling Station areas	Action being taken to prevent these Vulnerable Voters from being intimidated or wrongfully influenced before and during the poll
1	2	3	4	5	6
Total					

Table B

Report on Persons causing Vulnerability –

S.No.	AC No. and Name	Number of Identified Persons	Details of Action Taken against persons mentioned in col.3 [Numbers]				No. of Persons out of those mentioned in col.3 against whom no action has been taken	Reasons for no action as mentioned in col.8
			Bound over	Externment	In custody	Any other action (with description)		
1	2	3	4	5	6	7	8	9
Total								

Signatures of the DEO - ...

Name of the DEO - ...

INSTRUCTION SI. No. 10

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI – 110 001.

No. 464/Instructions/ EPS/ 2011

Date:- 5th March, 2011.

To,

The Chief Electoral Officers,
West Bengal, Assam, Kerala.

Sub :- SECURITY AND LAW & ORDER-SENSITIVITY

Ref :- Letter no. 464/Instructions/ EPS/ 2011 dated 3rd March, 2011 of ECI on the subject of Vulnerability Mapping.

In continuation of the above referred letter no. 464/Instructions/ EPS/ 2011 dated 5th March, 2011 of ECI on the subject of Vulnerability Mapping, the following instructions on the subject of SECURITY AND LAW & ORDER-SENSITIVITY are being issued for timely compliance in the context of the ongoing Assembly Elections, 2011. The exercise of 'Security and Law & Order-Sensitivity Analysis' is to be carried in every district of the state under the leadership of the District Election Officer. The DEO should take all needed support for this analysis from the police and other officers, including the Returning Officers and Sector Officers/ Sector Magistrates.

The DEO is required to complete the entries of **Format on 'Security and Law & Order-Sensitivity'** provided as the Annexure of this letter latest by **19th March, 2011**.

It may be noted that 'Lists of Names and Other Details' are required to be appended with the Format on 'Security and L&O-Sensitivity' with reference to the information mentioned in the rows containing * (asterisk)-mark in the Table 1 of this format. Some inputs for filling up this format would be required to be taken from the information collected and filled in the formats on Vulnerability Mapping as outlined in the above referred circular. The exercise for filling up this format must be started in all districts immediately, and all information except for that which would be obtained through the exercise of Vulnerability Mapping must be duly and carefully compiled in advance on or before **15th March, 2011** by reference to records where ever necessary. In any case, every DEO is required to complete all entries in the Format on 'Security

and L&O-Sensitivity' for his district latest by 19th March, 2011 and make it available along with all appendices, lists etc. to the CEO latest by the same date i.e. 19th March, 2011.

The CEO must compile all the district formats on 'Security and L&O-Sensitivity' of the state into a book and make this compilation available to the Commission latest by **20th March, 2011.**

Subsequently, information in this format must be made available to ECI after compiling from all districts, on re-freshed basis on **31st March, 2011, 10th April, 2011, 20th April, 2011, 30th April, 2011 and 10th May, 2011** for such ACs which are remaining to go for polls on these dates. However, the lists to be appended with the Formats on 'Security and L&O-Sensitivity' on these subsequent dates should only carry additions, deletions, modifications over the original lists appended with the Formats on 'Security and L&O-Sensitivity' originally on 19th March, 2011, besides the details of the latest actions taken and progresses made on various points.

Sufficient copies of these instructions and formats must be made available immediately to all DEOs and other concerned officials for timely compliance and completion of the assigned tasks.

Yours sincerely,

**Ashish Srivastava,
Director**

**Annexure
Format on 'SECURITY and L&O-SENSITIVITY'**

Name of the District –

Date:-

Table 1

Item No.	No. and Name of AC	AC No.- AC Name – (PI write AC totals)	AC No.- AC Name – (PI write AC totals)	Distt Name – (PI write Distt. totals)

1	Total no. of PS in the AC			
2	No. of PS affected by Left Wing Extremism			
3	<i>*No. of PS affected by Highly pronounced rivalry between political parties</i>			
4	<i>*No. of PS which are Highly politically sensitive</i>			
5	No. of PS affected by Pronounced presence of criminals			
6	No. of PS affected by Communal tension			
7	No. of PS affected by Caste based tension			
8	No. of PS affected by any other reason causing vulnerability [Mention detail]			
9	No. of PS with >80% polling in PS area during last AC or PC election			
10	No. of PS with >75% votes bagged by 1 candidate in PS area during last AC or PC election			
11	<i>*No. of PS with history of Re-Poll or Poll-Violence during last AC or PC election</i>			
12	No. of PS with <60% EPIC coverage			
13	* Number of PS identified as Vulnerable			
14	<i>14 * Number of Persons who have been identified as potential intimidators</i>			
15	* Number of Localities/ Pockets/ Voter Segments identified as Vulnerable			

Note 1 - For the Rows in the above Table with * (asterisk), I.e. Row Nos. 3, 4 11, 13, 14 and 15, Lists of Names and relevant details are required to be necessarily appended.

Note 2 - The Table can be extended by increasing columns according to the number of ACs.

Table 2

Cases of Election or Poll Related violence in the District

	No. of Cases	Total Killed	Total Injured	Damage to Property (Rs)
Last Assembly Election	Total no. of PS in the AC			
Last Parliament Election	No. of PS affected by Left Wing Extremism			

Table 3

L & O Comparison for the District -

Item No.	Item	Number during last general elections	Expected number w.r.t the present elections	Cumulative progress till date in the run up to the current elections
1	Number of persons related to preventive actions [bound down under CrPC, externment etc].			
2	2 Licensed arms deposited/ impounded/ surrendered			
3	3 Unlicensed arms/ weapons/ cartridges/ explosives seized			
4	Illegal arms manufacturing units raided/seizures made			
5	Illicit liquor seizure - (No. of Cases/ Rs)			
6	No. of Illicit liquor making units raided			
7	Total number of pending Non Bailable Warrants in the District			
8	Number of pending NBWs in the District which are > 6 month old			

Signatures of the SP - ...

Name of the SP - ...

Signatures of the DEO - ...

Name of the DEO - ...

NEW INSTRUCTION 11

22

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.437/INST/2009/CC&BE

Dated : 25th March, 2009

To

The Chief Electoral Officer of
All States/Union Territories.

Sub: Use of vehicles for election campaign-Bullet-proof vehicles provided for security reasons- clarification.

Sir/Madam,

Please refer to the Commission's letter No. 437/6/2007/PLN-III, dated 24th October, 2007, on the above subject. In the said letter, it has been mentioned that in the case of persons provided with Z-plus security cover and allotted bullet-proof vehicle in view of security considerations, would be permitted to use such state owned bullet-proof vehicle during the period of operation of Model Code of Conduct. It has also been mentioned therein that the cost of propulsion of such bullet-proof vehicles will be borne by the Government.

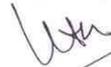
The Commission has re-considered the above issue. On such re-consideration, the Commission has decided that while the political functionaries provided with bullet-proof vehicles on security considerations may continue to use the bullet-proof vehicle during the period of operation of Model Code of Conduct, the cost of propulsion of such vehicles should be borne by the person concerned when it is used for non-official purposes during such period. If the person is a leader of political party in terms of Explanations 1 and 2 under Section-77(1) of the Representation of the People Act, 1951, the expenditure on propulsion of the vehicle may be borne by the political party

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concerned for any journey referred to in the said Section-77(1). In no case, the expenditure will be borne by the government in such cases.

These instructions may be brought to the notice of all election authorities and other authorities concerned in the State and also to the notice of all political parties based in your State including the State units of recognized political parties.

Yours faithfully,



(K.AJAY KUMAR)
SECRETARY

Copy to:-

All recognized National and State political parties.

NEW INSTRUCTION 12

100 ✓ 25

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.437/6/2009/CC&BE

Dated : 24th March, 2009

To

The Chief Electoral Officer of
All States/Union Territories.

Sub: Permission for allotment of liquor vends/ finalization of wholesale liquor sale during the period of operation of the Model Code of Conduct- regarding.

Sir/Madam,

References are being received in the Commission from several States requesting for permission to make allotment of liquor vends in the State in view of the Model Code of Conduct in operation now. In some cases, applications inviting tenders are sought to be published and in some cases permission has been sought for initiating action and for allotment through various processes including draw of lots, etc.

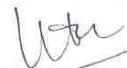
Each State has enacted a separate State Excise law governing the allotment of liquor vends. From the references received in the Commission, it is observed that varying models exist in different States for the sale of liquor through the venders. In some States/UTs, the State Govt. itself sells liquor through the outlets of the State run corporation/undertaking. In some other States, a wholesale liquor supplier is selected through the tendering process who, in turn, supplies liquor to the retail venders who are selected on the basis of applications by the Excise Department. There are also States where liquor contracts are awarded either at the State level or at the district level directly to the liquor vendors through the system of tenders or by draw of lots on the price fixed by the Govt.

The contracts are annually awarded for the financial year. Some of the State Excise laws contain an enabling provision for extension by the Government of the contract for some period beyond the close of the financial year, whereas in some others, no such enabling provision is made in the existing law.

In view of the above position, the Commission has directed that the following procedure may be followed in the matter of allotment of liquor vends, during the period of operation of the Model Code of Conduct:-

- (i) Where the extant Excise Laws of a State empower the State Govt. or the authorities thereunder to make an interim arrangement beyond the current financial year, pending regular arrangement, such interim arrangement may be made with the concerned contractors/vendors on the existing terms and conditions.
- (ii) Where no such enabling provision is available in the existing Excise Laws, the State Govt. may go ahead with the normal practice followed in the previous years strictly in accordance with the existing laws for the grant of new licences/contracts for the ensuing financial year.

Yours faithfully,



(K. AJAY KUMAR)
SECRETARY

ELECTION COMMISSION OF INDIA
NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001.

No. 464/INST/2009/EPS

Dated: 1stSeptember,2009

To,

1. The Chief Secretaries of
All States and Union Territories.
2. The Chief Electoral Officers of
All States and Union Territories.

Subject: Preventive Law & Order Action to ensure conduct of peaceful, free and fair poll during the General Elections/Bye-elections - Deposit of Arms - Regarding.

Sir/Madam,

I am directed to invite your attention to the Commission's letters No. 464/INST/2009/EPS dated 24.03.2009 and 01.04.2009 on the above subject, wherein it has been stated that immediately after the announcement of elections, District Magistrates shall make a detailed and individual review and assessment (in accordance with the prevalent State laws) of all licensed arms holders so that licensed arms, in those cases where they consider it essential are impounded in order to ensure maintenance of law and order so essential for ensuring free and fair elections.

2. The above instructions of the Commission came to be reviewed by the Hon'ble High Court of Bombay in CWP No. 835 of 2009 (Sh. Govind Vs. Vikram Kumar, Distt Magistrate & others) wherein it was alleged that the Commission's instructions had not been strictly followed. Upon such review, the Hon'ble High Court in its judgement/order dated 10.07.2009 has laid down certain guidelines to be strictly observed by all authorities concerned in respect of deposit of licence arms. A copy of the aforesaid judgement/order is enclosed herewith.

3. Accordingly, in view of the aforesaid judgement/order dated 10.07.2009, the Commission has reviewed its earlier instructions contained in its letters dated 24.03.2009 and 01.04.2009 and in supersession thereof issued the following instructions:-

(1.) The Commission being deeply aware of the increasingly vitiative role of criminality and muscle power at elections has been taking and directing to take a number of measures over and above the purview of normal law and order arrangements, for augmenting the measures taken for peaceful, free and fair poll.

These measures include the deployment of Central Police Forces during the process of elections to assist the State law and Order machinery in prevention of pre-poll, poll and post-poll violence and inspire confidence in the impartiality of election machinery among the common electorate, regulation of vehicular traffic, regulation and ban on the sale of liquor in close proximity with the dates of poll and counting, seizure of illicit arms including review of licensed arms and carrying of weapons on person on the poll day etc.

(2.) These extra measures taken by the Commission cannot absolve the normal law and order outfit of the State from taking such preparatory steps as are necessary for generation of an atmosphere conducive to the conduct of peaceful, free and fair poll during the run up period to the elections. It is indispensable to tighten the local law and order outfit and enforce with adequate strictness the day-to-day criminal administration with a view to mitigating the requirement of the aforesaid extra measures.

(3.) The Commission desires the States/UTs to undertake the following prophylactic measures immediately and report regularly the progress and effect of these measures in the context of holding peaceful, free and fair elections:-

Electoral and Criminal offences

3.1 A special drive should be launched to compile a list of such persons as are reported to have indulged in electoral offences like booth capturing, intimidation, impersonation in each police station of each and every constituency during the past at least two elections and a list thus compiled of each constituency made available to the District Election Officer and the Returning Officer concerned of each Parliamentary/Assembly Constituency to be forwarded to the Commission if and when asked for.

3.2 A special drive should be launched to update the lists of history sheeters, declared absconders, fugitive criminals in each police station falling in each and every Parliamentary/Assembly Constituency and record of such updated list be handed over to the District Election Officers and Returning Officers concerned, constituency wise, to be kept ready for dispatch to the Commission if and when asked for.

3.3 A special drive should be launched to effect the service of all pending warrants and challans in each police station of each and every Parliamentary/Assembly Constituency and fortnightly updated

constituency-wise information of unserved warrants be made available to the concerned District Election Officer and Returning Officer to be forwarded to the Commission, if and when asked for.

3.4 A special drive should be launched to expedite the investigation and prosecution of all electoral offences registered in previous elections in each police station of each and every Parliamentary Assembly Constituency and a fortnightly report be made available to the District Election Officer and Returning Officer concerned to be forwarded to the Commission, if and when asked for.

Ban on Sale of Liquor

3.5 A special drive should be launched to unearth illicit liquor making factories and information about seizures be made available to the concerned District Election Officer and Returning Officer concerned, fortnightly to be forwarded to the Commission if and when asked for. All restrictions provided in excise law on the storage of liquor in unlicensed premises shall be vigorously enforced. Section 135C of Representation of the People Act, 1951 provides that no spirituous, fermented or intoxicating liquors or other substances of like nature shall be sold, given or distributed at a hotel, eating house, tavern, shop or any other place, private or public, within a polling area during the period of 48 hours ending with the hour fixed for conclusion of poll for any election in the polling area. Therefore, 'Dry Day' shall be declared and notified under relevant state laws for the stipulated period for the poll areas. The day on which counting of votes is to be taken up, shall also be declared 'Dry Day'.

Ban on carrying of Licensed Arms

3.6 Prohibitory orders under section 144 of the Criminal Procedure Code, 1973 shall be issued banning the carrying of licensed arms as soon as an election is announced and should be effective till the declaration of results.

3.7 The States should conduct a 100% scrutiny of licenses of arms and ammunition shops with a view to ensuring that the records of their stocks are kept up-to-date and that their antecedents, recent involvement in irregularities, if any, and political leanings, if any, warrant a close super checking and monitoring of their business during the days of active electioneering.

Ban on issue of License of Arms

3.8 Issue of license for arms should be prohibited during the period commencing with the date of announcement of elections. This ban will continue to be operative till the completion of the election as notified.

Seizure of unlicensed arms and ammunitions

3.9 A special drive should be launched to unearth and seize unlicensed arms and ammunition. A very thorough search and seizure by the State Police of unlicensed arms and places of indigenous manufacture of arms and ammunition shall be carried out and persons involved shall be arrested. While unearthing and seizure of unlicensed weapons is a normal ongoing responsibility of the police, it shall be vigorously intensified during the election period. Inter-state and Intra-State movements of trucks and commercial vehicles shall be strictly checked with a view to preventing smuggling of arms and ammunition and anti-social elements. Raids should be carried out regularly and intensively on underground arms factories.

Deposit of Licensed Arms

3.10 Immediately after the announcement of elections, District Magistrates shall make a detailed and individual review and assessment (in accordance with the prevalent State laws) of all licence holders so that licensed arms in those cases where they consider it essential are impounded in order to ensure maintenance of law and order so essential for ensuring free and fair elections. These arms should be deposited with the district authorities. Among cases which may need to be reviewed are the following:

- (a) Arms licenses of persons released on bail,
- (b) Arms licenses of persons having a history of criminal offences, and
- (c) Arms licenses of persons previously involved in rioting at any time but especially during the election period. The above categories are only illustrative and not exhaustive.

3.11 As per the above-referred guidelines laid down by the Bombay High Court, for such review and assessment of all licence holders;

- (a) There shall be a Screening Committee in every District and in every Commissionerate area. In the District, the Screening Committee shall consist of the District Magistrate and the Superintendent of Police. In the Commissionerate area, it shall

consist of the Commissioner of Police (Admn.) and Joint/Additional Commissioner of Police (Admn.)

- (b) The Screening Committee shall commence the work of screening from the day of announcement of election by the Election Commission and it shall complete the exercise of screening in respect of licences placed before it as far as possible before the date of issue of notification of elections.
- (c) Cases of all licence holders as mentioned in para 3.10 above shall be placed before the Screening Committee.
- (d) On receipt of report from the Screening Committee, the licensing authority shall issue notice before the last date fixed for withdrawal of candidature to the individual licence holder for depositing his arms and inform the licence holder that failure to deposit the arms as directed would result in prosecution under Section 188 of the I.P.C. as stated in clause 3.11 (g).
- (e) The licence holder thereafter shall deposit his arms forthwith and in any case within a period of seven days from the date of receipt of the notice. The Licensing Authority shall give proper receipt to the licence holder.
- (f) The decision taken by the Screening Committee shall be final.
- (g) Any licence holder who fails to deposit arms within the period specified above shall be liable for prosecution under Section 188 of the Indian Penal Code.

3.12 The District Administration shall ensure foolproof arrangements for keeping the deposited firearms in safe custody. Proper receipt must be given to the licence holders depositing the firearms. It shall be the bounden duty of the District Administration to ensure that all firearms deposited with the Administration are returned to the licence holders immediately after one week after the declaration of results.

3.13 The sportsmen who are the members of National Rifle Association, at different levels and have to participate in various sporting events in which they use their rifles, will be exempted from these restrictions. This ban shall, also not be applicable to those communities who are entitled to display weapons by long standing law, custom and usage. This shall, however, not prevent the District

Administration to impound weapons of any person, even from such communities, if they are found to be indulging in violence or posing a threat to the maintenance of law and order and peaceful conduct of elections. In such cases too, the seized firearms shall remain impounded till one week after the declaration of results.

Transportation of Arms and Ammunitions

3.14 Strict vigil shall be maintained by thorough checking of lorries, light vehicles and all other vehicles from three days before the date of poll to ensure that no undesirable elements or arms and ammunition are being transported into the constituency from outside and to apprehend them if they are doing so. Such checking of vehicles shall continue till the completion of the counting of votes and the declaration of results. As and when such culprits are apprehended, the arms and ammunition and vehicles concerned shall be confiscated.

3.15 An atmosphere in which each and every elector is able to access the polling station without being obstructed or being unduly influenced by anybody is an important prerequisite to a free and fair election. Undue influence at elections is an electoral offence under section 171C of the IPC. Any voluntary interference or attempt at interfering with the free exercise of any electoral right constitutes the crime of undue influence at an election. Section 123 (2) of the Representation of the People Act 1951 defines, any direct or indirect interference or attempt to interfere on the part of the candidate or his agent, or of any other person with the consent of the candidate or his election agent with the free exercise of any electoral right, as a corrupt practice.

Confidence - building measures.

3.16 The DEO and SP shall initiate all preventive measures to ensure that such intimidation/ obstruction do not really happen on the poll day. They shall initiate confidence-building measures to bolster the voters' confidence about the arrangements for free and fair poll. They shall undertake tours to such locations and meet the communities and explain the arrangements made for the free and fair poll.

3.17 The police patrolling parties should keep track of the vulnerable locations and keep the control room informed. Wherever necessary police pickets shall be established to ensure free access to all voters to cast their votes without fear.

3.18 The Commanders/Assistant Commanders of the CPF shall be given a list of such vulnerable locations. Wherever CPF arrives in advance for area domination, special attention shall be given for such locations. On the day of poll the Commanders/Assistant Commanders shall make it a point to visit such vulnerable pockets as a confidence building measure. In case they come across any obstruction they shall take note of that and immediately inform any of the electoral officials such as RO/DEO/SP/Observer/Sector Officer and keep a note of the time of their intimation.

3.19 If any complaint is received or information gathered from any sources about obstruction/threat to any voter/voters the same shall be enquired into by the local administration without any delay.

3.20 A special drive should be launched to compile a list of such persons as are reported to have indulged in the offences under the Scheduled Castes and the Scheduled Tribes (Prevention of Atrocities) Act, 1989 during the election period.

Display of Arms

3.21 Display of arms in procession in support of a candidate during campaign period, tantamount to threat and intimidation to voters at election. The Commission directs that no individual or group of persons can be allowed to display arms during a procession or any meeting in support of a candidate, under any circumstances. The meeting/procession for campaigning of a party should be Video-graphed.

4. The Chief Secretary shall arrange for compilation of daily law & order report for each district in format prescribed in the Proforma (LOR – Form I, **annexed**) and for its transmission to the CEO. District authorities should not send this report directly to the ECI. The Chief Secretary shall also arrange for compilation of a consolidated report for entire state in another format (LOR – Form II, **annexed**) by the Home Department. This reports should be sent to the CEO, who in turn would fax the report with his/her comments to ECI.

4. It is clarified that Commission has not banned supply of arms and ammunitions from one State to other. However, such transfers should only be on the basis of valid papers, including 'no objection certificates' from the respective District Magistrates. It must be ensured that the supply reaches the actual consignee and it does not reach the hands of

any unauthorised person. All care should be taken to ensure that trucks, lorries etc carrying arms and ammunitions to other states should reach actual consignee and do not find their way into the hand of unauthorised persons. Therefore trucks and other commercial vehicles shall be vigorously checked at interstate borders with a view to preventing smuggling of arms and ammunitions. The state authorities supplying the consignment shall inform the concerned authorities of the states wherein the supplies of arms and ammunitions are sent so as to take advance action to provide adequate security for remitting the consignment to the actual consignee.

Yours faithfully,

(SUMIT MUKHERJEE)

UNDER SECRETARY

**Daily Law & Order Report for Districts for
Electoral Events
Election ID : STATE ELECTIONS, 2004**

(Report for a day should cover a period of 24 hours from 6.00 AM of that day to 6.00 AM of next day)

Report for..... day (2) Date		Month		Year			
Name of State:							
Name of Police District:							
Part II : Details of Incidents of Violence (Use a separate sheet for each incident of violence covered in item 6 of part I)							
A. General Information							
1.	Incident No.:	Time :					
2.	Place/Location:						
3.	Brief Narrative Summary of incident of violence :						
4.	Political/Communal Affiliation of the Groups/People involved in the incident, if any :						
5.	Police Action taken, if any :						
6.	Break-up of persons killed and injured in the incident:	Killed	Injured				
	a) Political workers/Activists						
	b) Poll Officials						
	c) Policemen/Security Staff on Duty						
	d) Onlookers						
	e) Others						
	Total						

7. Details of Damage to Property, if any :

**Signature
of SP/SSP:**

**Name of
SP/SSP:**

Election Commission of India

**Daily Law & Order Report for State for Electoral Events
Election ID : STATE ELECTIONS, 2004**

(Report for a day should cover a period of 24 hours from 6.00 AM of that day to 6.00 AM of next day)

To

The Secretary

Election Commission of India

Start Date for these Reports (1): Date			Month			Year				
Report for..... day (2) : Date			Month			Year				

Name of State:

Sl. No.	Item	Reporting Day	Cumulative from Start Date upto and including Reporting day
1.	No. of Unlicensed Arms/Explosive seized		
	a)Arms/Weapons		
	b)Cartridges/Explosives(Quantity/Numbers		
2.	No. of illicit arms manufacturing centres raided and seizures made		
3.	Licensed Arms deposited/impounded/Cancelled		
4.	No. of persons bound down U/S 107/116 CrPC etc.		
5.	Execution of non-bailable warrants		
	a) Executed		
	b) Pending		
6.	a) No. of incidents of violence related to poll campaign, political rivalry etc.		
	b) Total killed		
	c) Total injured		
	d) Damage to property (in Rs. Lakhs)		
Signature Of CEO:		Name of CEO:	

IMPORTANT: In case a violent incident has occurred for this day, please enclose, for each incident, a copy of the report for the concerned Police District in Form LOR-FORM I.

INSTRUCTION SI. No. 14

Election Commission's Letter No. 447/2007-PLN-IV, Dated: 17.01.2007 addressed to the Chief Secretaries and all Chief Electoral Officers of All States and Union Territories.

Subject: Use of Videography and digital cameras during elections – Consolidated Instructions thereon;

I am directed to state that in supersession of its earlier instructions on the subject cited above, the Commission hereby issues the following consolidated instructions.

2. In order to enable the Commission to have a true, faithful and concurrent record of the violations of the election law and the standing instructions of the Commission and to assess the impact of its corrective measures, the Returning Officer of each constituency shall make arrangements to record through Videography of critical events during the process of electioneering, including but not restricted to the period of public campaign, the day of poll, the transport and receipt of polled ballot boxes and other materials, counting of votes and the declaration of results in an independent intelligent and purposeful manner.

3. For this purpose, the Returning Officers may make use of video cameras and crew available with the Governmental or semi-Governmental agencies within their jurisdiction or hire local private professional videographers.

4. The Returning Officer shall, while programming the itinerary of the Video teams, take into account the number of electors, the size of the constituency, the number of sensitive polling stations, previous history of booth capturing and other malpractices, the general law and order situation, the likelihood of commission of corrupt practices and electoral offences and other related factors.

5. On the basis of his assessment, the Returning Officer should decide the number of video teams needed. The Commission has not prescribed any maximum or minimum number of video teams for an assembly/parliamentary constituency and has left it to the discretion of the Returning Officers on a correct appreciation of the factors mentioned in paragraph 4 above.

6. The Commission has issued the following instructions in the matter of selection of videographers and their deployment:-

6.1 The private videographers to be hired shall be screened thoroughly as to their professional competence, track record, financial viability and other

related factors.

6.2 The videographers should not belong to any political party and should not be known sympathizers or supporters or close relatives of any of the contesting candidates or any of the leaders of any political party or should not have been hired by any political party or contesting candidate.

6.3 The Returning Officer is required to provide tea, snacks food etc. to the videographers and they shall not be left to fend for themselves while on duty. It shall be ensured that the videographers DO NOT accept the hospitality of any contesting candidate or political party or their workers.

6.4 Video teams should be under the personal supervision and guidance of a senior election related officer.

6.5 As and when required the video teams may be asked to accompany the General/Election Expenditure Observers so that all critical events observed by them may also be videotaped.

6.6 The videographers shall be impressed that the principle behind the scheme is to record and videotape critical events only which are likely to vitiate the poll and not to just videotape all events in a routine manner in order to fill the cassette.

7. Following items should be considered for special watch and videography -

- Meeting addressed/attended by Ministers, top national/State level leaders of recognized parties.
- Riots or riotous situations or commotions brick batting, free-for-all etc.
- Violent incidents, damaging of property, looting, arson, brandishing of arms etc.
- Booth capturing.
- Intimidation of voters.
- Inducement / bribing of voters by distribution of items like saree, dhoti, blankets etc.
- Canvassing within 100 metres of polling stations.
- Vulgar display of expenditure like huge cutouts etc.
- Movement and activities of candidates with doubtful / criminal records
- Hypersensitive & sensitive polling stations
- Important events such as nomination, scrutiny and withdrawal of candidatures
- Preparation of EVMs by ROs

- Closure of strong room after deposit of EVMs therein
- Opening of strong rooms before taking out the EVMs for counting
- Counting process

Note: These are illustrative and not exhaustive. All video and digital photography should be done with date and time recording so that the real time and date can be verified.

8. The video films thus prepared shall be viewed by the Returning Officer immediately to identify whether any of the organizers / speakers or other participants of the public meeting has committed any violations or infractions of statutory provisions and directions of the Commission or Model Code of Conduct relating to the conduct of elections.

9. In cases where the Returning Officer is himself competent, immediate corrective action including disciplinary action against all those found guilty shall be taken and the Commission informed of the same.

10. In cases of serious infringements, which the Returning Officer in his judgment decides to report to the Commission, a copy of the videotape concerned will be forwarded to the Commission by quickest means possible. The tapes will be carefully indexed and accompanied by a brief explanatory note of the infringement towards which the attention of the Commission is proposed to be invited. The explanatory note shall invariably mention the broad details of the violations, the persons responsible for the same and the action recommended.

11. The videographic clippings are required to be shown to one of the Observers available in the Constituency on a daily basis to enable him apprise the Commission of the situation prevailing in a particular constituency so that remedial measures, if any needed, can be taken promptly.

12. While seeking the clearance of the Commission for taking up of the counting of the votes and declaration of the results, the Returning Officer must include a categorical statement to the effect that all infringements observed in the videographed events have been appropriately and completely disposed of.

VIDEO/DIGITAL PHOTOGRAPHY OF PROCEEDINGS INSIDE THE POLLING STATION

13. In deference to the suggestions of Supreme Court, contained in its judgment dated 11th January 2005 in Civil Appeal No.9228 of 2003 - (Janak Bingham Vs. Das Rai and Other) the Commission directs that photography may now be carried inside the polling stations to photograph electors and cover poll proceedings without

compromising the secrecy of voting. For such photography, arrangements will be made by the respective District Election Officer (DEO) in consultation with the Chief Electoral Officer.

13.1 In particular cases where the Commission has specifically directed video/digital photography of voters in identified area/assembly segments/polling stations, care should be taken to ensure that faces of all electors coming to cast their vote but not having EPIC or other ECI approved photo identity card, is captured in same sequence as they are entered in form 17-A i.e. Register of Voters. The photograph of electors shall be taken immediately after an entry has been made in form 17-A.

13.2 Critical events in and around the polling station should also be captured on video/digital camera in such polling stations. For example,

- Mock poll and sealing of EVM before commencement of polls
- Positioning of voting compartment
- Presence of polling agents
- Voters waiting outside at the close of scheduled hour of poll and the last voter in queue
- Visits of sector officers, observers and other electoral functionaries etc.

13.3 The District Election Officers will issue digital cameras to the trained officers selected for the purpose under proper receipt for covering the specified polling station. These officers will capture the photographs of electors as indicted above during the period of poll and at the end of poll shall issue a certificate that **“I have captured photographs of all electors who voted at polling station number _____ on date _____ and total number of photographs in the camera are _____”**.

13.4 After completion of poll the officer who did the photography shall deposit the camera along with the above certificate at a separate counter to be erected for the purpose at collection center. These officers will be given proper duty passes to enable them to do photography inside the polling station. On receipt of such cameras District Election Officer shall make arrangements for downloading the photographs and their comparison. The Returning Officers and Observers will use the results of photo matching while making the recommendations for repoll. The downloaded data in respect of all polling stations will be kept by the DEOs in CDs for later use. The cameras will be cleared after downloading the

photographs and their comparison for use in subsequent phases or elections as per the instructions issued by the Chief Electoral Officer in this respect.

- 13.5 The training of personnel who will be engaged in digital photography inside the polling stations shall be arranged by the Chief Electoral Officer. The Chief Electoral Officer/District Election Officers will make sure that enough batteries are available for operation of cameras in remote areas. All 2nd Polling Officers, all Presiding Officers, all AROs/ROs/ DEOs/ Observers/ Candidates and political parties should be apprised of these arrangements.

INSPECTION AND SUPPLY OF COPIES (Prints) OF VIDEO TAPES

14. Requests have been received from contesting candidates, office bearers of political parties and others interested for inspection and supply of copies (prints) of the video tapes produced in compliance with the above mentioned orders of the Commission.

15. The Commission considers it necessary to lay down some uniform guidelines and a standard procedure in this regard.

16. After careful consideration of all relevant factors, the Commission lays down the following procedure:

16.1 Each and every video cassette produced in compliance with the orders of the Commission shall form a part of the record of the concerned election and stored as such with due precautions for its safety until it is weeded out with the prior written approval of the Commission and in the manner prescribed, as in the case of other records of the election.

16.2 All such video-cassettes will be in the custody of the District Election Officer concerned as in the case of all other election related records.

16.3 Each videotape shall be indexed with a uniform code number in the following standard formulation: State/District/AC/Date of recording/Gist of event videographed

16.4 The locking seal of cassettes will be kept in tact and not broken, so that copying of the contents of cassette on another cassette does

become impossible, but shall be covered by a tape before consigning the cassette for storage with a view to preventing accidental erasing of contents, editing, manipulation, etc.

16.5 In pursuance of rule 93(2) read with section 76 of the Indian Evidence Act, 1872 the Commission has issued the following directions-

16.5.1 Inspection - (a) Every application for inspection of a videocassette shall be made in writing and should contain the full particulars concerning the cassette of which inspection is required, (b) an inspection of the cassette shall be allowed to any person applying for the same on payment of Rs. 25/- per hour of inspection or part thereof unless inspection is required to be made urgently in which case the fee shall be Rs. 50/- (c) Inspection on an ordinary application shall be allowed on the date following the date on which the application is made or on a subsequent day and inspection on an urgent application shall be made on the same day.

16.5.2 Certified copy - (a) Certified print of the video cassette shall be given to any person applying for the same on payment of Rs. 25/- as application fee and the actual cost of copying as may be locally applicable. The application should establish the right of the applicant for inspection or for supply of certified copies-(prints) and for that purpose should clearly disclose that the applicant has a direct and tangible interest in the videocassette and the nature of such interest. (b) No fee shall be charged when inspection or certified copy (print) of a cassette is required for official purposes. To avoid removal of any footage of the cassette or damage or mutilation of the cassette, effective supervision by officials shall be ensured and simultaneous inspection by a large number of persons shall not be allowed.

16.6 the video cassettes will be made available for inspection in the office of the District Election Officer or Chief Electoral Officer as the case may be;

16.7 No guarantee for authenticity and veracity of the contents of the cassettes will be undertaken under any circumstances and these will be made available on 'as is' basis.

17. The contents of the letter may be brought to the notice of all political parties and contesting candidates at every election to the House of the People and to the State Legislative Assembly.
18. Kindly acknowledge receipt.

B1 – ELECTION MACHINERY

INSTRUCTION SL NO. 15

Election Commission's letter No. 3/1/98/J.S.II, dated 11.08.1998 addressed to CEOs of all States and UTs.

Subject: Requisitioning of Staff for Election Duties - Amendment to Section 159 of the Representation of the People Act, 1951 - regarding

I am directed to refer to the Commission's letter of even No., dated 13th May, 1998, forwarding therewith a copy of the Representation of the People (Amendment) Ordinance, 1998 regarding requisitioning of staff for election duties.

2. The Parliament has now passed the Representation of the People (Amendment) Act, 1998 (No. 12 of 1998) as published in the Gazette of India, Extraordinary, Part-II, Section (I), dated 23.06.1998 to substitute new section for section 159 of the Representation of the People Act, 1951 in the above matter. The Representation of the People (Amendment) Ordinance, 1998 has been repealed. A copy of the Gazette of India, dated 23.06.1998 publishing the aforesaid amended Act is forwarded herewith.
3. It will be observed from the amended Section 159 of the Representation of the People Act, 1951 that the following authorities shall make available such staff as may be necessary for the performance of any duties in connection with an election:
 - (i) every local authority;
 - (ii) every university established or incorporated by or under a Central Provincial or State Act;
 - (iii) a Government company as defined in section 17 of the Companies Act, 1956;
 - (iv) any other institution, concern or undertaking which is established by or under a Central Provincial or State Act or which is controlled, or financed wholly or substantially by funds provided, directly or indirectly, by the Central Government or a State Government.
4. In accordance with the amended provision of Section 159 of the Representation of the People Act, 1951, employees of local authorities, universities, Nationalized Banks, Life Insurance Corporation, Government Undertakings etc. can now be requisitioned for deployment on elections duties. It is, however, reiterated once again that bank employees may be drafted on election duty to the minimum extent possible, only in a constituency where sufficient number of Government employees are not available and/or in emergent circumstances such as strikes etc. by the Government employees. Care should be taken to see that normal functioning of the banks are not interrupted. Further, if practicable, their posting to any polling station outside their normal place of duty may be avoided.
5. The implications of the Representation of the People (Amendment) Act, 1998 (amending Section 159 of the Act) may be brought to the notice of all District Election Officers, Returning Officers and other election related authorities immediately for their information and necessary action. The above-amended provision may be

incorporated in the Manual of Election Law, 1997 and in the Handbook for Returning Officers, (1998 edition) and also in the "Compendium of Instructions on Conduct of Elections, 1998."

The receipt of this letter may kindly be acknowledged.

INSTRUCTION SI. No. 16

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001.

No.464/INST/2009/EPS

Dated: 18th February, 2011

To,

The Chief Electoral Officers of
All States and Union Territories.

Subject: Requisition of Staff for election purpose – regarding.

Sir/Madam,

I am directed to refer to Section 159 of the Representation of the People Act, 1951, which deals with requisitioning of staff for election work. It has been provided that the authorities mentioned in sub-section(2) of the said Section 159 shall make available to the Returning Officers such staff as may be necessary for the performance of any duty in connection with an election, on request made by the Chief Electoral Officer of the State.

2. The following authorities have been so specified under the said sub-section(2) of the section 159:

- (i) every local authority;
- (ii) every university established or incorporated by or under a Central, Provincial or State Act;
- (iii) a Government company as defined in section 617 of the Companies Act, 1956;
- (iv) any other institution, concern or undertaking which is established by or under a Central, Provincial or State Act, or which is controlled, or financed wholly or substantially by funds provided, directly or indirectly, by the Central Government or a State Government.

3. Section 26 of the Representation of People Act, 1951 empowers the District Election Officers to appoint Presiding Officers and Polling Officers for polling stations falling in his district. Further, under section 20A of the Representation of the People Act, 1951, the District Election Officer is required to coordinate and supervise all work in the District in connection with conduct of elections. Therefore, by convention and for convenience, the District Election Officers have been requisitioning staff for conduct of election under Section 159 of the R. P. Act, 1951.

4. During the general election to the Legislative Assembly of Maharashtra, in 2009, the orders of requisitioning of staff issued by the DEOs and Collectors. of Thane district and Mumbai sub-urban district were quashed by the Hon'ble Bombay High Court in Writ Petition Nos. 8052 of 2009, No. 8039 of 2009, No. 8089 of 2009, No. 8111 of 2009, on the ground that the action on the part of the DEOs in issuing of requisition orders by invoking sections 26 of R. P. Act, 1951 and 13AA of the R.P. Act, 1950, without giving due regard to the provisions of Section 159 of the R.P. Act, 1951 were improper. The Hon'ble High Court held that the power under Section 159 is of the Chief Electoral Officer, and the CEO should requisition the staff keeping in mind the guidelines issued by the Commission. The High Court has also made an observation that while requisitioning staff, all the organizations should be considered so that some institutions are not picked up selectively ignoring the fact that deployment of large number of staff from a few institutions would be likely to result in hardships in the business of such institutions.

5. The Hon'ble High Court further observed that the Chief Electoral Officer should issue legal and valid requisition orders under Section 159 of the R. P. Act, 1951, for requisitioning of staff from the organizations covered by section 159 of the R. P. Act, 1951 in accordance with the law.

A copy of the common order dated 25th September, 2009 passed by Hon'ble High Court of Bombay in W. P. Nos. 8052, 8039, 8089 and 8111 of 2009 is enclosed herewith.

6. In view of the above, it may be ensured that the orders for requisitioning staff for election work are issued by the Chief Electoral Officer under section 159(1) of R.P. Act, 1951, requesting the authorities mentioned in sub-section(2) of section 159 of Representation of the People Act,1951 to make available to Returning Officer such staff as may be necessary for performance of duties in connection with elections. Appointments of staff so seconded to Returning Officer should , however, be made by the District Election Officer under Section 26 of the Representation of the People Act, 1951.

7. The following guidelines should be kept in mind:

- (a) Based on the availability of staff in the institutions covered under Section 159(2), and the requirement of staff, the staff may be requisitioned from such institutions.
- (b) The Commission has exempted certain offices/institutions for the purposes of election duty in view of the essential nature of

duties/services discharged by the institutions. This should be kept in mind.

- (c) Employees of banks, LIC may be drafted on election duty to the minimum extent possible, only in a constituency where sufficient numbers of govt. employees are not available or in emergent circumstances such as strike etc. by the Govt. employees. Care should be taken to ensure that normal functioning of banks, LIC are not interrupted. Care should further be taken to ensure that minimum numbers of employees of such institutions is requisitioned so that the business of these institutions is not hampered.

8. It is reiterated that while requisitioning of the staff for conduct of future elections, their rank, salary etc. should be kept in mind while making appointment and assigning duties to them.

9. The instructions in the earlier letter No. 464/INST/2009/EPS, dated 30th December, 2009, shall be treated as superseded by the instructions in the present letter.

10. These instructions may be brought to the notice of all concerned.

Yours faithfully,

SUMIT MUKHERJEE
(UNDER SECRETARY)

INSTRUCTION SI. No. 17

Election Commission's letter No. 464/INST/2008-EPS Dated: 26th December, 2008 addressed to The Chief Electoral Officers of all States and Union Territories.

Subject: - General Election to Lok Sabha, 2009 – Deployment of officers and staff – regarding.

I am directed to state that the Commission has decided that: -

- (a) The officers and staff of following departments will not be requisitioned for deployment of election duty: -
 - (i) Senior officers of the Indian Forest Service.
 - (ii) Doctors and Compounders working in veterinary hospitals.
 - (iii) Officers working in Grade – B (Cattle Extension Officer) in veterinary hospitals.
 - (iv) Medical Practitioners.
 - (v) Territorial Staff of Forest Department.
 - (vi) All India Radio.
 - (vii) Doordarshan.
- (b) The operational/technical staff of BSNL, MTNL, UPSC and educational institutions will be requisitioned for election duty only in unavoidable circumstances.
- (c) Officer/staff of Commercial Bank located in rural area and if happens to be a single officer branch, need not be deployed.
- (d) It is clarified that while drawing persons for election duties, you should explore the possibility of asking for persons from all such Corporations/PSUs in the area instead of asking from one Corporation/PSU only so that it is not too much of a strain on a particular organization.

Kindly acknowledge the receipt of the letter.

INSTRUCTION SI. No. 18

Election Commission's letter No. 464/INST/2013-EPS Dated: 18th September, 2013 addressed to The Chief Electoral Officers of all States and Union Territories.

Subject: - General Election to the State Legislative Assembly,2013-Deployment of Ayurvedic, Unani and Homoeopathic Practitioners-Exemption -regarding.

Sir/Madam,

It has come to the notice of the Commission that Lok Sabha, 2009 – Deployment of officers and staff – regarding. Ayurvedic, Unani and Homoeopathic Practitioners of AYUSH Department who are mostly working in rural and remote areas of the State to provide health services to the people of rural and remote areas and deal medical emergencies and render essential services to the rural masses of the remote areas are being requisitioned by the authorities for election duties which jeopardize health services of masses in remote and rural areas. The Commission has , therefore, decided that Ayurvedic Medical Officer (AMO), Unani Medical Officer (UMO) and Homoeopathic Medical Officer (HMO) of AYUSH Department may be exempted from election duty.

Yours faithfully

Sumit Mukherjee
Secretary

INSTRUCTION SI. No. 19

Election Commission's letter No.464/INST/2008/EPS Date: 23rd December 2008 addressed to The Chief Electoral Officers of all States and Union Territories.

Sub.- Drafting of physically challenged personnel for election duty-regarding.

I am directed to invite your attention to the Commission's letter no. 458/4/98-PLN-IV dated 30.10.1998 **(copy enclosed)** on the above matter. Subject to the condition stipulated in the above said letter, the physically challenged personnel in the past have been used as polling staff. Recently, the Election Commission has introduced the concept of micro-observer. Feedbacks have been received that in some places physically challenged personnel have been deployed as micro observer in recent elections.

A letter received from one such micro observer reveals that the sensitivity required and expected to be shown while drafting physically challenged personnel for such an assignment has not been displayed by the field officials. In this context, the Election Commission while reiterating the earlier instructions in this regard has issued some new instructions as follow:

The persons with disabilities have been defined under the "Persons With Disabilities (Equal Opportunities Protection of Rights & Full Participation) Act, 1995". The relevant extract of this Act is enclosed. Considering the nature of assignment and to avoid making hardship to such individuals, the Commission is of the view that the physically challenged persons with disabilities as defined under this Act should not be deployed on election duty. However, subject to compliance of the above circular relating to physically challenged persons if any such person is required to be used on election duty, prior approval of the Observer may be obtained giving full detail. The DEO and the RO shall make personal efforts to ensure that the special needs and requirements of the physically challenged persons are taken into account while choosing the polling station for deployment. It shall be ensured that they are not posted in any remote areas, rather they should invariably be posted at the polling stations located in the headquarter. This can be incorporated in the randomization software itself so that the database contains information about the physically challenged personnel, if any and if drafted on election duty those persons are not be posted any difficult location even inadvertently. The RO should make a special effort to identify such individuals at the time of training itself and personally meet and discuss with them in order to appreciate their nature of disabilities and limitations and decide the deployment. In case any such physically challenged person even

inadvertently deputed to any difficult location, the RO should be in a position to make necessary change manually in consultation with the Observer. The CEOs shall sensitize all the field officials about this instruction.

(Copy)

Election Commission's letter No. 458/4/98-PLN-IV dated 30.10.1998 addressed to CEOs of Assam, Bihar, Gujarat, Himachal Pradesh, Mizoram, Madhya Pradesh, Nagaland, NCT of Delhi, Punjab, Rajasthan, Uttar Pradesh and West Bengal.

Subject: Drafting of handicapped personnel for election duty

Preparations for the current elections are in full swing. The field officers would be finalizing the list of personnel to be deployed for elections duty primarily as polling and counting staff in this connection a suggestion has been received from the Chief Commissioner for Disabilities, Govt. of India to exempt disabled persons from being deployed for such election related duties.

The persons with disabilities have been defined under the Equal Opportunities Protection of Rights & Full Participation Act 1996. The relevant extracts of this is enclosed. The Commission desires that as far as possible persons with disabilities as defined under the Act should not be deployed for election duty. This aspect may be kept in mind for selection and deployment of staff for election duty.

THE GAZETTE OF INDIA EXTRAORDINARY

Short Title, extent and commencement

Definitions

Be it enacted by Parliament in the Forty-Sixth Year of the Republic of India as follows:-

CHAPTER I PRELIMINARY

- (1) This act may be called the Persons With Disabilities (Equal Opportunities Protection of Rights and Full Participation) Act, 1995.
- (2) It extends to the whole of India except the State of Jammu and Kashmir.
- (3) It shall come into force on such date as the Central Government may by notification, appoint. 2. In this Act, unless the context otherwise requires :-

Definitions

- (a) "appropriate Government" means-

(i) in relation to the Central Government or any establishment wholly or substantially financed by that Government, or a Cantonment Board constituted under the Cantonment Act, 1924, the Central Government;

(ii) in relation to a State Government or any establishment wholly or substantially financed by that Government or any local authority, other than a Cantonment Board, the State Government;

(iii) in respect of the Central Coordination Committee and the Central Executive Committee, the Central Government;

(iv) in respect of the State Coordination Committee and the State Executive Committee, the State Government;

(b) "blindness" refers to a condition where a person suffers from any of the following condition, namely :-

(i) total absence of sight; or

(ii) visual acuity not exceeding 6/60 or 20/200 (snellen) in the better eye with correcting lenses; or 2 of 1924

(iii) limitation of the field of vision subtending an angle of 20 degree or worse;

'Central Coordination Committee" means the Central Coordination committee constituted under sub-section (I) of section 3;

'Central Executive Committee" means the Central Coordination Committee constituted under sub-section (I) of section 9;

"cerebral palsy" means a group of non-progressive conditions of a person characterized by abnormal motor control posture resulting from brain insult or injuries occurring in the pre-natal, peri-natal or infant period of development;

'Chief Commissioner" means the Chief Commissioner appointed under sub-section (I) of section 57;

"Commissioner" means the Commissioner appointed under sub-section (I) of section 60:

(h) 'Competent authority" means the authority appointed under sub-section 50; (I) "disability" means-

(i) blindness;

(ii) low vision;

(iii) leprosy-cured;

(iv) hearing impairment;

(v) locomotor disability;

(vi) mental retardation;

(vii) mental illness;

- (j) "employer" means-
- (i) in relation to a Government the authority notified by the Head of the Department in this behalf or where no such authority is notified, the head of the department; and
 - (ii) in relation to an establishment, the chief executive officer of that establishment;
- (k) "establishment" means a corporation established by or under Central, Provincial or State Act, or an authority or a Government company as defined in section 617 of the Companies Act, 1956 and includes Departments of a Government;
- (l) "hearing impairment" means loss of sixty decibels or more in the better year in the conversational range of frequencies;
- (m) "institution for person with disabilities" means an institution for the reception care, protection, education, training, rehabilitation or any other service of persons with disabilities;
- (n) "leprosy cured person" means any person who has been cured of leprosy but is suffering from-
- (i) loss of sensation in hands or feet as well as loss of sensation and paresis in the eye and eye-lid but with no manifest deformity;
 - (ii) manifest deformity and paresis but having sufficient mobility in their hands and feet to enable them engage in normal economic activity.
 - (i) extreme physical deformity as well as advanced age
 - (ii) which prevents him from undertaking any gainful-occupation.
- and the expression "leprosy cured" shall be construed accordingly;
- (o) "locomotor disability" means disability of the bones joints or muscles leading to substantial restriction of the movement of the limbs or any form of cerebral palsy;
- (p) "medical authority" means any hospital or institution specified for the purposes of this Act by notification by the appropriate Government;
- (q) "mental illness" means any mental disorder other than mental retardation;
- (r) "mental retardation" means a condition of arrested or incomplete development of mind of a person which is specially characterized by sub normality of intelligence;
- (s) "notification" means a notification published in the official Gazette;
- (t) "person with disability" means a person suffering from not less than forty percent of any disability as certified by a medical authority.
- (u) "person with low vision" means a person with impairment of visual functioning even after treatment or standard refractive correction but who uses or is potentially capable of using vision for the planning or execution of a task with appropriate assistive device;
- (v) "prescribed" means prescribed by rules made under this Act;

- (w) "rehabilitation" refers to a process aimed at enabling persons with disabilities to reach and maintain their optimal physical, sensory, intellectual, psychiatric or social functional levels;
- (x) "Special Employment Exchange" means any office or place established and maintained by the Government for the collection and furnishing of information, either by keeping of registers or otherwise, respecting-
- (i) persons who seek to engage employees from amongst the persons suffering from disabilities;
 - (ii) persons with disability who seek employment;
 - (iii) vacancies to which person with disability seeking employment may be appointed;
- (y) "State Coordination Committee" means the State Coordination Committee constituted under sub-section (l) of section 13;
- (z) "State Executive Committee" means the State Executive Committee constituted under sub-section (l) of section 19.

CHAPTER H

The Central Coordination Committee

- 3 (1) The Central Government shall by notification constitute a body to be known as the Central Central Coordination Committee to exercise the powers conferred on and to perform
- Central Coordination
Committee the functions assigned to it,
- under this Act.
- (2) The Central Coordination Committee shall consist of-
- (a) The Minister in charge of the Department of Welfare in the Central Government, Chairperson, ex-officio;
 - (b) The Minister of State in charge of the Department of Welfare in the Central Government, Vice-Chairperson, ex-officio;
 - (c) Secretaries to the Government of India in-charge of the Departments of Welfare, Education, Woman and Child Development, Expenditure, Personnel, Training and Public Grievances, Health, Rural Development, Industrial Development, Urban Affairs and Employment, Science and Technology, Legal Affairs, Public Enterprises, Members ex-officio;
 - (d) Chief Commissioner, Member, ex-officio;
 - (e) Chairman Railway Board, Member, ex-officio;
 - (f) Director-General of Labour Employment and Training, Member ex-officio;
 - (g) Director National Council for Educational Research and Training Member ex-officio;
 - (h) three members of Parliament of whom two shall be elected by the House of the People and one by the Council of States, Members;

(i) three person to be nominated by the Central Government to represent the interests which in the opinion of that Government ought to be represented, Members;

(j) Directors of the-

(i) National Institute of the Visually Handicapped, Dehradun;

(ii) National Institute for the Mentally Handicapped, Secundrabad;

INSTRUCTION SL NO. 20

Election Commission's Letter No. 458/4/96/-PS-IV/Vol-II dated 22.07.1996 addressed to the Chief Electoral Officers of all States & Union Territories.

Subject: Provision of minimum facilities to pregnant women polling personnel during poll

1. I am directed to invite your attention to Commission's letter No. 458/4/84 dated 9.11.1984 and message No. 458/4/96-PLN-IV dated 16th April, 1996 regarding minimum facilities of shelter, water and toilet at polling stations for polling personnel and voters.
2. The Hon'ble High Court of Andhra Pradesh has, in its order in Writ Petition No. 7659 of 1996 (Sumathi vs. Chief Electoral Officer, Andhra Pradesh and others directed that;
 - (a) The respondents shall exempt all such women who are in advanced stage of pregnancy, whether are no maternity leave or not, or who are otherwise on medical advice not fit for any rigorous or hazardous work from being requisitioned for election duty. The same will apply to women who are breast feeding a newly born child; and
 - (b) The respondent shall inform well in advance every woman called for election duty of the arrangements for her stay, private and separate arrangement for women only at the place of duty to meet the calls of nature as well as for bath and dressing and unless such arrangement is made and information in this behalf is delivered to such woman, she shall not be called to perform any election duty at any such place where the above arrangements are not available.
3. The Commission directs that above directions shall be scrupulously followed during all future elections and any failure to comply with these directions will be viewed seriously.
4. Kindly acknowledge the receipt of this letter.

INSTRUCTION SL.NO. 21

Election Commission's letter No. 508/94-PS-I, dated 13.01.1994 addressed to The Chief Electoral Officers of all States and Union Territories.

Subject: Criteria for appointment of District Election Officer, Returning Officer, Electoral Registration Officer, Assistant Returning Officer and Assistant Electoral Registration Officer.

I am directed to invite your attention to the Commission's circular letter No. 508/93- PS-I dated 11th June, 1993 forwarding the objective criteria formulated by the Commission for appointment of District Election Officers, Returning Officers, Electoral Registration Officers, Assistant Returning Officers and Assistant Electoral Registration Officers.

2. Some of the Chief Electoral Officers had pointed out certain difficulties in adhering to the said objective criteria in toto while making recommendations for appointment of District Election Officers, Returning Officers, Electoral Registration Officers, Assistant Returning Officers and Assistant Electoral Registration Officers.

3. The Commission after carefully considering the matter has since revised the criteria, a copy of which is sent herewith.

4. You are requested to undertake a review of the existing notifications regarding appointment of the said officers in the light of the present criteria and submit, wherever necessary, fresh proposals to the Commission within 2 (two) months i.e. latest by 15th March, 1994, after adopting the prescribed procedure under law.

5. Kindly acknowledge the receipt of the letter before 21st January, 1994, positively.

CRITERIA FOR APPOINTMENT OF DISTRICT ELECTION OFFICER/ RETURNING OFFICER/ELECTORAL REGISTRATION OFFICER/ ASSISTANT RETURNING OFFICER/ ASSISTANT ELECTORAL REGISTRATION OFFICER

1. District Election Officer

(a)The District Magistrate/Deputy Commissioner/District Collector/District Officer of the district (by whatever designation known in each State), shall, subject to the provision of subpara.

(c), be designated as District Election Officer and no one lower in rank should be designated as the District Election Officer.(b)There shall be a separate District Election Officer for each district and no officer should be designated as District Election Officer for more than one district.

(c)Because of the difficult terrain or other justifiable reasons, if the Commission is satisfied that the functions of the office in respect of the whole district cannot be performed satisfactorily by one officer, the Commission may, in terms of proviso to section 13AA (i) of the Representation of the People Act, 1950, designate more than one District Election Officer for a district. In such cases the District Magistrate/ Deputy Commissioner/District Collector/District Officer of the District shall be

designated as the District Election Officer for that part of the district which covers his headquarter and another officer of the district level who is next only to the District Magistrate/Deputy Commissioner/District Collector/District Officer in the level of seniority and enjoying civil, criminal and revenue powers not less than those of the District Magistrate/Deputy Commissioner/District Collector/District Officer shall be designated as the District Election Officer for the remaining part of the district covering his headquarter. However, while designating more than one District Election Officer, no Assembly Constituency shall be split among more than one District Election Officer. All such officers should be appointed by designation and should be drawn from the general administration (including Revenue and Land Administration) Departments. The intention behind drawing officers from general administrative services/Revenue and Land Administration is to ensure that such officers have, by their training and background, experience of work similar in nature to the conduct of elections and other election-related matters. There will be no exceptions.

2. Returning Officer for a Parliamentary Constituency

(a) The District Magistrate/Deputy Commissioner/ District Collector/District Officer alone shall, subject to the provisions of sub-para (c), be designated as the Returning Officer for a parliamentary Constituency.

*(b) In cases where there are two parliamentary constituencies totally contained within one district, the District Magistrate/Deputy Commissioner/District Collector/District Officer of the district shall be the Returning Officer of both the parliamentary constituencies.

(c) In cases where there are 3 or more parliamentary constituencies contained wholly or partly in one district, the District Magistrate/Deputy Commissioner/District Collector/District Officer of the district should be the Returning Officer of the parliamentary constituency in which the district headquarter falls and the adjoining parliamentary constituency major portion of which falls in the district. Another officer of the district level who is next only to the District Magistrate/Deputy Commissioner/District Collector/ District Officer in the level of seniority and enjoy civil, criminal and revenue powers not less than those of the District Magistrate/Deputy Commissioner/District Collector/District Officer, should be designated as the Returning Officer for the remaining but not more than two, parliamentary constituencies. All such officers should be appointed by designation and should be selected/drawn from the general administration (including Revenue and land Administration) Departments. The intention behind drafting officers from General Administration Service/Revenue and Land Administration is to ensure that such officers have by their training and background, experience in the conduct of elections and other election related matters. There will be no exceptions.

(d) In cases where a parliamentary constituency spreads over more than one district, the District Magistrate/Deputy Commissioner/District Collector/District Officer having the jurisdiction over the comparatively larger part of the constituency should be designated as the Returning Officer. The size of the part of the constituency should be determined on the basis of the number of component assembly segments falling in each District and not on the basis of the geographical size or population. Where, however, the District Magistrate/Deputy Commissioner/District Collector/District Officer of the district having jurisdiction over the larger part of the constituency is already the Returning Officer for two other parliamentary constituencies and the

District Magistrates/Deputy Commissioner/District Collector/District Officer having jurisdiction over the smaller part of the constituency is not the Returning Officer of any parliamentary constituency or is Returning Officer of only one parliamentary constituency the later District Magistrate/Deputy Commissioner/District Collector/District Officer should be the Returning Officer for such a constituency.

(e) In cases where a parliamentary constituency spreads over more than one district and all the Districts contain the same number of Assembly segments the District Magistrate/Deputy Commissioner/ District Collector of any of those districts may be appointed as the Returning Officer. The Chief Electoral Officer will take a decision to recommend the Returning Officer in such cases keeping in view the considerations like easy accessibility to the Returning Officer's headquarter from all parts of the constituency, means of communications and other facilities available for conduct of elections at such headquarter like spacious counting halls, etc., and recommend a suitable District Magistrate/Deputy Commissioner/District Collector/District Officer for designation as the Returning Officer for that Parliamentary Constituency.

* Substituted by letter dated 18th December 2008

3. Returning Officer for Assembly Constituency

(a) Sub-Divisional Magistrates/Sub-Divisional Officers/Revenue Divisional Officers shall subject to the provisions of sub-para (d), alone be designated as the Returning Officer for an assembly constituency within the sub-division/revenue division.

(b) No officer, other than an officer drawn from general administration (including Revenue and Land Administration), lower in rank than that of a Sub-Divisional Magistrate/Sub-Divisional Officer/Revenue Divisional Officer shall be designated or nominated as Returning Officer for any assembly constituency.

(c) In case where there are two assembly constituencies within a sub-division/revenue division, the Sub-Divisional Magistrate/Sub-Divisional Officer/Revenue Divisional Officer shall be designated as the Returning Officer for both the assembly constituencies within the sub-division/revenue division.

(d) In case where there are more than two assembly constituencies within a subdivision/revenue division, the Sub-Divisional Magistrate/Sub Divisional Officer/Revenue Divisional Officer shall be designated as the Returning Officer for the assembly constituency in which his headquarter is located and the adjoining assembly constituency. Another officer of the sub-divisional level who is next only to the Sub- Divisional Magistrate/Sub-Divisional Officer/Revenue Divisional Officer in the level of seniority and enjoying civil, criminal and revenue powers not less than those of the Sub-Divisional Magistrate/Sub-Divisional Officer/Revenue Divisional Officer should be designated or nominated as the Returning Officer for the remaining, but not more than two, assembly constituencies covering his headquarter. All such officers should be appointed by designation and should be drawn from General Administration (including Revenue and Land Administration) Departments.

(e) In cases where an assembly constituency spreads over more than one subdivision/revenue division, the Sub-Divisional Magistrate/Sub-Divisional Officer/Revenue Divisional Officer in whose jurisdiction the larger portion of the constituency falls should be designated as the Returning Officer. The larger or smaller portion should be determined on the basis of the total number of polling

stations falling in each sub-division/revenue division and not on the basis of the geographical size of those divisions.

(f) In case where an assembly constituency spreads over more than one subdivision or revenue division and each sub-division/revenue division covers more or less equal portion, the Sub-Divisional Officer/Revenue Divisional Officer of either subdivision/revenue division may be appointed as the Returning Officer. Where, however, the portions covered are unequal and it is difficult to ascertain in the subdivision or revenue division in which larger portion of the constituency lies, the Chief Electoral Officer will take a decision to recommend the Returning Officer keeping in view considerations like easy accessibility to the Returning Officer's headquarter from all parts of the constituency, means of communication and other facilities for conduct of elections like spacious counting halls, etc., and recommend a suitable Sub-Divisional Magistrate/Sub-Divisional Officer/Revenue Divisional Officer for designation as Returning Officer for that particular assembly constituency.

4. Electoral Registration Officer

(a) The Returning Officer for the assembly constituency should be designated as Electoral Registration Officer for the assembly constituency. Even in cases where there are more than two assembly constituencies within a sub-division/revenue division and an officer other than the Sub-Divisional Magistrate/ Sub-Divisional Officer/Revenue Divisional Officer is appointed as Returning Officer for any assembly constituency, the Sub-Divisional Magistrate/Sub-Divisional Officer/Revenue Divisional Officer alone should be appointed as the Electoral Registration Officer for all the assembly constituencies within the sub division/revenue division.

(b) The appointment should be by designation and the officer should be drawn from the General Administration (including Revenue and Land Administration) Departments.

5.1 Assistant Returning Officers for Parliamentary Constituencies

(a) For every parliamentary constituency, the Returning Officers of all the assembly constituencies comprised within such parliamentary constituency shall be the Assistant Returning Officers.

(b) All such officers should be appointed by designation and they should be drawn from General Administration (including Revenue and Land Administration) Departments.

5.2 Assistant Returning Officers for an Assembly Constituency

(a) There should be a separate set of not exceeding three Assistant Returning Officers for each assembly constituency.

(b) The Tehsildars or Block Development Officers (if they belong to the general administration service) alone should be designated as Assistant Returning Officers.

(c) No officer lower in rank than a Tehsildar/Block Development Officer should be appointed as Assistant Returning Officer. All such officers should be appointed by

designation and they should be drawn from General Administration (including Revenue and Land Administration) Departments.

6. Assistant Electoral Registration Officers for Assembly Constituency

The Assistant Returning Officers for assembly constituencies shall be designated as Assistant Electoral Registration Officers. However, such number of additional officers may be appointed as Assistant Electoral Registration Officers, as may be considered necessary, keeping in view the extent of the constituency, geographical and physical factors, means of communication, etc. They shall be appointed by designation and drawn from General Administration (including Revenue and Land Administration) Departments.

7. Electoral Registration Officers and Assistant Electoral Registration Officers for Parliamentary Constituencies in the State of Jammu and Kashmir and Union Territories (Not having Legislative Assembly)

(a) In case of the State of Jammu & Kashmir, and such of the Union Territories as do not have a Legislative Assembly, where the parliamentary constituency as a whole is taken as a unit for revision of electoral rolls, under the Representation of the People Act, 1950, the Returning Officer for the Parliamentary Constituency shall be designated as the Electoral Registration Officer for the Parliamentary Constituency.

(b) The Assistant Returning Officers of the Parliamentary Constituency shall be designated as the Assistant Electoral Registration Officers. However, such number of additional officers may be appointed as Assistant Electoral Registration Officers as may be considered necessary, keeping in view the extent of the constituency, geographical and physical factors, means of communication etc. They shall be appointed by designation and drawn from General Administration (including Revenue and Land Administration) Departments.

8. General

Only Officers drawn from the General Administration (including Revenue and Land Administration) Departments shall be appointed as District Election Officers/Returning Officers/Electoral Registration Officers/Assistant Returning Officers/Assistant Electoral Registration Officers and all such appointments shall be by designation.

INSTRUCTION SL.NO. 22

Election Commission's letter No. 434/94/PS-I, dated 11.05.1994 addressed to The Chief Electoral Officers of all States and Union Territories.

Subject: Appointment of ROs and AROs-Commission's written prior approval absolutely necessary – regarding

An instance has come to the notice of the Commission where Assistant Returning Officer for a Parliamentary Constituency where the bye-election is scheduled to be held on 26th May, 1994, has been changed in anticipation of the Commission's approval. The Returning Officer of that Parliamentary Constituency also assigned certain statutory functions to the newly designated Assistant Returning Officer prior to receipt of the Commission's written approval for the appointment.

2. The Commission has taken a very serious view of the matter and directs that no officer shall be assigned any duties of Returning Officers/Assistant Returning Officers unless a proposal to that effect has been sent to the Commission and the Commission's approval for such appointment has been obtained in writing.

3. Any appointment and entrustment of statutory duties without the prior written approval of the Commission will be a gross violation of the Commission's instructions and will be viewed very seriously. Disciplinary proceedings will be initiated against all the officials responsible for the lapse.

INSTRUCTION SL.NO. 23

Election Commission's letter No. 508/2008-EPS, Dated: 18th December 2008 addressed to The Chief Electoral Officers of all States and Union Territories.

Subject: Criteria for appointment of District Election Officer/Retuning Officer – clarification regarding.

In supersession of the Commission's letter No. 508/94/PS-I dated. 7.9.1994 addressed to the Chief Electoral Officers of all States/UTs on the above subject, I am directed to say that as you are aware that the conduct of election has undergone a paradigm change, as such the role of Retuning Officer has gained more focus. It has been observed in the past elections that one officer had been made incharge of many Assembly Constituencies. Even the District Election Officers were allowed to remain as Returning Officers of more than one Parliamentary Constituencies. In the present context, the Commission has decided that: -

- (i) In para 2(b) of the Commission's letter No. 508/94-PS-I dated.13.01.94 (copy enclosed), the criteria for appointment of Retuning Officer for a Parliamentary Constituency, may be read as that there will be a separate Retuning Officer for each and every Parliamentary Constituency.
- (ii) In cases where there are two or more Parliamentary Constituencies totally coming within one district, the District Election Officer cum District Magistrate/Deputy Commissioner/Collector will be the Returning Officer of only one Parliamentary Constituency and another seniormost officer not below the rank of ADM shall remain incharge of the second Parliamentary Constituency falling in the same district. However, the District Election Officer will take care of electoral administration of the whole district.
- (iii) In cases where a Parliamentary Constituencies in contained in partly in two districts, the District Election Officer of that district where major parts of the Parliamentary Constituency falls shall be the Returning Officer of that Parliamentary Constituency. In case the District Election Officer of that district is already a Returning Officer of another Parliamentary Constituency in the district, an ADM rank officer will be Returning Officer provided prior permission of the Commission is obtained.

INSTRUCTION SL. NO. 24

Election Commission's letter No.464/INST/2008/EPS, Dated: 10th December, 2008 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: Appointment of Micro Observer – regarding.

I am directed to state that in view of the limitations in deploying Central Police Force on every booth for smooth conduct of poll, alternative arrangements have become imperative. The Commission has already experimented with use of Central Govt. officials as Micro-Observers in the recently held general election to the Legislative Assemblies of Gujarat & Karnataka. In this regard in the light of the experience gained, the Commission has issued guidelines and check list vide its letter of even number dated the 24th October, 2008. The Commission has further decided that following category personnel can be considered for use in election management as Micro-Observers or election volunteers at the polling booths to ensure the purity of the election process –

- (i) Serving Govt. of India officials may be used as Micro-Observers in the polling stations within the resident district. They should not be used in their home ACs.
- (ii) Retired Govt. of India and State Govt. officials can also be used as Micro-Observers within their resident districts. However, they should not be used in their home ACs. The list of such persons should be prepared in advance by the DEO and their availability, suitability and willingness shall be ascertained.
- (iii) The members of National Service Scheme (NSS) and Bharat Scouts (Rangers & Reserved) can be used as Micro-Observers. The Chief Electoral Officers may get in touch with NSS coordinator, work out and propose the detailed modalities of their deployment.
- (iv) Serving State Govt. officials brought in from other States may also be used as Micro-Observers. As this will require logistical arrangements and mobilization, it should be resorted to only in rare cases.

Senior division members of the NCC may be used in their uniform for maintenance of discipline and order in the conduct of poll at the polling stations.

- (v) The Election Commission of India may also select individual volunteers (not necessarily belonging to any NGO) out of reputed persons with experience and integrity.

2. To facilitate the whole exercise, the DEO should identify nodal officers. The name and designation, office and residential address, telephone numbers including mobile number and e-mail ID, if any, of the nodal officer shall be mentioned in the district/constituency election management plan. The contact numbers should also be brought to the notice of all micro observers drafted. The DEOs should also hold a separate review on the subject and identify the areas of concern and take necessary advance measures to address the facilitation issues.

Proper arrangement shall be made at the training venue, care should be taken while choosing the venue for training purpose to ensure amenities such as drinking water and toilet facilities.

Advance measures should be in place to ensure that transport facility including bus service etc. is provided for them for pick up and dropping them at home.

On poll day they will be provided food facility at par with the polling teams.

Besides the above, for poll duty, an appropriate honorarium, to be decided by the state government concerned, shall be paid to each Micro-ObsERVER. The state of Gujarat has paid Rs.700/- lump sum while Karnataka has paid Rs.500/- lump sum to the Micro Observers appointed on duty on poll day.

This payment of honorarium should be made by the Returning Officer, to the extent possible, on completion of duty at the EVM receipt center itself, on receipt of Micro-ObsERVER's report by the Observer.

3. You are, therefore, requested to workout the availability of above mentioned category of personnel and get ready a computerized database, category wise, with full particulars viz. name, designation, address, contact no., their elector details etc. and also draw a detailed programme of training and orientation of the approved category of persons who are proposed to be utilized in the forthcoming elections. Training materials and handout should be prepared, logistical requirement should be worked out and adequate financial provision should be made in the budget provisions.

INSTRUCTION SI. No. 25

Election Commissions letter No. 464/INST/2008/EPS Dated:21st January, 2009 addressed to the Chief Secretaries of all states & Union Territories.

Subject: Appointment of micro observer-additional instruction – Regarding.

I am directed to say that the Commission has been appointing micro observer in selected constituencies and selected polling stations depending on the availability staff of Government of India and Central Public sector undertaking available for the appointment as a micro observer. In this connection, the Commission has issued the following instructions: -

1. The District Election Officer will take an advance inventory of availability of staff of Government of India and Central Public Sector Undertaking to be appointed as micro observer and incorporate their names, along with all the required details as in case of State Govt. staff, in the randomization database.
2. In case Government of India staff not being available in adequate number, the Divisional Commissioner (if the D.C system exists in a particular State) will coordinate and ensure that inter-district sharing of micro observer takes place and the Divisional Commissioner will monitor this process. If the Divisional Commissioner system is not available, the Chief Electoral Officer will coordinate and ensure that the micro observers from the neighbouring districts or from the neighbouring urban centre are diverted to particular district not having Government of India staff to be appointed as a micro observer.

The Commission has further instructed that the conditionalities being applied for the State Govt. staff being appointed as polling personnel (that he should not be posted as a polling staff in the constituency where he is a voter / where he is working / in his native constituency) will be equally applied to the micro observers also. That means, a micro observer while working as a micro observer within the district or outside the district, within the Parliamentary Constituency (preferably) or outside his Parliamentary Constituency cannot be appointed as a micro observer in an Assembly Constituency / assembly segment where he is a voter, working or in his native Assembly Constituency / assembly segment. This aspect may be taken care of during randomization process.

INSTRUCTION SL NO. 26

Election Commission's letter No. 576/3/2004/JS-II, dated 09.08.2004 addressed to the Chief Electoral Officers of all the States / Union Territories.

Sub: - Assigning election duties - Seniority of officials to be taken into consideration.

Ref: - Commission's letter No. ECI/GE 98-464/Inst./98-PLN-I, dated 18.10.98

I am directed to say that in paragraph 2.7 of Chapter III of the Handbook for Returning Officers (2004 Edition), it has been directed that while assigning election duties to various officials, their seniority should be taken into account and it should be ensured that a senior official is not put on duty under an official who is junior to him.

2. In a petition before the Punjab and Haryana High Court (C. W.P. NO.6895 of 2004 - Punjab and Chandigarh College Teachers Union Vs. Election Commission of India & Others), the Hon'ble High Court has made an observation that as far as possible, the seniority of officials put on election duty should be given due regard while assigning them election duties.

3. The Commission, keeping in view the guidelines already laid down, has directed that while assigning election duty, the following principles are to be followed strictly: -

- (i) Adequate care should be taken by the District Election Officers in calling for names of officials from different government departments (both Central and State), PSUs, Banks, other institutions etc. The scale of pay, rank and status of officials to be requisitioned need to be set out in the requisition order itself for which the Chief Electoral Officer should set a uniform criteria for the State as a whole. Considering the varying scales of pay and rank prevailing in different organizations, an upper benchmark set by the Chief Electoral Officer would be most appropriate to avoid confusion and litigation. By way of illustration, in Group-A category of employees, a level upto and including a certain rank needs to be prescribed instead of calling for all Group-A employees,
- (ii) In drawing up the seniority list of officials required for election duty, the District Election Officer should take into account at the outset the pay, rank and status of the officials nominated and classify them accordingly for purposes of randomization for which detailed instructions are available. A Presiding Officer should be of higher scale/grade/rank in comparison to all Polling Officers appointed in his group.
- (iii) In determining the rank and status of officials drawn from different pools with different pay scales the relative position of the official in his cadre/organization may be taken into account and not merely the pay scale. By way of illustration, if a Group-D employee from any pool, even if drawing a higher scale should not be assigned duties of a Presiding Officer or Polling Officer.

4. This may be brought to the notice of all District Election Officers and Returning Officers

INSTRUCTION SL NO. 27

Election Commission's Letter No. 576/11/94/JS.II dated 15.11.1994 addressed to The Chief Electoral Officers of all States and Union Territories.

Subject: Period of duty of Presiding and Polling Officers - Clarifications regarding

The appointment of Presiding Officers and Polling Officers is done by the District Election Officer under the powers vested in him under section 26 of the Representation of the People Act, 1951. Section 26 reads as follows:-

(1) The District Election Officer shall appoint a Presiding Officer for each polling station and such polling officer or officers as he thinks necessary, but he shall not appoint any person who has been employed by or on behalf of, or has been otherwise working for, a candidate in or about the election:

Provided that if a polling officer is absent from the polling station, the Presiding Officer may appoint any person who is present at the polling station other than a person who has been employed by or on behalf of, or has been otherwise working for, a candidate in or about the election, to be the polling officer during the absence of the former officer, and inform the District Election Officer accordingly:

Provided further that nothing in this sub-section shall prevent the District Election Officer from appointing the same person to be the Presiding Officer for more than one polling station in the same premises.

- (2) A polling officer shall, if so directed by the Presiding Officer, perform all or any of the functions of a Presiding Officer under this Act or any rules or orders made there under.
- (3) If the Presiding Officer, owing to illness or other unavoidable cause, is obliged to absent himself from the polling station, his functions shall be performed by such polling officer as has been previously authorized by the district election officer to perform such functions during any such absence.

2. The Commission has given detailed instructions in this regard which are contained in Para 10.1 of Chapter III of 'Handbook for Returning Offices (1994 edition)' wherein, inter alia, it has been directed that the Presiding and Polling Officers should be formally appointed, both for the Assembly constituency and for the Parliamentary Constituency also in the case of a simultaneous election.

3. The Commission has also prescribed a standard proforma for use by the District Election Officer for the appointment of the Presiding Officer and the Polling Officers, which is contained as Annexure IX in the 'Handbook for Returning Officers'.

4. Instructions are also contained in Chapter XXI of 'Handbook for Presiding Officers' that after the poll the Presiding Officer shall hand over to the officials in charge of the Collecting Centre, all polled ballot boxes, election papers and materials and shall obtain a receipt there of. It has also been clarified that only after the above items are checked by the Receiving Officials at the Collecting Centre in the presence of the Presiding Officers/Polling Officers these personnel will be relieved.

5. The time taken for reaching the Collecting Centre with the polled ballot boxes and other materials will differ from place to place depending upon the distance of the polling station from the Collecting Centre, terrain and facility for transport, etc.
6. After the deposit of sealed ballot boxes and other materials by the Presiding Officers/Polling Officers these personnel will have to be given reasonable period for travelling back to their destinations wherever they come from since the deposit of the ballot boxes often goes late into the night of the date of poll, wherever they are able to reach the Collection Centre the same night, for the polling personnel to reach their headquarters takes time.
7. The Commission therefore, has directed that the day following the date of poll, and in the case of re-poll, the date following the date of re-poll, as the case may be, will also be treated as period of election duty and the polling personnel will not be required to report for duty in their normal place on such day. For instance, if the date of poll is 15th December and the polled ballot boxes, etc., are reached at the receiving centre on 15th/16th December by the Presiding Officer/Polling officers, such officers will not be treated as absent from their normal duty if they do not report for their duty on 16th December, after completion of the election duty but report on 17th December, for their normal duties. In areas where the travelling time is longer, suitable allowance will be further made for this and the day following the date of deposit of polled material, etc, shall be treated as duty period.
8. The Commission has directed that suitable instructions in this regard be issued by the State Govt/ Chief Electoral Officer for strict compliance of all concerned

The receipt on this letter should be acknowledged forthwith.

INSTRUCTION SL. NO. 28

Election Commission's letter No. 464/INST/2007/PLN-I, Date: 12th October, 2007. addressed to The Chief Secretaries of All States/Uts & The Chief Electoral Officers of all States and Union Territories.

Subject: Issue of photo identity card to the polling personnel.

In order to ensure that the identity of all Presiding Officers, polling officers, counting supervisors and counting assistants engaged in election work is clearly established while discharging their duties, the Commission has decided to direct the issue of identity card for them. This identity card shall be issued by the District Election Officer (DEO)/Returning Officer (R.O). The Presiding Officers, polling officers, counting supervisors and counting assistants shall display their identity card on person on the day of poll/counting for easy and quick identification. Such identity cards shall be prepared in the prescribed format as enclosed containing all relevant details of the Govt. officials/employees concerned. The photograph pasted on the ID card shall be duly attested by the concerned DEO/RO. In order to ensure the photo images of the Govt. official/employee concern is properly collected in time to enable the preparation of the identity card, the Commission directs that the DEOs can exercise any one of the following option(s):_

- The DEO can organize the capturing of the photography of the polling personnel at the time of first round training so that the ID card is prepared before second round training and handed over to the polling personnel concerned by obtaining proper acknowledgement.
- Wherever possible, the polling personnel can also bring copy of his/her passport size photograph and deposit at the time of first round training for the purpose of preparation of the ID card.
- A combination of both the above options can also be used by the DEOs, if necessary.

While reiterating that the issue of the ID card as directed above shall be ensured without fail, the Commission would like to give necessary operational flexibility to the DEO in the process of preparing the ID card so that they can adopt a method that is functionally suitable to the district concerned. The CEO shall ensure that the ID cards are prepared following a uniform pattern as per the format prescribed in the enclosure.

Action taken in this matter be confirmed for the information of the Commission.

(For Presiding Officer)

General Election to

IDENTITY CARD	
Name of the Presiding Officer :	Photograph duly attested By DEO/RO
Age :	
Name of the sponsoring Deptt. :	
Designation of the sponsoring Authority :	

(For Polling Officer)

General Election to

IDENTITY CARD	
Name of the polling officer :	Photograph duly attested By DEO/RO
Age :	
Name of the sponsoring Deptt. :	
Designation of the sponsoring Authority :	

INSTRUCTION SL NO. 29

Election Commission's letter No. 464/INST/2008/EPS, Date: 12.09.2008 addressed to The Chief Secretaries of All States/Union Territories & The Chief Electoral Officers of all States and Union Territories.

Subject: HR issues in the polling personnel management.

The conduct of election in a vast country like ours is a mammoth exercise. An efficient human resource management forms an essential part of the election management planning and execution. The personnel involved in the conduct of elections particularly the polling staff carry out their duty in challenging circumstances. However, the Election Commission of India has received feedback that enough efforts are not being made to provide basic facilities to enable the polling staff to deliver their work in a hassle free manner. The Commission considers the well being of the polling personnel to be one of the priority areas of election management. In order to ensure that the welfare of the polling personnel receives adequate attention of the District Election Officers and Returning Officers, the Election Commission of India has directed that the following instructions be followed without any deviation.

There shall be a separate chapter in the election arrangement booklet prepared by DEO/RO dedicated to staff welfare. In this chapter, the measures envisaged by the District Election Officer/R.O to ensure polling personnel welfare shall be listed. While preparing the district machinery for the conduct of election, the DEO shall identify a senior officer as the nodal officer to coordinate and supervise polling personnel welfare measures. Similarly, each RO shall identify a nodal officer for the above purpose. The name and designation, office and residential address, telephone numbers including mobile number and e-mail ID, if any, of the nodal officer shall be mentioned in the district/constituency election management plan. The contact numbers should also be brought to the notice of the polling personnel drafted for election duty.

The DEO should also hold a separate review on the subject and identify the areas of concern and take necessary advance measures to address the facilitation issues.

Right from the beginning enough care shall be taken to ensure that the information regarding training programme, dispatch and reception centre arrangement etc. is conveyed to the polling personnel in a proper and timely manner through the sponsoring authorities. The responsibility of the officer who sponsors

the polling personnel is not merely to send the names of the government staff to be used for election duty. As and when the DEO or RO sends information to be passed on to the polling personnel drafted for training/poll duty, the same information shall be conveyed by the sponsoring authority to all the staff drafted for election with due care. Apart from informing the training schedule and dispatch schedule etc. through the letter, sponsoring authority, the DEO and RO shall also use the local media to convey the schedule to the staff at large so that there is no misunderstanding about reporting time and venue.

Proper arrangement shall be made at the training venue; care should be taken while choosing the venue for the training purpose to ensure that there is proper arrangement of basic amenities. There should be a proper provision for drinking water and toilet facilities at the training venue. There should also be 'help desk' to help the polling personnel when they arrive for training. Similarly, at the dispatch centre too, due care should be taken to provide civic amenities and facilitate orderly dispatch. On the day of dispatch, the nodal officer identified to take care of staff welfare shall physically remain present at the dispatch centre. There shall be adequate provision of drinking water, toilet etc. at the dispatch location. The process of dispatch shall be closely monitored by the senior officers so that polling personnel are not unduly held up at the dispatch centre. Also, if the dispatch process takes time, there should be proper arrangement for refreshments for the polling personnel while they are waiting for transport for taking them to their polling stations. The coordination between the police personnel deputed to accompany the polling personnel should be perfect so that there is no communication gap in this matter. It will be the duty of the sector officers to ensure that the polling personnel deputed to perform their duty at the polling stations assigned to the sector officer are taken care of and they are not unduly held up at the dispatch centre.

A senior officer should supervise the arrangement made for providing transport vehicle for the travel of the polling personnel. The movement of the polling personnel/security forces shall be tracked closely and a confirmatory report should be obtained through sector officer on the safe arrival of the polling personnel at the polling station.

The DEO and the RO shall do an advance exercise well before the election to get the conditions of the polling stations physically verified by the field officials. With some advance intervention at the level of DEO, the conditions at the polling stations can be vastly improved. There are reports about polling stations not being provided even with rudimentary facilities like tables and chairs. The DEO, through the sector

officers shall get the physical condition of the polling stations verified well in advance and ensure that provision for basic amenities like tables, chairs, benches, drinking water/toilet, shade for voters etc. are made available. If the power connection is available, the status of switches/bulbs/fans should be checked. If power connection is not there, alternative arrangement should be made.

There are inputs about polling personnel being put to difficulty for lack of provision in remote areas for getting their food. An exercise shall be done through sector officers as to how such problems can be sorted out. It is relevant to note that it may not be desirable on the part of polling personnel to venture out for the sake of getting food in remote locations. It is also undesirable to avail the local hospitality as that may lead to some complaint. In some States there is a practice of providing a Gr.-IV staff in the polling team who cooks food & serves the polling party. The DEO shall review the situation and ensure that proper alternative arrangements are made depending on the local condition and limitations.

The health care of the polling personnel is another important concern. At the training centre as well as in dispatch/reception centres, there should be separate arrangements for providing health care/first aid assistance to the polling personnel. Medical staff/para-medical staff shall be stationed in those locations to be available in case of any requirement.

The reception centre for polled election materials shall be managed efficiently and professionally. Here too, health care staff and equipments should be on duty and arrangement for refreshment, in a manner that would not disturb the receiving of EVM/other documents, should be meticulously planned. It is at this time that the polling party is fatigued and exhausted and tempers might run high. The polling personnel shall not be held up unnecessarily at the reception centre. The reception centre should not be handled by the junior staff of the District Election Office alone and the senior officers shall remain physically present at the reception centre in order to ensure the effective handling of the reception centre. Once EVMs and other documents are handed over, the polling staff shall be relieved from the duty without any delay. Advance measures should be in place to ensure that transport facility including public bus service etc. is available for them to reach home at the earliest.

Apart from this, the CEO shall do a review of present existing system of making ex gratia/compensation payment in case of an unfortunate event of injury or death of polling personnel. There are States where specific problems like Maoist extremism prevail, insurance coverage for those engaged in election duty have been extended. There are States where such compensatory mechanism is extended

directly by the State Government. The CEO of the State shall, in consultation with the State Govt, should review the present policy and submit a factual report to the Election Commission of India indicating the ex gratia/compensation payment mechanism adopted/proposed to be adopted in the State for casualties on election duty.

The Commission desires that the polling personnel facilitation to ensure their well being should become an integral part of election management plan and starting from the CEO of the State down to the RO, this aspect of the election management shall receives adequate attention.

The receipt of this letter may be acknowledged and action taken in this matter may be reported to the Commission.

INSTRUCTION SI. No. 30

Election Commission's Letter No. 62/84, dated 06.11.1984 to Chief Secretaries to State/Union Territories, all Chief Electoral Officers and Ministries of Home Affairs and Law and Justice.

Subject: General Elections/Bye-elections - Guidelines for the conduct of Government servants

I am directed to invite your attention to sections 129 and 134 of the Representation of the People Act, 1951, relating to the conduct of Government servants during elections and to say that the Government of India as well as the State Government have been, before conduct of a general election, issuing instructions regarding the conduct of Government servants in relations to an election, stressing that all the Government employees should maintain an attitude of strict impartiality.

2. The Government employees should not only be impartial but should also appear to be so in relation to the elections. They are required to conduct themselves in such a manner as to inspire confidence in the public in regard to their impartiality so that there might not be any occasion for the people to think that the elections would not be held in a free, fair and pure atmosphere. It should be stressed that they should avoid giving room for any suspicion that they are favouring any party or any candidate. They are not expected to take part in any election campaign or canvassing and should take scrupulous care not to lend their names, official position or authority to assist one individual as against another or one group as against another.
3. With particular reference to the tours that the Ministers might undertake on the eve of the elections, it is necessary that while Government Officers should make all the usual arrangements to enable the Ministers to carry out their responsibilities as Ministers, the Government Officers should not themselves organize any election meetings or be present in person during any such meetings except those who may have to be present to the extent necessary for maintaining law and order and making necessary security arrangements.

The question as to whether a public meeting addressed by a Minister is officially sponsored or is held for election propaganda has to be decided by the Minister himself. In the meeting, the arrangement for organizing it is to be made on the Minister's behalf unofficially and the expenditure in that connection is to be borne by him or his party.

It is further made clear that a public meeting held on the eve of an election is normally to be considered to be an election meeting and the expenses thereof are not to be borne from public funds. The preservation of law and order at every meeting will, however, be the responsibility of the Government officials responsible for law and order.

4. In this connection I am also to enclose a copy of the Ministry of Home Affairs' O.M. No. 25/44/49- Ests, dated 10th October 1949, which clarifies the position regarding the participation of Government servants in political activities vis-d-vis the attendance by Government servants at political meetings, for your information. The

contents of the said O.M. may also be brought to the notice of all Government servants for their guidance.

5. In the matter of election meetings in a public place, the Government officers should not make any distinction between one political party and another in granting permission to hold such meetings. If more parties than one apply for holding a meeting at any place on the same day and at the same hour, the party which applies first should be given preference.

6. Further your attention is specially invited to the provisions of section 134-A of the Representation of the People Act, 1951, which reads as follows:

"134-A Penalty for Government Servants for acting as Election Agent, Polling Agent or Counting Agent:

If any person in the service of the Government acts as an election agent or a polling agent or a counting agent of a candidate at an election he shall be punishable with imprisonment for a term which may extend to three months with fine, or with both."

7. In this connection, I am also to forward herewith an extract of rule 5 of the Central Civil Service (Conduct) Rule, 1964, which inter alia prohibits the Government servants from taking part in politics and elections. It is presumed that similar provisions exist in the rules governing the conduct of Government servants of your State.

8. I am, therefore, to request that necessary instructions in the above regard may kindly be issued by the State Governments emphasizing upon the Government servants that any disregard of instructions would be considered by the Government as a serious act of indiscipline and that in cases of doubt a Government servant should not hesitate to consult his superior officer.

9. A copy of the instructions issued by the State Government may be forwarded for the Commission's record.

The receipt of this letter may please be acknowledged.

[The Commission's letter No. 62/79, dated the 13th November 1979 is hereby superseded.]

COPY

Ministry of Home Affairs O.M. No.25/44/49-Estts. dt. 10th October 1949

Subject: Participation by Government servants in political activities-attendance by Government servants political meeting

Attention is invited to the Ministry of Home Affairs Office Memorandum No. 25/44/49-Estt., dated the 17th September 1949 dealing with the scope of rule 23 (i) of the Government Servant's Conduct Rules which lays down that no Government servant shall take part in, subscribe in aid of, or assist in any way, any political movement in India.

2. Enquiries have been received as to whether attendance by a Government Servant at public meetings organized by political parties would amount to participation in a political movement within the meaning of the rule referred to. Even in regard to this narrower question the position must necessarily remain as stated in the Office Memorandum referred to in paragraph 1, viz :

(i) that whether or not the conduct of any particular nature amounts to participation in a political movement is a question of fact to be decided on merits and in the circumstances of each particular case; and

(ii) that the responsibility for the Government servant's conduct must rest squarely on his shoulders and that a plea of ignorance or misconception as to Government's attitude would not be tenable.

3. The following observations may, however, be of assistance to Government servants in deciding their own course of action :-

(1) Attendance at meetings organized by a political party would always be contrary to rule 23 (i) of the Government Servant's Conduct Rules unless all the following conditions are satisfied:

(a) that the meeting is a public meeting and not in any sense a private or restricted meeting.

(b) that the meeting is not held contrary to any prohibitory order or without permission where permission is needed, and

(c) that the Government servant in question does not himself speak, at or take active or prominent part in organizing or conducting the meeting.

(2) Even where the said conditions are satisfied, while occasional attendance at such meetings may not be construed as a participation in a political movement, frequent or regular attendance by a Government servant at meetings of any particular political party is bound to create the impression that he is a sympathizer of the aims and objects of that party and that in his official capacity he may favour or support the members of that particular party. Conduct which gives cause for such an impression may well be construed as assisting a political movement.

- (3) Government servants have ample facilities through the medium of the press to keep themselves informed regarding the aims, objects and activities of the different political parties and to equip themselves to exercise intelligently their civic right, e.g. the right to vote at elections to Legislature or Local Self-Government institutions.

4. I am to request that Government servant under the control of the Ministry of Finance etc., may be informed accordingly.

To

All Ministries of the Government of India etc., etc.

Extract of Rule 5 of the Central Civil Services (Conduct) Rules, 1964

"Rule 5. Taking part in politics and elections:

(1) No Government servant shall be a member of nor be otherwise associated with any political party or any organization which takes part in politics nor shall he take part in, subscribe in aid of, or assist in any other manner, any political movement or activity.

(2) It shall be the duty of every Government servant to endeavour to prevent any member of his family from taking part in, subscribing in aid of or assisting in any other manner any movement or activity which is, or tends directly or indirectly to be, subversive or the Government as by law established and where a Government servant is unable to prevent a member of his family from taking part in, or subscribing in aid of or assisting in any other manner, any such movement or activity he shall make a report to that effect to the Government.

(3) If any question arises whether a party is a political or whether any organization takes part in politics or whether any movement or activity falls within the scope of sub-rule (2) the decision of the Government thereon shall be final.

(4) No Government servant shall canvass or otherwise interfere with, or use his influence in connection with or take part in, an election to any legislature or local authority;

Provided that-

(1) A Government servant qualified to vote at such election may exercise his right to vote, but where he does so, he shall give no indication of the manner in which he proposes to vote or has voted:

(2) A Government servant shall not be deemed to have contravened the provisions of this sub-rule by reason only that he assists in the conduct of an election in the due performance of a duty imposed on him by or under any law for the time being in force.

Explanation: The display by a Government servant on his personal vehicle or residence of any electoral symbol shall amount to using his influence in connection with an election within the meaning of this sub-rule.

INSTRUCTION SL.NO. 31

Election Commission's Order No.434/1/ES026/94 MCS, dated 24.10.1994 addressed to Chief Secretary/CEOs of all States/Uts

Subject: Designation of Police Officers by State Govt. U/S 28A of RP Act, 1951

ORDER

The Commission vide its order no. 4/93/JS-II dated the 6th January, 1993, had clarified that all police officers and police personnel starting with the Directors General of Police/Inspectors General of Police/Commissioners of Police at the top level, officers immediately subordinate to them, including but not limited to Director Generals, Additional Director Generals, Senior Superintendent Polices Superintendent Polices, Deputy Superintendent Polices and down to Inspectors, Sub-Inspectors, Assistant Sub-Inspectors, Head Constables and Constables (including home guards, ex-servicemen etc.) who are involved in any way in election-related arrangements perform duties in connection with the conduct of elections. They all are thus, by law, officers of the Commission being on deputation to it and are subject to its control, superintendence and discipline and are answerable to the Election Commission for any acts of commission or omission on their part while performing any election-related duties.

2. The Commission had also clarified that like-wise the officers and personnel of Central Police Forces and armed police forces of a State or Special Security Forces, if any, deployed on election - related duties in any State/Union Territory, are also subject to the control, superintendence and discipline of the Commission.

3. The Commission further clarified that the legal position should be immediately brought to the notice of all police officers and police personnel mentioned above for their information and compliance. It may be impressed upon them that any lapse on their part in the performance of their election-related duties will be viewed by the Commission appropriately.

4. In its letter of even number dated 26th July, 1993 the Commission had further elaborated and clarified that in so far as election related arrangement and coordination is concerned, since these are supervised by the Chief Secretary to the Government, he will be deemed to be an officer of the Election Commission while coordinating and supervising the election-related work in the State/Union Territory and will be subject to its control, supervision and discipline within the meaning of Article 324(6) of the Constitution read with section 13CC of the Representation of the People Act, 1950 and section 28A of the Representation of the People Act, 1951. Further as the Home Secretary to the State Government coordinates and supervises the arrangements relating to the maintenance of law and order in the State at the time of elections and performs election related duties he will also be subject to the overall control, superintendence and discipline of the Election Commission within the meaning of the aforementioned provisions of the Constitution and the Representation of the People Act in so far as election related work is concerned.

5. In order that there are no lacunae and misgivings in any one's mind, the Commission has directed that in future the State Governments shall designate all police officers from the level of Director General of Police/Additional Director General of Police/Inspector General of Police/ Commissioner of Police at the top level,

officers immediately subordinate to them and up to the level of head-constable and constable involved in any way in election-related duties, specially under section 28A of the R.R Act, 1951, as soon as the elections are announced and in any case not later than the 3rd day after the date of notification of general election or bye election.

6. Regardless of the nature of election (whether a general election or a bye election) the State Govt. shall invariably designate:

i) The Director General of Police/Additional Director General of Police, Inspector General of Police; and

ii) In a general election, all the police officers below the level of Director General of Police borne on the strength of the State Government; and

iii) In the case of a bye-election the Additional DG/DIG/Commissioner of Police under whose jurisdiction the constituency falls, and all police officers below them in the districts/ constituencies concerned, as designated officers for the purpose of section 28A of the R.R Act, 1951.

7.The Commission has further directed that where any State Government/Union Territory Administration fails to designate any individual police officer or class of police officers of any rank, as directed herein above under section 28A of the Representation of the People Act, 1951, the Commission will insist and ensure that such police officers will not be allowed to deal with any election related work in any manner whatsoever.

8.The Commission has also directed that compliance report in this regard in respect of the State of Goa and Sikkim shall reach by 1700 hours on 25th October, 94 and in respect of the States of Andhra Pradesh and Karnataka after the 3rd day of the date of notification calling for the general elections.

This shall be treated as a standing order for all future elections/bye-elections.

INSTRUCTION SL.NO. 32

Election Commission's letter No. 4/93/J.S.II, dated 06.01.1993 addressed to 1. The Chief Secretaries to the Government of all States (except Jammu & Kashmir) and Union Territories. 2. The Cabinet Secretary to the Government of India, New Delhi and copy endorsed to the Chief Electoral Officers of all States/ Union Territories (except Jammu and Kashmir).

Subject: Officers and staff employed in connection with the conduct of elections police officers regarding

I am directed to state that the Constitution of India vide clause (1) of Article 324 has vested the superintendence, direction and control of, inter alia, the conduct of all elections to Parliament and to the Legislature of every State in the Election Commission. Clause (6) of Article 324 provides that the President or the Governor of a State, shall, when so requested by the Election Commission, make available to the Election Commission such staff as may be necessary for the discharge of the functions conferred on the Election Commission by clause (1).

2. The Parliament has clarified by section 13CC of the Representation for the People Act, 1950, as inserted by the Representation of the People (Amendment) Act, 1988 with effect from 15-3-89, as under: 13CC. Chief Electoral Officers, District Election Officers, etc. deemed to be on deputation to Election Commission. The officers referred to in this Part and ANY OTHER OFFICER or staff employed in connection with the preparation, revision and correction of the electoral rolls for, and the conduct of, all elections shall be deemed to be on deputation to the Election Commission for the period during which they are so employed and such officers and staff shall, during that period, be subject to the control, superintendence and discipline of the Election Commission.

3. By Section 28A of the Representation of People Act, 1951, as inserted by the above mentioned Amendment Act with effect from 15-3-89, the Parliament has also further clarified that any police officer designated for the time being by the State Government for the conduct of any election shall also be likewise deemed to be on deputation to the Election Commission and be subject to its control, superintendence and discipline. The said section 28A is reproduced below :-

28A. Returning Officer, Presiding Officer, etc., deemed to be on deputation to Election Commission:-The returning officer, assistant returning officer, presiding officer, polling officer and any other officer appointed under this part, and any police officer designated for the time being by the State Government, for the conduct of any election shall be deemed to be on deputation to the Election Commission for the period commencing on and from the date of the notification calling for such election and ending with the date of declaration of the results of such election and accordingly, such officers SHALL, during that period, be subject to the control, superintendence and discipline of the Election Commission.

4. At the time of any election in a State/Union Territory, it is essential that proper law and order is maintained in the whole of the State/Union Territory, during the entire election period from the time the elections are announced by the Commission and till the same are completed by the due constitution of the House concerned. Equally important is it to provide due protection and security to the election officers, polling personnel and polling materials as well as to the candidates, leaders of political parties and other important functionaries in each constituency, so as to ensure free and fair election.

5. The above arrangements are the responsibility, duty and function of the police officers and police personnel not only at the constituency level but also of the police officers

at the District level and State level. All police officers and police personnel starting with the Director General of Police/Inspector General of Police/Commissioner of Police at the top level, officers immediately subordinate to them, including but not limited to DIGs, SSPs, SPs, Dy SPs, and down to Inspectors, Sub-Inspectors, Assistant Sub-Inspectors, Head Constables and Constables (including home guards, ex-servicemen, etc.) who are involved in any way in the above mentioned election-related arrangements perform duties in connection with the conduct of elections. They all are thus by law officers of the Commission being on deputation to it and are subject to its control, superintendence and discipline and are answerable to the Commission for any acts of commission on their part while performing any election related duties.

6. Likewise, the officers and personnel of Central Police Forces and any, armed police force of a State or Special Security Forces when deployed on election-related duties in any State/Union Territory are also subject to the Control, superintendence and discipline of the Commission.

7. The Commission desires that the above legal position should be immediately brought to the notice of all police officers and police personnel mentioned above for their information and compliance. It may be impressed upon them that any lapse on their part in the performance of their election relation duties will be viewed by the Commission appropriately.

8. The receipt of this letter may kindly be acknowledged. A copy of the instructions issued by the Government/Administration in this behalf should be endorsed to the Commission for its information and record before 31 -01 -1993.

INSTRUCTION SL.NO. 33

Election Commission's letter No.154/98/PLN-IV, dated 31.08.1998 addressed to Chief Secretaries of all States and UTs and copy endorsed to CEOs of all States and UTs.

Subject: Office of the Chief Electoral Officer - Changes in the officers & staff – regarding

I am directed to state that frequent changes are effected by the State Governments among incumbents in the officers and staff like Additional CEOs., Joint CEOs., Deputy CEOs., Assistant CEOs., etc., posted in the office of the Chief Electoral Officers which impair the important work relating to Electoral management like implementation of the scheme of Electors Photo Identity cards, Computerization of the Electoral Rolls, etc. The Chief Electoral Officers are also greatly inconvenienced by such changes

The Commission had to intervene in many cases in the past in the interest of important democratic process of preparing and conducting free and fair elections. The Commission, therefore, hereby directs that henceforth the officers posted in the offices of the Chief Electoral Officer shall not be relieved unless the Commission approves such transfer or posting. Prior concurrence of the Election Commission of India shall be obtained for any change or transfer of the incumbents in the office of the Chief Electoral Officer. This may kindly be noted by all the State Governments and the Administrations of the Union territories. Kindly acknowledge.

INSTRUCTION SL.NO. 34

Election Commission's Order No.4/2001/JS.II dated 07.02.2001 addressed to the Chief Secretary and Chief Electoral Officers of all States/UTs.

Subject: Disciplinary proceedings against officials appointed on election duty

The question of true purport and extent of disciplinary jurisdiction of the Commission under Section 13CC of the Representation of the People Act, 1950 and Section 28A of Representation of the People Act, 1951, was raised before the Hon'ble Supreme Court in Writ Petition (C) No.606 of 1993 (Election Commission of India Vs. Union of India and others).

2. The Hon'ble Supreme Court, by its order dated 21.9.2000, disposed of the above writ petition in terms of the Terms of Settlement arrived at between the Commission and the Union government and accepted in toto by the State Governments of Tripura, Maharashtra, Tamil Nadu, Andhra Pradesh and Mizoram.

The Terms of Settlement referred to above are reproduced below:

"That the disciplinary functions of the Election Commission of India over officers, staff and police deputed to perform election duties during election period shall extend to -

(a) Suspending any officer/official/police personnel for insubordination or dereliction of duty;

(b) Substituting any officer/official/police personnel by another such person, and returning the substituted individual to the cadre to which he belongs, with appropriate report on his conduct;

(c) Making recommendation to the competent authority, for taking disciplinary action, for any act of insubordination or dereliction of duty, while on election duty. Such recommendation shall be promptly acted upon by the disciplinary authority, and action taken will be communicated to the Election Commission, within a period of six months from the date of the Election Commission's recommendations;

(d) The Government of India will advise the State Governments that they too should follow the above principles and decisions, since a large number of election officials are under their administrative control."

3. In supersession of the Commission's order No.509/General/96 JS.I, dated 18th March, 1996, the Commission hereby directs that the disciplinary action against Officers, Staff and Police Personnel deputed to perform election duties during an election shall hereafter be governed by the above principles and decisions agreed to between the Union Government and the Election Commission and as recorded by the Supreme Court of India in its Order dated 21.9.2000 in writ Petition (C) No.606 of 1993 (Election Commission of India Vs. Union of India and Others).

4. In this connection, a copy each of the letters dated 7-11 -2000 and 8-11 -2000 issued by the Govt. of India, Department of Personnel and Training is enclosed.

No. 11012/7/98-Estt.(A)
Government of India
Ministry of Personnel, Public Grievances and Pensions
(Department of Personnel and Training)

New Delhi, dated the 7th November, 2000

OFFICE MEMORANDUM

Subject: Disciplinary jurisdiction of Election Commission of India over Government servants deputed for election duties

The undersigned is directed to say that one of the issues in Writ Petition (C) No.606/1993 in the matter of Election Commission of India Vs Union of India & Others was regarding jurisdiction of Election Commission of India over the Government servants deputed for election duties under section 28A of the Representation of the People Act, 1951 and section 13CC of the Representation of the People Act, 1950. The Supreme Court by its order dated 21.9.2000 disposed of the said petition in terms of the settlement between the Union of India and Election Commission of India. The said Terms of Settlement are as under:-

"The disciplinary functions of the Election Commission of India over officers, staff and police deputed to perform election duties shall extend to-

- a) Suspending any officer/official/police personnel for insubordination or dereliction of duty;
- b) Substituting any officer/official/police personnel by another such person, and returning the substituted individual to the cadre to which he belongs, with appropriate report on his conduct;
- c) Making recommendation to the competent authority, for taking disciplinary action, for any act of insubordination or dereliction of duty, while on election duty. Such recommendation shall be promptly acted upon by the disciplinary authority, and action taken will be communicated to the Election Commission, within a period of six months from the date of the Election Commission's recommendations;
- d) The Government of India will advise the State Governments that they too should follow the above principles and decisions, since a large number of election officials are under their administrative control."

2. The implication of the disposal of the Writ Petition by the Supreme Court in terms of the above settlement is that the Election Commission can suspend any officer/official/police personnel working under the Central Government or Public Sector Undertaking or an autonomous body fully or substantially financed by the Government for insubordination or dereliction of duty and the Election Commission can also direct substituting any officer/official/police personnel by another person besides making recommendations to the Competent Authority for taking disciplinary action for insubordination or dereliction of duty while engaged in the preparation of electoral rolls or election duty. It is also clarified that it is not necessary to amend the services rules for exercise of powers of suspension by the

Election Commission in this case since these powers are derived from the provisions of section 13CC of the Representation of the People Act, 1950 and section 28A of the Representation of the People Act, 1951 since provisions of these Acts would have overriding effect over the disciplinary rules. However, in case there are any conflicting provisions in an Act governing the disciplinary action, the same are required to be amended suitably in accordance with the Terms of Settlement.

3. All Ministries/Departments are requested to bring the above Terms of Settlement to the notice of all concerned for information and compliance.

Sd/-

(Smt. S. Bandopadhyay)

Director

No.11012/7/98-Estt.(A)
Government of India
Ministry of Personnel, Public Grievances and Pensions
(Department of Personnel and Training)
New Delhi, dated the 8th November, 2000
To

The Chief Secretaries of all the State Governments/UT Administrations.

Subject: Disciplinary jurisdiction of Election Commission of India over Government servants deputed for election duties.

I am directed to say that one of the issues in Writ Petition (C) No.606/1993 in the matter of Election Commission of India Vs Union of India & Others was regarding jurisdiction of Election Commission of India over the Government servants deputed for election duties under section 28A of the Representation of the People Act, 1951 and section 13CC of the Representation of the People Act, 1950. The Supreme Court by its order dated 21.9.2000 disposed of the said petition in terms of the settlement between the Union of India and Election Commission of India. The said Terms of Settlement are as under:-

"The disciplinary functions of the Election Commission of India over officers, staff and police deputed to perform election duties shall extend to-

- a) Suspending any officer/official/police personnel for insubordination or dereliction of duty;
- b) Substituting any officer/official/police personnel by another such person and returning the substituted individual to the cadre to which he belongs, with appropriate report on his conduct;
- c) Making recommendation to the competent authority, for taking disciplinary action, for any act of insubordination or dereliction of duty, while on election duty. Such recommendation shall be promptly acted upon by the disciplinary authority, and action taken will be communicated to the Election Commission, within a period of six months from the date of the Election Commission's recommendations;
- d) The Government of India will advise the State Governments that they too should follow the above principles and decisions, since a large number of election officials are under their administrative control."

2. Government of India has issued instructions to bring the above terms of settlement to the notice of all concerned for information and compliance vide OM NO. 11012/7/98-Estt. (A) dated 7th November, 2000 (Copy enclosed). It is requested that the State Governments may follow the Terms of Settlement above mentioned in the case of officials deputed for election duties by the State Governments.

Yours faithfully,

d/-
(HARINDER SINGH)
Joint Secretary (E)

INSTRUCTION SI. No. 35

No. 4/2008/JS.II

Dated: 30th July, 2008

OFFICE MEMORANDUM

Subject: Disciplinary proceedings against officials appointed on election duty.

The Commission has considered the issue of fixing a uniform policy approach in the cases of disciplinary action against election officials. Disciplinary issues against election officials arise on account of violations of provisions of Law/Rules, Model Code of Conduct and instructions of the Commission and for omissions and commission on the part of the officials which adversely affect the smooth conduct of elections. Individual cases of disciplinary action against the election related officials will be handled by the Zonal Section dealing with the election to which the case relates. For uniform approach in such cases, the following guidelines have been prescribed :

1. In the case of disciplinary cases arising out of violations of Model Code of Conduct and the provisions of the law (Representation of the People Act, etc) :

- (i) In the cases involving senior level officials such as ERO, AERO, RO, ARO, DEO, ADM, SP, DSP, RCS, etc., the cases of violation of law should be treated differently as compared to junior level functionaries. In the case of such senior level officers, the approach should be to take exemplary disciplinary action, so that the signal goes loud and clear to all other officials that there would be zero tolerance on such violation affecting election management.

(ii) The cases involving junior level staff functionaries, such as clerical cadre, constables, etc, appropriate disciplinary action should be taken on the basis of facts and the gravity of the case, keeping in view the fact that action against such junior level functionaries do not reverberate in terms of signals beyond a limited jurisdiction. Out of these, any case of partisan behavior should be viewed seriously as distinguished from procedural lapses, which may be viewed differently.

2. In disciplinary cases involving major seizure of cash and other materials in election related matters:

(i) Exemplary disciplinary action should be taken against officials at all levels who are involved in omissions and commissions related to violation of laws & instructions in such cases.

(ii) The CEO of the concerned State should be directed to monitor and intensely follow up such cases involving violations of laws related to election management, which results in police cases.

3. Disciplinary action against officials representing the Election Commission of India.

(iii) District Election Officer (DEO), Returning Officer (RO), Electoral Registration Officer (ERO), etc. have the most important and sensitive statutory as well as managerial role in the conduct of free and fair elections. In the case of violation, of instructions, omissions and commissions by these officials, especially ROs and EROs, exemplary disciplinary action should be taken so as to ensure that the purity of the election process is upheld.

(iv) The observers, are senior Officers selected from the premier services of the country and they directly represent the Election.

Commission. Hence for willful violations by them severe disciplinary action should be taken. For minor lapses or transgressions, warnings can be issued, if the officer concerned shows contrition and makes amends for any loss to exchequer.

INSTRUCTION SI. No.36

Election Commissions letter No. 4/2008/JS.II Dated: 19th August, 2008 addressed to the Chief Secretaries of all states & Union Territories.

Subject: Violation of law and instructions by election related officials - action to be taken - regarding.

I am directed to send herewith a copy of the Commission's O.M. No. 4/2008/J.S.II dated 30-7-2008, in the matter of disciplinary action against election officials for acts of omission and commission on their part, for your information. As per para 2 of the O.M., the Chief Electoral Officers is to closely monitor cases involving violations of laws, which result in police cases, so that the cases are intensely followed up.

Kindly acknowledge receipt of this letter.

Copy to : Principal Secretaries /Zonal Secretaries

INSTURCTION SI. No. 37

ELECTION COMMISSION OF INDIA
Nirvachan Sadan, Ashoka Road, New Delhi-110001

F.NO. 4/2012-SDR Dated:-

17 September, 2012

To,

The Chief Electoral Officers of
all States/ Union Territories

Sub: Clarification on initiating action against a person violating election laws-
regarding.

Sir/ Madam,

There are provisions in the RP Act 1950, RP Act 1951 and Indian Penal Code etc. dealing with offences in regard to making false declaration in connection with inclusion or exclusion of any entry in or from an electoral roll for electoral offences in connection with elections and for breach of official duty by officers/ persons involved in connection with preparation of electoral rolls and for conduct of elections. Any violation of these provisions is punishable under the relevant legal provisions. For this the election authorities (EROs, ROs, and DEOs etc.) have to initiate action against the persons found guilty of such offences by filing complaints before the competent Court in the case of non-cognizable offence, and by getting FIR registered in the case of a cognizable offence.

It has come to the notice of the Commission that due to inordinate delay in initiating action by the election authorities for violation of election laws, the competent Courts sometimes refuse to entertain the criminal proceedings when filed after the period of limitation prescribed under section 468 read with section 469 of the CrPC. (extract enclosed)

In some cases, referring such cases to other authorities for advice has led to delay in initiating action. In order to avoid such procedural delays, the

Commission hereby directs all election authorities that whenever they consider the necessity, or are directed by the Commission, to initiate any criminal proceedings in any matter, the election authority concerned should directly move the competent court, in the case of non-cognizable offences and the concerned police authorities in case of cognizable offences without approaching/ moving through the Directorate of Prosecution or any other such authority.

The above direction of the Commission shall be brought to the notice of all election authorities for their information and necessary action, whenever required.

Kindly acknowledge receipt

Extracts from Code of Criminal Procedure, 1973

CHAPTER XXXVI : LIMITATION FOR TAKING COGNIZANCE OF CERTAIN OFFENCES

467. Definitions:-For the purposes of this chapter unless the context otherwise requires, "period of limitation" means the period specified in Section 468 for taking cognizance of an offence.

468. Bar to taking cognizance after lapse of the period of limitation:-(1)Except as otherwise provided elsewhere in this Code, no Court shall take cognizance of an offence of the category specified in sub-section (2), after the expiry of the period of limitation.

(2) The period of limitation shall be :

(a) six months, if the offence is punishable with fine only;

(b) one year, if the offence is punishable with imprisonment for a term not exceeding one year;

(c) three years, if the offence is punishable with imprisonment for a term exceeding one year but not exceeding three years.

(3) For the purposes of this section, the period of limitation, in relation to offences which may be tried together, shall be determined with reference to the offence which is punishable with the more severe punishment or, as the case may be, the most severe punishment.

469. Commencement of the period of limitation:-(1)The period of limitation, in relation to an offender, shall commence

(a) on the date of the offence; or

(b) where the commission of the offence was not known to the person aggrieved by the offence or to any police officer, the first day on which offence comes to the knowledge of such person or to any police officer, whichever is earlier; or

(c) where it is not known by whom the offence committed, the first day on which the identity of the offender is known to the person aggrieved by the offence or to the police officer making investigation into the offence, whichever is earlier

(2) In computing the said period, the day from which such period is to be computed shall be excluded.

INSTRUCTION **SI. No. 38**

Election Commission's letter No.437/6/2006/PLN- III, Dated : 06th November, 2006 addressed to The Chief Secretaries to all States and Union Territories & The Chief Electoral Officers of all States and Union Territories.

Subject: General Elections/Bye-elections to the Lok Sabha/Legislative Assemblies of the States/UTs – Posting of Officers – Regarding.

The Commission has, in the past, issued various instructions on transfer/posting of officers for conduct of free and fair elections. These instructions have now been consolidated for the convenience of all concerned, which are as under :-

(i) The Commission has followed the consistent policy to ensure that officers, who are connected with the conduct of elections in the States, do not serve in their home districts or places where they have served for long and decided that no officer connected with elections, directly or indirectly, should be allowed to continue in the present district of posting.

(a) If she/he is posted in her/his home district.

(b) If she/he has completed three years in that district during last four years.

(ii) These instructions will not only cover officers appointed for specific election duties like District Election Officers, Returning Officers and Assistant Returning Officers but also other district level officers like Additional District Magistrates, Deputy Collectors, Sub-Divisional Magistrates, Tehsildars, Block Development Officers. As far as officers in the Police Department are concerned, these instructions shall be applicable to range IGs, DIGs, SSPs, SPs and Sub-Divisional Heads of Police, Inspectors, Sub-Inspectors, Sergeant Majors or equivalent who are responsible for deployment of force in the district at election time.

(iii) The Commission has desired that a detailed review may, therefore, be undertaken before every election in all districts and all such officers should be posted out of their home districts or district where they have completed a tenure of three years out of last four years. While moving officers, who have completed three years in a district out of last four years, care should be taken so that they are not posted to their home districts. While calculating the period of three years, promotion to a post within the district is to be counted. While carrying out this review it must be borne in

mind that these instructions do not apply to officers posted at the State headquarters.

(iv) The Commission also desires that the officers/officials against whom Commission has recommended disciplinary action or who have been charged for any lapse in any election or election related work or who were transferred under the orders of the Commission previously may not be assigned any election related duty(ies).

(v) The Commission further desires that no officer/official against whom a criminal case is pending in any court of law, be associated with the election work or election related duty.

(vi) The Commission in the past has received complaints that while the State Government transfers officials in above categories in pursuance to the directions issued by the Commission, the individuals try to circumvent the objective by entering on leave and physically not moving out of the district from which they stand transferred. The Commission has viewed this seriously and desires that all such officials who stand transferred in pursuance to the instructions referred to above shall be asked to move physically out of the districts from which they stand transferred immediately on receipt of transfer orders.

(vii) The Commission's policy may be implemented prior to the formal announcement of the elections in order to ensure that the State Governments take necessary steps to transfer the officers/officials falling in the categories specified above early. If steps are taken immediately then large-scale dislocation of officers after the announcement of election shall not be necessary.

(viii) Further, while implementing the above directions, the Commission desires that the Chief Electoral Officer of the State shall be consulted while posting the new persons in place of present incumbents who stand transferred as per this policy of the Commission. The copies of the transfer orders issued under these directions shall be given to the Chief Electoral Officers concerned without fail.

(ix) The transfer orders in respect of officers/officials who are engaged in the electoral rolls revision work shall be implemented only after final publication of electoral rolls. (See Commission's instructions No.437/6/2006-PLN-III(Vol.IV) dated 19th December, 2005 & 437/6/2006-PLN-III Vol.I dated 17th April, 2006).

(x) The police officials covered under the above instructions are those who are at present posted in the field or in police lines. The police officials who are

posted in functional departments like computerization, special branch, training, etc. are not covered under these instructions. (See Commission's instructions No.PS/AK/2005 dated 14th January, 2006)

(xi) The Police Officers of the level of Sub-Inspectors should not be posted in their home Assembly Constituencies.

(xii) The Police Officers of the level of Sub-Inspectors should be transferred out of their police Sub-divisions if they have completed tenure of three years during last four years in that sub-division.

(xiii) The Police Officers of the level of Sub-Inspectors shall be transferred out of the district only if they cannot be continued there on the basis of criteria (xi) and (xii). (See Commission's letter No.437/6/2006-PLN-III(Vol.IV) dated 4th February, 2006)

(xiv) Any officer who is due to retire within coming six months will be exempted from the purview of the above-mentioned directions of the Commission. Further such officers may not be engaged for performing elections duties during the elections. (See Commission's instruction No.437/6/2006-PLN-III (Vol.IV), dated 20th February, 2006)

(xv) It is further clarified that all the officials of the States/UTs who are on extension of service or re-employed in different capacities will not be associated with any election related work except those with the Office of CEOs. (See Commission's instructions No.437/6/2006-PLN-III (Vol.IV) dated 6th March, 2006)

(xvi) For Union Territory of Pondicherry which has different territorial region like Mahe, Yanam, Karaikal and Pondicherry each territorial region may be considered as a separate unit for transfer in place of district, which means that an officer concerned under these instructions(a) should not be allowed to continue in his home region and (b) be transferred out of his present region, if he has completed a tenure of 3 years during last four years in that region. (See Election Commission's instruction No.437/6/2006-PLN-III Vol.IV dated 20th January, 2006)

(xvii) So far as Kolkata Police is concerned the transfers may be effected considering territorial divisions as unit in place of districts.

(xviii) For the personnel posted in Darjeeling District of West Bengal, the transfers are to be made by considering the sub-divisions as units in place of districts. (See Commission's instructions No.437/6/2006-PLN-III, dated 29.12.2005)

2. The above instructions may be brought to the notice of all concerned for compliance and receipt of this letter kindly be acknowledged.

INSTRUCTION **SL.NO. 39**

Election Commission's letter No.464/INST/2008/EPS, Date: 23rd December 2008. addressed to The Chief Electoral Officers of all States and Union Territories.

Subject: Tracking the names of officers transferred by the order of the Election Commission of India charged with dereliction of duty etc.

Ref: No.437/6/2006-PLN.III dated 6th November,2006 & ECI message No.100/1994-PLN.I dated 28.3.1994 addressed to the CEOs of all States & UTs.

The Election Commission of India vide the instruction referred to above had directed that a detailed review shall be undertaken before every election in all districts and all such officers should be posted out of their home districts or district where they have completed a tenure of 3 years out of 4 years, and had further directed that officers/officials against whom Commission has recommended disciplinary action or who have been charged for any lapse in election or election related work or who were transferred under the orders of the Commission in the matter may not be assigned to any election related duty.

However, it was observed during recent elections that in spite of efforts made by the CEOs and the DEOs to comply the above instruction of the Commission, there were still some instances of the officers who come under the above criteria and liable to be transferred out of the district to a non election related assignment but managed to stay back and the Commission came to know about this only at a later stage through complaints being raised by various political parties and members of public. These incidents, though few in numbers, send a wrong signal at the field level and non maintenance of proper information about the officers liable to be transferred on the above criteria has been recognised as a reason for some stray incidents of non-compliance. In order to remove the possibility of the occurrence of such incidents in future, the Commission has issued the following directions to make the existing instruction more effective:-

- I. The CEO of the State shall maintain a register in which the information about IAS/IPS officers, DEOs, ROs and EROs transferred by the order of the Election Commission and against whom Commission has recommended disciplinary action or who have been charged for any lapse in election or election related work shall be maintained.
- II. Similarly, the DEO will maintain a register containing information about other junior officers and other staff.
- III. Within 7 days of the announcement of elections by the Election Commission of India the CEO of the State will send a compliance letter to the Zonal Secretary in the Commission confirming that all the officers coming under the above criteria have been transferred. Similarly he shall obtain a similar compliance certificate from all the DEOs confirming that all the officers/staff coming under the above criteria have been transferred to non election related assignment and out of the district.

- IV. With reference to transfer of officers coming under '3 years out of 4 years criteria' and the home district criteria, DEOs shall ensure compliance in respect of ROs, EROs, AROs and AEROs and other election related officials and send a letter to CEO within the time, if any, stipulated for this purpose by the Election Commission of India or CEO and if not, within 7 days of issue of press note announcing the elections. Similarly, information related to DEOs, SSP and SPs and other senior police officials connected with the election work shall be maintained by the CEO and compliance by the State Govt shall be ensured at his level Compliance regarding the transfer of these officers shall be collected from the DEOs and the CEO of the State shall send a consolidated letter of compliance to the Zonal Secretary within 7 days of the announcement of election.
- V. To facilitate the submission of this compliance letter within 7 days of the announcement of election, the CEO and DEO shall collect the information and ensure maintenance of register as stated above well in advance so that no time is lost.
- VI. There are many departments in the State Government that are involved in transferring officials and thereby accountable for the compliance of the above instructions of the Commission. The Commission's instruction regarding transfer of the officials during election shall be brought to the notice of the Secretaries of the departments concerned with a copy to the Chief Secretary. The Chief Secretary may be requested by the CEO to ensure that all the departments concerned comply with the Commission's instruction well in time.
- VII. With regard to bye-elections while the officers coming under the category, dealt within para marked as (I) shall be transferred out of the district within three days of announcement of bye-election and certainly before the first days of receipt of nomination whichever is earlier.
- VIII. The above instruction be followed without any deviation.

INSTRUCTION SI. No. 40

Election Commission's letter No. 437/7/84 dated 09.11.1984 to all Chief Electoral Officers.

Subject: General Election/Bye election-constitution of Standing Committees of political parties at District level for securing their co-operation for revision of rolls and conduct of elections

I am directed to say that after consultation with Chief Electoral Officers and in the light of past experience, it has been decided that there should not be multiplicity of Committees for election purposes and only one committee at the district level should be constituted for election purposes comprising representatives of National and State recognised political parties and district officials and higher level police officers in the district.

2. I am accordingly to request that immediate instructions may be issued for constitution of standing committee comprising representatives of National and State recognised political parties and district officials to enlist the co-operation of the parties (i) in the preparation of the electoral rolls and bringing them as up-to-date as practical; (ii) drawing of lists of polling stations; and (iii) for actual conduct of elections.

3. The meetings of the Committees can be held by the Chief Electoral Officers/District Election Officers/Returning Officers concerned at different stages, e.g.

(1) at the time of draft publication of the rolls (2) before the final publication of the rolls, (3) preparation of lists of polling stations and (4) during conduct of elections.

4. Necessary instructions may be issued to the District Election Officers etc., to hold regularly meetings with the representatives of National and State recognised political parties and also the contesting candidates at the elections to sort out any problem that may be faced by them in the conduct of election. The meetings of the above committees may be held as many times as possible and particularly during the general election and revision of rolls.

5. Minutes of the meetings may also be prepared and kept for record.

6. A Report to the effect such meetings are being held regularly may be sent to the Chief Electoral Officer by concerned officer.

7. The recognised political parties are being addressed separately for necessary action on their part.

8. The earlier instructions contained in the Commission's letter No. 56/4/79 (PP), dated 26th September 1979 may be treated as superseded.
9. The receipt of this letter may kindly be acknowledged.

INSTRUCTION SL NO. 41

Election Commission's letter NO. 464/INST/2008/EPS, Dated: 19th September, 2008 addressed to The Chief Secretaries of All States/Union Territories & The Chief Electoral Officers of all States and Union Territories.

Subject: Drafting of Polling Personnel for election duty – Randomization of Polling Personnel.

It has always been endeavor of the Commission to ensure free and fair elections and towards that end it has issued instructions from time to time on various aspects of election process including formation of polling parties. The duties discharged by polling personnel in the conduct of elections are of vital importance. They are the face of the Commission in the minds of electorate, polling agents, media and the candidates etc. Therefore, sufficient care is needed to draft and deploy such personnel and formation of unbiased polling parties in the interest of free and fair election. Accordingly, the Commission lays down the following instructions for formation of polling parties:-

- (i) A District Election Officer-wise database, of all eligible poll personnel shall be maintained in electronic format, which can facilitate computerized randomization. All relevant particulars like Name, Sex, Place (constituency) of residence, work place, Home Constituency, Designation, Office and Department/Institutions should be entered.
- (ii) The database shall contain, distinctly and separately, the State Govt. employees including Public Sector Undertakings, teachers etc. who can be employed as polling personnel.
- (iii) Similarly the data base shall distinctly and separately contain all Central Govt. employees including PSU, Bank, etc.
- (iv) Separate database shall be prepared of Retired Government of India and State Government officials as well as of Members of NCC & NSS [and reputed NGOs, if & as approved by the Commission]. They shall be used in specified manner only with express prior approval of the Commission.
- (v) Unless otherwise advised, the polling parties shall be formed out of the State Govt. employees. The Central Govt. employees including PSUs and Banks, etc. shall be utilized for selecting suitable persons as Micro Observers.
- (vi) Presiding Officers and Polling Officers shall be classified on the basis of scale of pay and their post and rank. As far as practicable, Gazetted Officers will be deployed as Presiding Officers and failing that officials who are working in supervisory capacity only should be deployed as Presiding Officers. The Presiding Officer should be of higher scale/grade in comparison to other Polling Officers of that formation.
- (vii) In view of the recent instructions regarding issue of postal ballot papers, Assembly Constituency No., Part No., Sl. No. of each and every official shall be indicated. In case, he is not a voter, in the process of continuous updation he should be enrolled in the electoral roll of Assembly Constituency concerned and Elector Photo Identity Card issued. Similarly, the personnel

meant for being appointed as Micro observers should invariably be enrolled as voter and Elector Photo Identity Card issued. Therefore, persons put on polling duties should invariably be voters. Further, no person will be assigned polling duties in an assembly constituency in which he is posted or in which he resides or the constituency, which is his home constituency.

- (viii) In order to avoid any allegation of collusion among the polling personnel in favour of any candidate or political party and to instill confidence in the minds of Political Parties and candidates about free and fair elections, proper mix of polling personnel drawn from different offices and departments at the time of formation of a polling party should be ensured. Two officials of the same serial group/category should not be put together. Further, two members of the polling party should not be from the same department (in case of school teachers, they should not be from the same school).
- (ix) Polling personnel database should include personnel both from the State Government departments as well as the Central government offices. Central Government employees should be utilized for micro observer duties to be decided by Observers. Polling personnel to be posted within the district unless specifically directed otherwise.

Following procedure should be adopted for formation of polling parties:-

- (x) Database & software should be prepared and developed by the CEO and distributed among DEOs. District Election Officers should obtain a complete database of officers eligible for polling party duties from respective authorities. All relevant information should be entered into an electronic database of the computerized randomization application software approved by the CEO for that state.
- (xi) From this full database of officers, a list of 120% of the required number of polling persons should be generated (including reserve) randomly, using the software. This is the first of the three-stage randomization process. Presence of observers is not required at this stage. It should be noted that this randomized list is DEO-wise and not at any other level like subdivision, tehsil, block, assembly constituency etc. This is only to identify and select the officials that would be given training for poll duties as presiding and polling officers in whichever constituency of that district. In no case, at this stage, the identity of the assembly constituency to which the polling personnel are likely to be deployed will be known. All that the polling personnel will know at this stage is whether he (or she) is a presiding officer or a polling officer and the venue and time of trainings.
- (xii) The second stage of randomization exercise should be done in the presence of the Observers deployed in the constituency. At this stage actual polling parties shall be formed on random basis using the randomization software. **The Observer must be present at this stage.** At this stage though the actual polling station will not be known, however, the Assembly Constituency and the team composition may be known. This randomization should not take place before 6 or 7 days from the day of poll. For the purpose of serving the appointment letters, the DEO can use the services of the sponsoring departments/ authority and/or organize a training programme of poll personnel

in team formation on that date when final briefing can be given and appointment letters issued to them. It will also facilitate Postal Balloting.

- (xiii) The third stage of randomization exercise shall take place at the time of dispersal of polling parties when the allocation of polling stations to the polling parties will be done. This third stage randomization for final allocation of Polling Stations to each Polling Party should also be done in the presence of Observers. The actual polling station allotted to individual polling personnel is to be disclosed just before the polling party actually leaves the dispersal centre.
- (xiv) In order to ensure that above instructions are complied with strictly, the Commission directs that the District Election Officer shall furnish to the Election Commission through the Observers and separately to the Chief Electoral Officer of the State/UT a certificate to the following effect immediately after the polling parties have been formed for an election:-

“Certified that:-

(I) The polling parties have been formed by a proper mix of officials drawn from different offices and departments, in presence of Observers and

(II) The officers have been drawn from the State Government departments as well as from the State Public Undertaking etc. as far as practicable.

(III) For the purpose of making polling parties complete data base of all eligible employees in the district has been used.”

- (xv) It is clarified that the entire randomization exercise except the first stage must invariably be done in the presence of the Observers sent to the constituency. If, by any chance, the forming of the polling parties through randomization (second stage) has been done in the absence of the Observers, then the 2nd stage randomization exercise should be done afresh in the presence of Observers and compliance report should be submitted in this regard. The Observers should make a special reference to the randomization exercise observed by them in their constituencies while submitting the report.

Kindly acknowledge receipt.

INSTRUCTION SL NO. 42

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001

No. 464/INST/2009-EPS

Dated: 24th February, 2009

To,

The Chief Electoral Officer All the States and Union Territories

Subject : Drafting of Polling Personnel for election duty General Election 2009 – Randomization of polling personnel – clarification – regarding.

Sir,

I am directed to invite your attention to the Commission's letter No. 464/INST/2008-EPS dated 19-09-2008 and 508/2008-EPS dated 18-12-2008 regarding drafting of polling personnel for election duty and criteria for appointment of District Election Officer/ Returning Officer. The criteria for formation of polling parties for the forth coming general election to the Lok Sabha, 2009 will be as per the instruction given in Commissions letter no. 464/INST/2008-EPS dated 19.09.2009 (copy enclosed). It is reiterated that no person will be assigned polling duties in an assembly constituency in which he is posted or in which he resides or the constituency which is his home assembly constituency.

Database & software should be prepared and developed by the CEO and distributed among DEOs. District Election Officials should obtain a complete database of officers eligible for polling duties from respective authorities. He will maintain database of all eligible polling personnel in electronic format, containing all relevant particulars like Name, Sex, Place (constituency) of residence, work place, Home Constituency, Designation, Office and Department/Institutions in the computerized randomization application software approved by the CEO for that state.

It may be noted that all election logistics within the district shall also be looked after and provided for by the DEO concerned.

In the forthcoming general election to the Lok Sabha, 2009, the following scenarios may arise: -

Scenario No. 1 The entire district consists of only one Parliamentary Constituency, the District Election Officer of that district may be the Returning Officer for the Parliamentary Constituency.

In this case, the randomization of polling personnel (first, second and third stage) for election duty will be done as per the instructions contained in the Commission's aforesaid letter dated 19.09.2008 (copy enclosed).

Scenario No. 2 Where there are two or more Parliamentary Constituencies within one district, the District Election Officer will be the Returning Officer of only one Parliamentary Constituency and another senior most officer not below the rank of ADM may remain as Returning Officer of the second Parliamentary Constituency falling in the same district.

In this case, the first and second stages of randomization of polling personnel for election duty will be done at the District Election Officer level.

The District Election Officers after doing second randomization and once the training is over the District Election Officer will supply a full list to Returning Officer of the other Parliamentary Constituency of that district for the purpose of dispersal of polling party after doing third Stage of randomization. For this purpose, the third stage of randomization of polling parties will be done at the level of the Returning Officer of the concerned Parliamentary Constituency as the dispersal of polling party alongwith material take place from the Returning Officer's or Assistant Returning Officer's Headquarter.

Scenario No. 3 The Parliamentary Constituency consists of entire district as well as some of Assembly Segments of adjoining district and the District Election Officer of the said adjoining district is not the Returning Officer of the Parliamentary Constituency consisting of those assembly segments.

In this case, the District Election Officer of the adjoining district will be incharge of all the logistics to be provided in connection with the election-taking place in his district. As such, the Assembly Segments of the Parliamentary Constituency falling in his district has to be taken care of by the District Election Officer though he is not the Returning Officer/Assistant Returning Officer of these Assembly Segments. In such case, he will prepare a list of polling personnel from the district data base and handover to the concerned Assistant Returning Officer for doing third level of randomization and dispersal of polling parties. The polling personnel so assigned duty should be informed about the place from where their dispersal will be done.

Scenario No. 4 Where a Parliamentary Constituency area overlaps partly in two districts, the District Election Officer of that district where major parts of the Parliamentary Constituency falls shall be the Returning Officer of that Parliamentary Constituency. In case, the District Election Officer of that district is already a Returning Officer of another Parliamentary Constituency of that district, ADM rank officer will be the Returning Officer provided prior permission of the Commission is obtained.

In this case, the procedure mentioned at Scenario No. 3 may be followed.

This may be brought to the notice of all the District Election Officers/ Returning Officers concerned for strict compliance.

Kindly acknowledge receipt of the letter.

INSTRUCTION SL NO.43

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No. 576/3/2011/SDR

Dated 22nd March, 2011

To

The Chief Electoral Officer,
Of all states/Uts

Subject: General Election-Composition of polling party – Reg.

Sir,

I am directed to state that as per existing instructions of the Commission, a polling party would, in normal course, consist of a Presiding Officer and three polling officers. The First Polling Officer is in charge of the marked copy of electoral roll and responsible for identification of the voters. The Second Polling Officer makes the entries in the Register of Voters (Form 17 A) including EPIC/other identification document details, takes the signature of the voters or the thumb impression (in the case of illiterate voters), applies indelible ink on the left forefinger of the voters and issues voters slip to the voters indicating the serial number in which they would be going into the voting compartment to cast vote. The Third Polling Officer is the custodian of the “CU” and activates the “BU” by pressing “BALLOT” button of the “CU” and allows the voter to go inside the voting compartment for casting vote, in the order of the serial number on the voters slip issued by the Second Polling Officer. The Commission has decided that in the event of shortage of polling staff in any particular District/Constituency, then in such places, the polling party may consist of a Presiding Officer and two polling officers as against the three polling officers which is the standard norm. In that case, the duty of the First Polling Officer will also include 2 application of indelible ink on the finger of the voter, after identification of the voter.

The Second Polling Officer will be the custodian of the ‘CU’ also in such cases, in addition to his normal duties of making the entries in Form 17 A (Register of Voters) and taking the signature/thumb impression of electors therein. It is clarified that in such cases where only two polling officers are used, it is not necessary to prepare the voters slip serial numbers. Instead, the Second Polling Officer will activate the “CU” and then send the voters inside the voting compartment exactly in the same sequence in which they sign in the Register of Voters (Form 17A). There will be no need to prepare the voters slips at the polling stations in such cases. Further, in the cases

where number of polling officers is restricted to two, the contesting candidates should be informed in writing in advance about this. The duties to be performed by the two polling officers should also be explained to the candidates. Training content for training of polling personnel shall also accordingly be modified in such cases.
Kindly acknowledge receipt.

Yours faithfully,

(K.F.WILFRED)
SECRETARY

INSTRUCTION SL NO. 44

Election Commission's letter No. 464/INST/2008/EPS, Dated: 5th November, 2008 addressed to The Secretary to the Government of India, Ministry of Defence, New Delhi.

Subject: - Drafting of Senior Division NCC Cadets for Elections.

The Commission has decided that the services of Senior NCC Cadets shall be utilized by deploying them for duties relating to general elections at the polling stations like manning of queues etc.

2. You are requested to issue necessary instructions to authorities concerned to provide necessary assistance in this regard in all the States. The immediate need is in the six States of Chhattisgarh, Madhya Pradesh, Rajasthan, Delhi, Mizoram and Jammu & Kashmir.

3. The Cadets will be required for Poll day duty within their districts only. It will be restricted to poll day (single day). This will be needed in uniform but without any Arms. The Cadets would be paid remuneration at the same rate as any other polling personnel going to polling station for election duty. The poll day when they would be needed on duty is declared a holiday everywhere. The expenditure on logistics of picking up the Cadets and dropping them back will also be borne by State Government concerned.

Copy to: - The Chief Electoral Officers of All States/UTs.

INSTRUCTION SL. NO. 45

Election Commission's letter No. 464/INST/2008/EPS Dated: 18th December, 2008 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: General Election to the House of People, 2009 – Providing of additional Polling Personnel for polling stations having more than 1200 electors –Regarding.

I am directed to state on the subject cited that for the polling stations where the number of electors is more than 1200, and extra polling officer would be provided who shall share the duty of Second Polling Officer and these two Polling Officers will be called Second Polling Officer (A) and Second Polling Officer (B). At such polling stations, Second Polling Officer (A) will be in charge of indelible ink and will inspect the elector's left forefinger to see that it does not bear any sign or trace of indelible ink and then put a mark with the indelible ink in the manner prescribed i.e. from the upper tip of the nail to bottom of the first joint of the forefinger of left hand in form of a line.

2. Second Polling Officer (B) will be in-charge of the Register of Voters in Form-17A and will be responsible for maintaining that Register and making all relevant entries therein. He will also issue a voter's slip to each elector after he has entered elector's particulars in the Register of Voters in accordance with the prescribed procedure. However, before issuing the Voter's Slip to the elector he will ensure that a clear line of indelible ink is marked on the forefinger.

3. An additional person may also be provided in the polling parties who will verify whether the electors standing in the queue to cast vote are carrying proper identification documents. Only those electors should be allowed to stand in queue who are either carrying Electoral photo Identity Card (EPIC) or any one of the alternative documents, if permitted by the Commission.

INSTRUCTION Sl. No.46

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No. 464/INST/2009/EPS

Dated: 1st May 2009

To

1. The Chief Secretaries of all States/UTs
2. The Chief Electoral Officers of all States/UTs

Subject: Engagement of Child labour in the election process- Regarding.

Sir/Madam

I am directed to state that it has come to the notice of the Commission that children were employed for carrying electronic voting machines at Bhagalpur, Bihar during the ongoing general election, 2009. (A copy of photograph published in a newspaper is enclosed) As below 14 years of age in specified occupations such as transport of goods etc. Which are considered unsafe and harmful to child workers and regulates the conditions of work of children in employment. It also lays down penalties for employment of children in violation of the provisions of this Act, other Acts which forbid the employment of children. The Act extends to the whole of India. The child labour Act of 1986 applies to all establishments and workshops wherein any industrial process is carried on (excluding one covered under Section 67 of the Factories Act, 1948.

The Commission has taken strong exception to use of children in work connected with elections. It is highly objectionable. In order to ensure that no such insensitivity is shown by the election related officials anywhere in the country, the Commission has directed that such violation of child rights for any work connected with the election process is in no way acceptable to the Commission. The District Election Officers/Returning Officers shall be informed that they will be personally held responsible for any such violation and will face severe disciplinary action apart from facing the consequences of law, if any such instance comes to the notice of the Commission.

Yours faithfully

(SUMIT MUKHERJEE)
UNDER SECRETARY

132

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No. 464/INST/2013-EPS

Dated: 2nd May, 2013

To

All Recognized Political Parties.

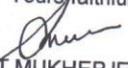
Subject: Engagement of Child Labour in the election related activities – regarding.

Sir/Madam,

I am directed to invite your attention to the Child Labour (Prohibition and Regulation) Act, 1986 which, inter-alia, bans the employment of children below 14 years of age in specified occupations such as transport of goods, etc., which are considered unsafe and harmful to child workers and regulates the conditions of work of children in employment. It also lays down penalties for employment of children in violation of the provisions of this Act, other Acts which forbid the employment of children. The Act extends to the whole of India. The above-mentioned Act applies to all establishments and workshops wherein any industrial process is carried on (excluding one covered under Section 67 of the Factories Act, 1948).

2. The Commission has taken strong exception to use of children in various election related activities. It is highly objectionable. In order to ensure that no such insensitivity is shown by any political parties anywhere in the country, the Commission has directed that such violation of children's right for any work connected with the election process, like, campaigning, carrying of campaigning material, etc., will, in no way, be accepted by the Commission. It is the responsibility of the leaders of the political parties to ensure that there is no violation of these instructions. Necessary action, apart from facing the consequences of law, if any, will be taken by the Commission against erring political parties.

Yours faithfully,


(SUMIT MUKHERJEE)
SECRETARY

Copy to: The CEOs and the Chief Secretaries of all States/UTs.

INSTRUCTION Sl. No. 48

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No. 464/INST/2013/EPS

Dated: 6th November, 2013

To

All Recognised National And State Political Parties.

Subject: Engagement of Child labour in the election related activities- regarding.

Sir/Madam

In continuation of the Commission's letter Nos. 464/INST/2009/EPS, dated 1st May, 2009 and No. 464/INST/2013/EPS dated 2nd May, 2013 on the subject cited, I am directed to enclose herewith a copy of the letter dated 14.10.2013 from the Delhi Commission for Protection of Child Rights forwarding therewith a copy of the judgment dated 15th July, 2013 passed by the Hon'ble High Court of Delhi in W.P. (c) No. 9767 of 2009- Court on its own motion Vs. Govt. of NCT of Delhi and connected matters. In para 21 of the said judgment, the Hon'ble High Court has inter-alia held that, *"However in our view, the Juvenile Justice (Care and Protection of Children) Act, 2000, would apply to children between the age of 14 and 18 years as well as to those children employed below the age of 14 years in non-scheduled occupation and processes. Consequently, the said children would be governed by the Juvenile Justice (Care and Protection of Children) Act, 2000 as well as Bonded Labour System (Abolition) Act, 1976, if applicable and not by CLPRA, 1986, as stipulated in the Delhi Action Plan prepared by the National Commission."*

2. It is advised that the Parties take action to ensure that the observations and directions in the abovementioned judgment are complied with in letter and spirit in the course of their election campaigning and that there is no occasion for any complaint in this regard.

Yours faithfully,

(Jaydeb Lahiri)
UNDER SECRETARY

Copy to: 1. The Chief Electoral Officers of all the States and UTs.
2. Shri Arun Mathur, Chairperson, Delhi Commission for Protection of Child Rights, 5th Floor, ISBT Building, Kashmere Gate, Delhi-110006.

(Jaydeb Lahiri)
UNDER SECRETARY

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001.

No.464/INST/2011 /EPS

Dated: 26th December, 2011

To

- The Chief Electoral Officer of
1. Goa, Panaji,
 2. Manipur, Imphal,
 3. Punjab, Chandigarh,
 4. Uttarakhand, Dehradun,
 5. Uttar Pradesh, Lucknow.

Subject:- Special facilities in polling stations for women electors- regarding.
Sir/Madam,

The Commission has always been emphasizing for enhanced participation of women electors on poll day to improve the turnout of women electors. Instructions exist that in places where two polling stations are set up in the same building or compound, there is no objection to allotting one of them for men and the other for women'. Further, where there are a large number of women electors, especially purdahnasheen women, women Polling Officers should be appointed to carry out the duty of identifying the female voters. The Commission's Order No. 576/11/ESO24/94-J.S.II, dated 21.10.1994 contained in the Compendium of Instructions on Conduct of Elections-Volume-IV also refers for providing special; facilities in polling stations for women voters.

The Commission has now decided, in view of the fact that women electors coming to vote at polling stations have to attend many household chores, two women voters may be allowed entry into polling station for entry of one male voter.

Please inform all concerned and give due publicity to this.

Yours faithfully

SUMIT MUKHERJEE
SECRETARY

D- TRAINING

INSTRUCTION SL. NO. 50

Election Commission's letter No. PS/AK/2005, dated 06.09.2005. addressed to the Chief Electoral Officer, Bihar

Subject: Training of officials/Presiding Officers/ Patrolling & Sector Magistrates on EVMs

The Commission as per its letter no. 51/8/16/8/2004-PLN IV dated December 23, 2004 had issued instructions for proper training of officials/ Presiding Officers/ Patrolling & Sector Magistrates to ensure that EVMs are properly prepared and operated on the day of poll.

2. All District Election Officers/ Returning Officers are hereby directed to ensure that preparation of EVMs must be in accordance with the instructions of the Commission and all Presiding Officers/ Patrolling & Sector Magistrates be trained in EVM operation properly. Necessary certificates must be obtained by them as per enclosed instructions.

3. All Presiding Officers and Polling Officers should also be instructed to ensure that the electors at the time of casting vote should put on the Register of Electors (Form 17A) either full signature or thumb impression. Under no circumstance, an elector having a left thumb be allowed to put any other finger mark on the Register of Electors (Form 17A).

INSTRUCTION SL. NO. 51

Election Commission's Letter No. 464/INST/2008/EPS Dated: 21st January, 2009 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: General Election to the Lok Sabha, 2009 – Training of polling parties on EVMs and procedure to be followed at the polling station - regarding

During previous elections, it has come to the notice of the Commission that there were some difficulties in the operation of Electronic Voting Machines at few polling stations due to the fact that they were not prepared correctly as per the operational manual at the time of initial preparation at the Returning Officers' level. While in some 'slide switch' on the balloting unit was found to be in wrong position, in others, certain other preparatory defects were noticed.

It has further come to the notice of the Commission that some of the Presiding Officers/Sector Magistrates do not take EVM training seriously with the result that they fail to operate the machine at the time of poll.

It has also been noted that some Polling Officers do not apply indelible ink on the elector's left finger properly in the manner prescribed by the Commission and apply it in a very casual manner leaving ample scope for electors to wipe off the ink mark.

Furthermore, it has been observed that in many cases, impression of various fingers of illiterate electors have been obtained on the Register of Electors (Form 17A) instead of their thumb impression as required under the rules.

The Commission has noted these irregularities seriously and issues following instructions to ensure that the same are not repeated in future:-

- (i) Proper intensive and hands-on training should be given to all the concerned polling and counting personnel for preparation and operation of EVMs as well as their sealing after poll and counting.
- (ii) Officers preparing the machines, after preparing them, should give a certificate that they have prepared the machines as per the instructions given and checked them, and that they

understand fully that in case of any error found, they would be held responsible for lapse.

- (iii) All Presiding Officers and Sector Magistrates may be asked to give a certificate that they have been properly trained in the working of EVM and they are confident of using them at the time of poll and that they understand fully that in case they are unable to operate them at the time of poll, they would be held responsible for lapse.
- (iv) During training, proper instructions should be given to polling personnel regarding the correct manner of application of indelible ink.
- (v) All Presiding Officers and Polling Officers should be instructed to ensure that the electors at the time of casting their vote put on the Register of Electors Form (17A) either their full signature or their thumb impression. Under no circumstance, an elector having a left thumb be allowed to put any other finger mark on the Register of Electors (Form 17A).

Kindly bring these instructions to the notice of all District Election Officers, Returning Officers and Observers for strict compliance.

INSTRUCTION SL. NO. 52

Election Commission's letter No.464/INST/2008/EPS Date: 23rd December 2008 addressed to The Chief Electoral Officers of All States and Union Territories.

Sub: - Training of election machinery including polling and police officials associated with conduct of elections.

Elections in India involves the largest event management exercise in the world. Millions of Govt. servants and other persons are directly or indirectly involved in the election process and this inevitably throws up a major human resource management challenge to the election managers and administrators.

A proper and a professionally organized training forms the core of the Human Resource management. Almost all the problems/difficulties confronted during pre-poll process and on the poll day can be traced back to lack of adequate training at various levels.

The importance of imparting proper training has been highlighted in the instructions issued by the Election Commission of India in the past to the CEOs. The Handbook For Returning Officers also underlines the importance of training. After the universal use of EVMs in all polling stations in the elections to the Lok Sabha, 2004, imparting training about the technical and procedural aspect of EVM elections has become the central focus of the training to the election machinery. Besides, there has been a gradual shift in the approach and methodology involved in the conduct of elections and the elections are now very closely supervised. In such a situation, if proper training is not given at every level, the objective of ensuring free and fair election will suffer a serious setback. Considering this, the Election Commission of India has decided to issue a comprehensive instruction on human resource management in elections with a specific focus on training at various levels. The instructions are as follows: -

1. CEO's office

A checklist for the CEOs office shall be prepared by the CEO well in advance. The officers in the CEOs office as per the distribution of the works should get themselves equipped with up-to-date information/instructions/circulars given by the Election Commission of India on various aspects of election management. For example, if an officer is entrusted with the responsibility of EVM management in the State, he should not only be coordinating with EVM manufacturer concerned (BEL or ECIL) and monitor the availability of power packs, availability of EVM at different districts etc. but should also get himself thoroughly informed about new instructions

such as EVM randomization, mock poll certificate, dummy EVM at polling stations etc. so that he will be in a position to guide the DEOs and other nodal officers at the district level who deal with the EVM management. He will also ensure proper training material is prepared and standardized for use at the district level. This is only an illustrative example. Like this on various aspect of election management, the officers in the office of CEO should get themselves fully equipped with up-to-date information.

The CEO shall review the learning and training material available in the State for the purpose of imparting training to the field functionaries as well as aiming at voter awareness. He should prepare a set of multimedia training input on EVM elections as well as prepare a good power point presentation separately on various aspect of election management. While preparing this presentation, he shall make use of the services of some of the efficient DEOs and ROs so that the presentation material has the benefit of field experience. The CEO shall review as to whether the Handbook for Returning Officer, Handbook For Presiding Officer and copies of other relevant books and guidelines are available in adequate number.

The CEO in consultation with some of the efficient DEOs and ROs shall prepare a work chart and a checklist for key election functionaries such as DEOs/ROs/Zonal Officers/Sector Officers/Presiding Officers and micro observers. He shall also prepare an effective FAQ (Frequently Asked Questions) covering all aspects of election management so that the doubts at various levels are cleared.

Recently, in NCT of Delhi and Madhya Pradesh an experiment was made at the level of CEOs by organizing an E-Mail group in which all the stakeholders in election such as DEOs, ROs, SLA and others are included and this platform was used for raising questions and clearing doubts. This E-Mail group functioned as an effective tool in disseminating information and clearing doubts. This can be adopted by all the CEOs right from the beginning during General Election to the Lok Sabha, 2009.

2. District Election Officers

At the district level, the wheel of election machinery revolves around the DEO. The DEO shall provide an effective leadership to the election machinery. Most of the DEOs will have the dual responsibility of being the DEO as well as the RO of a parliamentary constituency. The DEO should prepare a separate checklist for himself as DEO as well as RO. He will hold a meeting with all key election functionaries such as AROs and other senior officials of the district administration

associated with elections and explain to them all the new circulars issued by the Election Commission of India in recent times. He will identify a nodal officer for each thematic activity of the election management. For example there shall be a nodal officer for EVM management and EVM training; another nodal officer for tracking Model Code violations and another one for observer coordination and so on. These officers shall get themselves familiarize with relevant instructions and guidelines so that they are in a position to clarify doubts which could be raised by their subordinate officers.

Further, the DEO shall develop a good work chart and checklist for all key functionaries such as AROs, nodal officers, Zonal Officers, Sector Officers, Presiding Officers and so on. If the CEO of the State has developed and supplied such checklist, the DEO can suitably modify that to suit the local condition so that the outcome will be more functional and elaborate. The RO and ARO shall equip themselves thoroughly with all the statutory aspect of the election management so that the statutory processes such as nomination, scrutiny, allotment of symbol etc. are performed effectively and as per law.

3. Training of polling personnel

The date and venue for training of polling personnel shall be decided well in advance. The polling personnel selected for undergoing training through randomization process should be informed about the training programme in time through sponsoring authorities. The nodal officers appointed by the sponsoring authority shall be made accountable for ensuring the polling staff appointed to attend the training without fail. For this purpose, the sponsoring authority-wise nodal officers' name, designation and contact numbers shall be maintained separately.

Before organizing the training programme, DEO shall review the training material. He should have proper power point presentation, printed leaflets etc. so that the training is effectively conducted. The training programme should not be converted into a huge *mela*. The training should be conducted in smaller group so that there is adequate opportunity for interaction and clearing doubts. More emphasis should be given for 'hands on' training rather than classroom lecture mode. The polling staff shall be encouraged to ask questions and get their doubts clear. It is relevant to note that in the recent past, in many places repolls had to be ordered not on account of any electoral malpractices and vitiation of poll but due to mistakes committed by the Presiding Officers while handling the EVMs. The reason was very basic and the lack of training resulted in such mistakes. This is avoidable.

Hence, the Commission directs that a clear emphasis should be given to impart proper training to the staff.

The Commission has recently issued an instruction to run postal ballot facilitation centers for the benefit of polling staff. Whenever and wherever such facilitation center are organized, care shall be taken by the DEOs to ensure that the operation of facilitation center does not result in compromise of training. A proper record of attendance shall be maintained for each and every training schedule so that no untrained person even inadvertently gets deployed as a polling staff. Apart from imparting training on the technical and procedural aspect of EVM election, the importance of mock poll and mock poll certificate, use of dummy EVM for explaining the method of voting to the illiterate voters also shall be highlighted. A complete set of election material which would be handed over to the Presiding Officer at the time of dispatch shall be kept in the training hall as a model kit so that each and every document, forms and election related material kept in the Presiding Officer kit is explained to them properly. Besides, a copy of the electoral roll, a copy of supplementary roll and other additional information such as list of absentee voter etc. shall also be shown to the Presiding Officers so that they would become aware of those documents and perform duty as per the instruction of the Election Commission of India on the poll day.

Apart from the statutory and non-statutory reports, the Election Commission has directed the Presiding Officers to submit an additional report in the newly prescribed format for quick tracking of information by the RO and the Observer. This form should also be explained to the Presiding Officers so that there is no confusion in their mind.

4. Dissemination of recent and subsequent instructions

During the course of election process, the Election Commission may be issuing various instructions on day-to-day basis. There is every possibility of some of the instructions being sent after the training programmes are over. In such cases, the DEO shall take care to ensure that all the subsequent instructions are printed in the form of leaflet circulated among the polling staff at the time of dispatch of EVM and other election material at the disposal center.

5. Accountability for imparting training

There should be a clear accountability which should be spelt out by the DEO as to who will impart training to whom. As the polling staff cannot perform the polling

duty in a constituency where he is a voter, where he is working and in his native constituency, he will end up doing his election duty in another assembly segment but may be within the same district/PC. In some places, the training is conducted by the sponsoring RO/ARO. In some places, the receiving RO conducts the training and in some places, the officials deputed by the receiving RO/ARO conducts the training in the location of the sponsoring RO/ARO. Whatever the system is adopted, there shall be a clear accountability spelt out by the DEO in this regard. The DEO himself should visit the training location and supervise the training. If the observer is available, he will also participate in the training programme. The process of training shall be videographed and kept for the inspection by the observer or any other officials of the ECI. The CEO will track the system being adopted by the districts.

6. Facilitation at training centre

At the training location, in the light of the recent instructions of the Commission, proper facility should be ensured for the polling staff. Proper drinking water facility provision for first-aid, medical facilities and toilets shall be ensured. The choice of the training hall should be personally supervised by the DEO from the ventilation point of view.

7. Training counter at the dispatch center

Whatever inputs given at the time of training, there is every possibility of some of the Presiding Officers and polling staff still having some doubts about various aspects of poll day management. In order to clear the last moment doubts and give opportunity for 'hands on' training at the dispatch center depending on the strength of the polling staff, few training counters shall be set up at the dispatch center. In this counter, a master trainer who has thorough knowledge about all the aspects of EVM election will explain and clear the doubts of the Presiding Officer about handling EVM. He should encourage Presiding Officers and polling staff to ask questions and clear the doubts. If this is not done, there is a possibility of the Presiding Officer and polling staff experimenting with the EVM machine after reaching the polling station which may result in draining of power pack as well as give scope for rumour among the rural voters about the staff handling EVM on the previous day. In order to avoid this situation, emphasis shall be given for last moment 'hands on' training and leaflet containing recent instructions in the form of bullet points; important dos and don'ts etc. can be prepared and circulated at the training counter at the dispatch center. Apart from this, other procedural aspects of

election and maintenance of Form-17A register, Form 17C, mock poll certificate, visitor sheet, entry pass system etc. shall also be explained.

There is a tendency among the polling staff who have conducted election during the previous years to remain complacent as they believe that they have enough experience in conducting elections. It is relevant to note that lot of new instructions have been given by the Election Commission in recent times. There is possibility of the Presiding Officers not performing their duty properly if they do not become familiar with new instructions. Hence, the importance of training shall be emphasized at every stage and at every level.

As the Commission attaches very high importance to the human resource management, the instruction given in the circular shall be followed scrupulously.

Any deviation from this will be viewed seriously.

INSTRUCTION SL. NO. 53

Election Commission's letter No. 464/INST/2008/EPS, Date: 26th December, 2008 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: - General Election to the Lok Sabha, 2009 – Training of Presiding Officers – Filling up of Presiding Officer's Diaries – Regarding.

It has come to the notice of the Commission that in the past General Election to the Legislative Assemblies, some Presiding Officers did not write the Presiding Officer's Diaries properly which led to a doubt on the purity of the electoral process. Besides few cases of mismatch of Form 17A and Form 17C, instances have been noticed when the Polling Agents were not provided with attested copies of the account of votes recorded in Form 17C in spite of clear instructions in this regard in the Handbook for Presiding Officers.

2. At the time of training of the Presiding Officers, this should be kept in mind and the Presiding Officers should be asked to be very careful while filling up the Presiding Officer's Diary. If, for any reason, there is any difference in the number of electors casting their votes as reflected in Form 17A, Form 17C and the votes recorded in EVM, the discrepancy must be explained clearly in the Presiding Officer's Dairy.

3. The Sector Officers shall personally ascertain from the Presiding Officer of the polling station coming under his jurisdiction and confirm that the Presiding Officers have properly written their diaries. Over and above this at the reception centre at the time of receiving EVM and other statutory, non-statutory documents from the Presiding Officer, a question shall be asked whether he has written the Presiding Officer's diary or not. Only after confirming this, the Presiding Officer shall be relieved from the reception centre.

4. The above instructions may be reiterated and brought to the notice of all concerned appropriately.

INSTRUCTION SL. NO. 54

Election Commission's letter No.464/INST/2009-EPS Dated: 9th January, 2009 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: Training of police officials associated with conduct of elections.

I am directed to say that the police machinery plays a key role in the conduct of elections in a peaceful and orderly manner. But a peaceful election free from violence need not necessarily be a free and fair election. Therefore, correct and unbiased implementation of the election laws and Election Commission's directions is necessary. That presupposes a familiarity with the laws and instructions and can come about only through the proper/periodic training. Commission has earlier issued instructions for the training of election staff other than the police. Now the following directions are issued regarding training of police personnel associated with conduct of elections:

1. Police headquarter level

The State police headquarters should develop a checklist for the key officials associated with the conduct of election indicating their duties and responsibilities in the light of new instructions being issued from the Election Commission of India from time to time. Besides, a reference material should also be prepared indicating the relevant Sections of Indian Penal Code, the R.P Act and Model Code of Conduct (MCC) that are relevant during the course of elections. This reference material book should indicate the nature of offence and the relevant Sections whether cognizable or noncognizable and the action to be taken in case of any violation.

This reference material should be made available to all field officials as well. There should be a proper coordination between the CEO's office and the police headquarters. Whenever the Election Commission of India issues any instruction connected with maintenance of law and order preparation of State/district level deployment plan of CPF etc., the guidelines should be immediately brought to the notice of police headquarters. **The DGP may identify a senior officer who would function as a nodal officer to remain in touch with the CEO on day-to-day basis so that the Commission's instructions from time to time are followed up and implemented.**

2. At district level

The DEO-cum-District Collector/DM will hold a meeting with SP and senior police officials and apprise them of all the recent instructions of the Election Commission of India. In this meeting, the attention of the police officials should be drawn to Commission's instructions regarding maintenance of law and order, execution of non-bailable warrants, seizure of illegal arms, surrender of licensed weapons, enforcement of excise law, action against bootleggers, restriction on use of vehicles, instruction regarding prior permission for campaign vehicles, ban on use of any vehicle for transporting voters on the day of poll etc.

The enforcement of MCC is the joint responsibility of the district electoral administration as well as the police administration. Clear accountability should be spelt out when the district administration/RO forms MCC squads/teams. There

should be a specific accountability for the police officials at the Thana level. A Complaint Monitoring System should also be introduced for tracking election related violence and offence and case book in that connection. The DEO and SP will review the status of the election related cases while during the previous election and expedite follow up action in this regard.

3. Concept of vulnerability mapping and identification of critical polling station and critical clusters

The training to be organized by the district administration (DEO and SP) for police officials should be planned in advance and proper training material should be prepared. Copies of the relevant circulars of the Election Commission of India and extracts of relevant law should also be circulated in the training programme. The Commission has introduced a new concept of vulnerability mapping and specific process for identifying the critical polling stations and critical clusters (refer circular no. 464/INST/2008 dt. 24.10.08).

The conventional method of identifying hyper sensitive/sensitive/normal polling stations adopts the law and order and past events of violence as the major criteria. However, the new system of identifying critical polling stations and critical clusters gives lots of importance for the factors associated with free and fair election process. As the vulnerability mapping gives valid inputs for identification of critical polling stations and critical clusters, the entire concept should be explained to the police officials properly by the DEO and SP.

4. Misuse of money power and election expenditure

The misuse of money power has emerged as a big challenge to the free and fair election process. Feedback has been received about candidates indulging in various methods to induce the voters which includes outright payment of cash that amounts to bribery and other forms of inducements such as supply of liquor, food packets, holding of lunch and dinner parties in the name of “birthdays” and other “anniversaries” to give election related inducement, distribution of gifts in the name of masswedding and so on. During the election process, a close tracking by each and every Thana officer of such payments and other forms of inducement at their level is essential. The field level election machinery/police administration should work as a team to track such types of malpractices.

Whenever such event takes place, video coverage of such events shall be ensured so that sufficient documentary evidence is created. It is noticed that liquor is transported even from the far away States to the election going States. Proper checking at the inter-State border and commercial tax check post will be helpful in tracking such transportation of liquor. Whenever a consignment of such liquor is intercepted, proper investigation should follow to trace the origin of such supply and the destination. A proper investigation is essential to establish linkage between the election process and the supply of such inducements. Media should be briefed whenever such consignments are seized. A proper orientation about this should be given to the police officials during training programmes.

Information on the Commission’s instructions about ceiling for expenditure in election, the details of Section 77 of R.P Act regarding the list of star campaigners to be submitted by the recognized party and the implications therein should be

explained to the police officials. Section 171 H which deals with the illegal payment by any person for furthering interest of a candidate should be explained to the police officials during training programme.

5. Defacement of Public properties

The Election Commission has been issuing a detailed instructions regarding prevention of Defacement of Public Property and other connected issues. In this regard, the latest Commission's instruction vide no. 3/7/2008/JS II dated 07.10.08 needs to be properly studied. The steps to be taken to implement the Commission's order in the context of prevailing law if any, applicable to the State should be properly explained. The methodology to be adopted for enforcement of Commission's instruction should be discussed in the training programme and explained to the field officials.

6. Discipline during nomination process

Commission has issued instructions to ensure proper discipline during the process of filing of nomination. During the filing of nomination, the candidate can be accompanied by only the four other persons (refer 464/inst/2007/PS I dated 09.02.07). This restriction by and large is being strictly followed. However, there are instances in which these instructions are not followed resulting in chaotic situation inside the RO's room. In order to ensure that proper discipline is maintained and the Commission's instructions regarding restriction of persons, a nodal officer needs to be identified by the SP of the district who would be responsible for sanitizing the area of RO's premises and ensure that only the permitted number of people are allowed to go inside the RO's room and the entire proceeding should be video graphed. Duties and responsibilities of the nodal officer in this regard, should be properly explained by the SP to the nodal officer during training session. Publication of election pamphlets in violation of Section 177 A of the RP Act, 1951 needs close tracking and monitoring.

7. Communal harmony

The police administration should remain vigilant about circulation of campaign material which can affect the communal harmony. In this regard, a strict vigil needs to be kept. The provisions of electoral law as well as model code of conduct in this regard shall be explained to the police officials during training programme.

8. Poll day and counting day duties

An orientation should be given to the police officials about the polling process on the poll day and other connected issues and about the duties and responsibilities of various police officials on poll day with reference to security at polling station, patrolling of the catchments areas, tracking of areas identified as vulnerable, transportation of polled EVMs, sealing and guarding of strong rooms and the counting day arrangements.

9. CPF coordination

Whenever CPF are deployed in a district/constituency, a proper coordination has to be ensured so that the deployment of CPF becomes more effective and functional. Hence, a proper orientation needs to be given about the role of CPF vis-à-vis State police/local police and other coordination responsibilities.

10. Directory of electoral administration

A directory of key functionaries of the electoral administration both civil and police should be prepared indicating the names, designation, landline and mobile numbers so that coordination between civil authorities and the police authorities is ensured.

These instructions may be brought to the notice of all concerned for compliance.

E-HONORARIUM/ REMUNERATION

INSTRUCTION SL. NO. 55

Election Commission's Order No. 218/4/96/PLN-IV dated 09.02.1996.

Subject: Payment of 100% T.A/D.A as advance to persons put on election duty

At every general election, lakh of public servants will be on election duty. The Commission has been receiving a large number of complaints that the TA/DA admissible to the public servants put on election duty is not paid in time and unduly delayed. This leads not only to financial hardship to the individuals put on election duty but also generates resentment against election duty.

2. The Election Commission of India has carefully considered this issue and directs as follows:

- 2.1 All persons put on election duty should be paid as far as possible, 100% of the TA/DA admissible to them immediately within 24 hours of the completion of their duty.
- 2.2 If any State/Union Territory has adopted the practice of paying the 100% TA/DA as advance to the persons put on election duty, the practice may be continued.
- 2.3 In these States where it is not possible to pay 100% TA/DA to persons put on election duty either within 24 hours of the completion of the duty or as advance as directed at paras 2.1 and/ or 2.2 above, they may continue with the existing practice of payment of 80% of the TA/DA as advance and the balance shall be paid within 30 days of the completion of the election.
- 2.4 The District Election Officers/Returning Officers will be personally responsible for ensuring the payment as directed above.
- 2.5 The District Election Officers/Returning Officers, as the case may be, shall at the time of putting Officers/Officials on election duty direct the drawing and disbursing officers concerned to draw the amount calculated as being due to the persons put on election duty and disburse the same under proper acknowledgement either within 24 hours of performance of the duty as mentioned in para 2.1 above or as advance as mentioned in para 2.2 and 2.3 above.
- 2.6 The Drawing and Disbursement Officer shall not wait for any specific request from the officers appointed for election related duty for drawing the advance, but shall treat the appointment order issued by the District Election Officer/Returning Officer itself as the proof and request on behalf of the officers/officials concerned.
- 2.7 District Election Officers/Returning Officers are directed to call a meeting of the Heads of the Departments and Drawing and Disbursement Officers and make it clear to them well in time before the duties commence that prompt payment

of TA/DA as mentioned in para 2.1 or 2.2 or 2.3 above is an absolutely essential part of the election related work and omissions are not acceptable.

2.8 If after receipt of advance amount as mentioned in para 2.2 or 2.3 above, any official, for any reason whatsoever, does not find it possible to perform the official duty assigned to him, he shall forthwith return the amount so paid and obtain a receipt therefor.

3. The above directions are in supersession of the Order, dated 06-05-1994 issued by the Commission and shall be complied with without exception. These will be standing instructions for all general/bye- elections.

4. The Chief Electoral Officers shall bring the above directions to the notice of all District Election Officers/Returning Officers in the State/Union Territory.

INSTRUCTION SL. NO. 56

Election Commission's letter No. 218/4/98/PLN-IV dated 23.06.1998 addressed to Chief Secretaries of all States and UTs and copy endorsed to CEOs of all States and UTs, Secretary to the Govt. of India, Ministry of Law & Justice, Legislative Department, New Delhi.

Subject: General Elections - Payment of honorarium – regarding

It has been brought to the notice of the Commission that some anomalies exist in the matter of payment of Honorarium to the officers appointed/designated as District Election Officers, Returning Officers, Assistant Returning Officers, etc. for the conduct of general elections in the States. On the one hand some of the States have been granting a minimum of one month pay as honorarium to non-gazetted staff for the conduct of elections, on the other officers designated by the Commission as DEOs, ROs, AROs, etc. are being paid Rs. 500/- to Rs. 200.

2. The above position has resulted in a situation where in some cases the staff in lower grades are getting more amount as honorarium than the DEOs, ROs. etc.

3. Taking into account the ground realities and in order to bring uniformity and to clarify any doubts, the Commission has decided that –

(i) The payment of honorarium should be made equitable to all employees directly connected with elections in the States and Union Territories.

(ii) Payment of such honorarium should not exceed the total pay of one month of the concerned employees.

(iii) Such payments will be made in respect of all elections held to Parliament and Assemblies.

(iv) The categories of staff who shall be eligible for payment of honorarium will be decided by the State Government concerned in consultation with the Chief Electoral Officer of the State/UT concerned.

INSTRUCTION SL. NO. 57

Election Commission's letter No. 458/4/98/Vol.II/PLN-IV dated 08.12.1998 addressed to CEOs of all States and UTs. [This facility of remuneration has been extended to the police personnel actually deployed on election related duties also vide Commission letter No.458/4/99-PLN-IV, Dated 9th August, 1999 (Item No.44)]

Subject: Payment of remuneration to staff deployed on poll/counting duty-extension of facilities to police personnel who are deployed in election related work-regarding

I am directed to invite your attention to Commission's letter No. 458/4/95/PLN-IV, dated 14th March, 1996 and letter No. 458/4/96/RS.IV/Vol. I, dated 20th May, 1996, on the subject cited and to state that Commission have been receiving requests from the police personnel who are deployed for election related duties, for extending the same facilities to them as is being given to the staff who are deployed on polling/counting duties.

The Commission has further examined the matter and directed that the police personnel who are called for training in pursuance of Commission's order no. 62/ESO14/94/RS.L, dated 14.9.1994 and also the police personnel actually deployed on election related duties may also be paid remuneration etc. on the same criteria as is being paid to the polling/counting personnel.

INSTRUCTION SL. NO. 58

Election Commissions letter No. 464/INST/2009/EPS Dated: 9th January, 2009 addressed to The Chief Electoral Officers of All States/Union Territories,

Subject: Fixing of Uniform rate of remuneration for payment to staff deployed on poll/counting duty and for provision of minimum facilities-Regarding

Sir/Madam,

In supersession of Commission's earlier instruction issued vide letter Nos. 458/4/95/PLN/IV dated 14th March, 1996 and 458/4/99/PLN/IV dated 10th September, 1999 regarding fixing of minimum rate of remuneration for staff deployed on poll/counting duty, the Commission has recommended the following rates in order to streamline and simplify the procedure for calculation of remuneration payable to polling/counting personnel:-

	Presiding officers/ Counting Supervisors	Polling officers/ Counting assistants	Class -IV
Per day or Part thereof	250/-	175/-	100/-

The above rates are payable to the staff for attending training classes, collecting polling materials, etc. and also for attending duty on the polling day/counting day.

2. The Commission has also directed that staff deployed at all polling stations/counting centres may be, provided with packed lunch and or light refreshment at the rate of Rs. 100/- per head per day. In case of difficulty in providing packed lunch, a cash payment @ Rs. 100/- per head may be made.

3. The expenditure incurred on this account will be shared between the Central and State Government on 50:50 basis when election to the House of the People and the State Legislative Assemblies are held simultaneously.

4. The rates recommended by the Commission at paragraph 1 & 2 above are MINIMUM rates. These rates: will come into force prospectively and will have no retrospective effect.

5. The police personnel deployed on election work on poll day/Counting day including mobile Parties/Home Guards/Forest Guards/Gram Rakshak Dal/NCC (senior) Cadets/Ex-Army/CPF may be provided with packed lunch/refreshment or payment in lieu thereof as is being given to polling/counting personnel.

6. Sector Officer/Zonal Magistrate may be paid remuneration at a lump sum rate of Rs. 800/-.

7. The States/Union Territories which are paying more than the minimum rates recommended by the Commission may continue to pay at the higher rates.

8. Kindly acknowledge receipt immediately.

INSTRUCTION SL. NO. 59

Election Commissions letter No. 464/INST/2012/EPS Dated: 29th January, 2012 addressed to The Chief Electoral Officers of All States/Union Territories,

Subject: Payment of remuneration to police personnel deployed on poll/counting duties-Reg.

Ref: Commission's letter No.464/INST/2009/EPS dated 9-1-2009

Sir/Madam,

With reference to Commission's above referred letter, some doubts have been raised by some CEOs on whether the police personnel put on election duties shall be paid remuneration at the same rate as polling/counting personnel or not.

It is clarified that the instructions contained in the Commission's letter No.464/INST/2009/EPS dated 9.1.2009 shall apply equally to polling/counting staff and to police personnel actually deployed at the polling booths and counting centers.

Packed lunch/refreshment referred to in paragraph 5 of the letter under reference shall be given in addition to all including mobile police parties/Home Guards/Forest Guards/Gram Rakshak Dal/NCC (Senior Cadets/Ex-Army/CPF etc.

Kindly acknowledge this letter.

Yours faithfully

SUMIT MUKHERJEE
SECRETARY

INSTRUCTION SL. NO. 60

**ELECTION COMMISSION OF INDIA
NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001**

No.464/INST/2013/EPS

Dated: 6th September, 2013

To

The Chief Electoral Officers,
All the States and Union Territories.

Subject: Reimbursement of TA of polling personnel and observer for attending judicial proceedings of behalf of the Commission after retirement – regarding.

Sir,

I am directed to state that during elections, the officers/ officials of the Government are nominated as Polling Personnel/ Observers on behalf of Election Commission of India and they perform such other functions as may be entrusted to them by the Commission.

2. However, instances have come to the notice of the Commission, where, these officers/officials sometimes, even after their retirement, have to appear in different courts to attend judicial proceedings arose on account of the functions performed by them during elections. Though, these persons on their appearance are reimbursed the amount of Travelling Allowance/Dearness Allowance incurred by them by the court as per the rates fixed by the Court concerned, in some cases they face problem or have to wait for reimbursement for a long period.

3. In cognition to the practical problem faced by these persons, the Commission has decided that such persons, on their appearance before Court, can raise their claims from the Election Commission of India also through Chief Electoral Officer of the state concerned provided that they furnish a certificate that they have not claimed and Travelling Allowance from the Court or from any other office.

Yours faithfully,

**(SUMIT MUKHERJEE)
SECRETARY**

INSTRUCTION SL. NO. 61

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001

No.464/INST/2013/EPS

Dated: 6th September, 2013

To

The Chief Secretaries/Administrators,
All States and Union Territories.

Subject: General / Bye Elections -Payment of Honorarium – Regarding.

Sir,

I am directed to refer to the Commission's letter No. 218/4/98/PLN-IV, dated 23rd June, 198 on the subject cited, and to state that there have been references from the Chief Electoral Officers of various States/UTs seeking clarification as to whether honorarium is payable to the officers/staff directly connected with conduct of Bye-elections, In this regard, it is clarified that the Commission's said instruction for payment of honorarium is for General Election only and not for Bye-Elections.

Yours faithfully,

(SUMIT MUKHERJEE)
SECRETARY

Copy to:

1. The Chief Electoral Officers of all States and Union Territories.
2. The Secretary to the Govt. of India, Ministry of Law & Justice, Legislative Deptt. New Delhi.

INSTRUCTION SL. NO. 62

Election Commissions letter No. 218/6/2003 PLN-I dated 06.02.2003 addressed to the Chief Secretaries/ CEOs of all States and Unions Territories.

Subject: Payment of Ex-gratia Compensation to the families of polling personnel who die or sustain injuries while on election duty

Reference: Commission's letters (1) No. 218/6/96-PS-II dated 8-10-1996
(2) No. 218/6/98-PLN-I dated 25-11-1998

I am directed to say that during the General Elections to Bihar Legislative Assembly held in February 2000, the Government of Bihar had taken a Group Personal Accident Insurance policy for persons assigned with election duties. A Memorandum of Understanding was signed with the Insurance companies (Copy enclosed). In the election process, one Shri Pershram Singh, polling officer, died because of heart attack and the insurance company rejected the claim for compensation preferred by his widow. The widow moved the Patna High Court, which decided the case in her favour.

2. While deciding the case, the High Court has made the following observations:-

"Before parting with the records of the case, this Court would like to observe that in order to avoid any such controversy in future, the Election Commission must insist on a suitable amendment in the cover clause of MOU. It appears to me that in place of the expression "death only resulting solely and directly from accident caused by external violence and any other visible means" the expressions used in Section 3 of the Workmen Compensation Act may be substituted, that is to say, "death arising out of and in the course of election duty."

3. Accordingly I am to request that the above observations of the Patna High Court may be kept in view and the relevant clause worded accordingly while taking Group Insurance cover for polling personnel and signing Memorandum of Understanding with the insurance companies.

4. The receipt of the letter may kindly be acknowledged.

MEMORANDUM OF UNDERSTANDING

Re: Group Personal Accident Insurance for persons assigned with election duties

In consideration of the premium to be received from the Chief Electoral Officer, as per the computation made below the following points have been mutually agreed upon and placed on record.

1. Persons Covered

Any person deployed by the Competent Authority for the election related work for the ensuing Bihar Assembly Elections in the month of February, 2000.

2. Numbers and category of persons

S.No.	Category A	Number Persons	of
01.	Presiding Officers	83124	
02.	Polling Officers	249372	
03.	Gram Raksha Dal & Chowkidars	83124	
04.	Patrolling & other Magistrates	7151	
05.	Drivers of Patrolling Vehicles	27151	
06.	Drivers associated with polling team & other Officers on election duty	20781	
07.	Police Force on deputation from other state	3330	
08.	Bihar Military Police	7440	
09.	District Police Force (First Phase)	17412	
10.	District Police Force (Second Phase)	11011	
11.	District Police Force (Third Phase)	8098	
12.	Home Guards	54420	
13.	Other Civil Servants & Police Officers Associated with election process like DM/DC,SRDDC,ADM,RO,SDPO. etc.	500	
	Total	592914	

Sum Insured for above category will be Rs. 10 lacs per person

Category B

Members of Central Para Military Forces (C.R.RF./B.S.F./C.I.S.F./I.T.B.R/SSB etc.) deployed on election duty = 32670.

The Sum Insured for the above category will be Rs.2.50 lac per person.

3. Scope of Cover

The Insurance is intended to provide for the payment of compensation in the event of death only resulting solely and directly from accident caused by external violent and any other visible means.

4. Period of Cover

The period of insurance shall commence from the date of receipt of premium and will continue to remain in force for the next 30 days.

5. Compensation Payable

A. In the event of death due to accident while performing election duty for Category specified as 2A above Rs. 10 lac per person

In the event of death due to accident while performing election duty for Category specified as 2B above Rs.2.50 lac per person

6. Claims Procedure

Following documents will be required for the settlement of the claim

Claim Form, Post Mortem Report/Death certificate, F.I.R. Competent Authority's certificate in confirmation of election duty assigned to the deceased duly forwarded by the concerned DM/DC.

All possible cooperation would be extended by the Govt. Authorities for expeditious disposal of the claim.

7. Notice of Claim: The claim will be intimated to the National Insurance Co. Ltd. immediately on its occurrence at its Regional Office, Sone Bhawan, Bircchand Patel Marg, Patna. (Phone:220979, 223103. Fax: 0612-220973). On receipt of the intimation, the local office at the place of occurrence shall be liaising with the govt. agencies in getting the desired papers completed in all respect.

8. Payment of Compensation

It is agreed that the payment of compensation shall be made to the nominee of the deceased as confirmed & certified by the concerned DM/DC. In such cases where nominee's name is not confirmed/ certified by the concerned DM/DC, the payment would directly be made in the name of the DM/DC within 7 days of the submission of above mentioned required papers. The Chief Electoral Officer, Bihar will be kept posted with the status of the claim.

9. Computation of Premium

Category	S.I. Per Person	No. of Persons		
Premium				
2A	Rs. 10 lacs	592914	@Rs.79/-	Rs. 46840206/-
2B	Rs. 2.50 lacs	32670	@Rs.19.75	Rs.645233/-
			Total	Rs.47485439/-

The premium cheque to be issued in favour of National Insurance Company Ltd. who will receive the premium on behalf of all the four Insurance Companies.

The premium bill is enclosed herewith.

This MOU is being signed by the representatives of all the four Insurance Companies.

Sd/-

(A. K. BASU)
Chief Electoral Officer,
BIHAR

Sd/-
(S. Rasul)
Dy Manager
National
Insurance
Regional Office

Sd/-
(B.N. Prasad)
Sr.D.M.
New India Insurance
Divisional Office
PATNA

Sd/-
(Rajan)
Sr.D.M.
Oriental Insurance
Divisional Office
PATNA

Sd/-
(M.K.Sinha)
Sr. D.M.
United India Insurance
Divisional Office
PATNA

INSTRUCTION SL. NO. 63

Election Commissions letter No.218.6//2006/EPS Dated: 5th November, 2008 addressed to The Chief Secretaries and the Chief Electoral Officers of all States/UTs

Subject: Payment of Ex-gratia compensation to the families of polling personnel who die or sustain injuries while on election duty.

I am directed to state that on a reference from the Chief Electoral Officer, Bihar vide letter no. EIS-1/2006 dated 12.11.2007 seeking further amplification of the term election duty for the purpose of grant of ex-gratia compensation, the Commission took up the matter with Govt. of India in the Ministry of Law & Justice for consideration and recommendation. The Ministry of Law and Justice vide its letter No. G-27031/16/99-B & A (Pt) dated 14-08-2008 clarified that it would be reasonable to consider a person on election duty as soon as he leaves his residence/office to report for any election related duty including training and until he reaches back his residence/office after performance of his election duty. If any mishap takes place during this period, it should be treated as having occurred on election duty **subject to the condition that there should be a causal connection between occurrence of death/injury and the election duty.** A copy of the said letter dated 14-08-2008 is enclosed herewith.

2. In view of the above clarification given by the Ministry of Law & Justice, the Commission desires that the relevant clause be worded accordingly to describe the meaning of the word "election duty" while entering Group Insurance cover for polling personnel and signing Memorandum of Understanding with the insurance Companies.

3. The receipt of the letter may kindly be acknowledge.

No. G-27031/16/99-B&A(Pt.)
Government of India
Ministry of Law and Justice
Legislative Department

New Delhi, the 14th August, 2008

To:

Shri K. Ajay Kumar, Secretary,
Election Commission of India
Nirvachan Sadan,
Ashoka Road,
New Delhi-110005

Sub: Payment of Ex-gratia compensation to the families of polling personnel who die or sustain injuries while on election duty - regarding.

Sir,

I am directed to refer to your letter No. 218/6/2006/PLN-I/3397 dated 17.06.2008, on the subject cited above, and to say that the matter has been examined in consultation with the Department of Pension & Pensioners' Welfare.

2. It is clarified that it would be reasonable to consider a person on election duty as soon as he leaves his residence/office to report for many election related duty including training and until he reaches back his residence/office after performance of his election related duty. If any mishap takes place during this period, it should be treated as having occurred on election duty subject to condition that there should be a causal connection between occurrence of death/injury and the election duty.

Yours faithfully,

(Daya Chand)
Deputy Secretary to the Govt. of India

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.218/6/2009/EPS

Dated : 17th February, 2009

To

The Chief Secretaries of all
States and Union Territories.

Sub: Payment of Ex-gratia compensation to the families of polling personnel who die or sustain injuries while on election duty.

Sir,

I am directed to invite a reference to the Commission's letter No. 218/6/2003/PLN-, dated 06-02-2003, in the above matter.

2. In the abovementioned letter, the direction of the Hon'ble Patna High Court in a petition relating to payment of compensation under the insurance coverage to polling personnel was quoted. The said direction is reproduced below for convenience of reference.

“Before parting with the records of the case, the Court would like to observe that in order to avoid any such controversy in future, the Election Commission must insist on a suitable amendment in the cover clause of MOU. It appears to me that in place of the expression “death only resulting solely and directly from accident caused by external violence and any other visible means” the expressions used in Section 3 of the Workman Compensation Act may be substituted, that is to say, “death arising out of and in the course of election duty.”

3. In the light of the above direction of the Hon'ble Patna High Court, the Commission had urged the State Governments to suitably modify the Memorandum of Understanding, if any, with the insurance companies in connection with the Group Insurance Coverage for polling personnel.

4. From the past experience, it is seen that even in cases of death of polling personnel on election duty, innumerable hurdles in releasing the compensation

amount due to them are created by the insurance companies. In many cases, the bereaved family members of the deceased officials have had to approach the Courts seeking relief of payment of the compensation that they genuinely deserved and which should have been released to them forthwith. Litigation would mean that a good part of the amount that they would ultimately get would have been already spent by them on litigation, besides the financial hardships the bereaved families would have suffered due to loss of earning member of the family. In any case, it seems the states will end up spending more money on insurance premium than compensation to the affected families.

5. The Commission has considered this matter in the light of the experience of the working of the Group Insurance Schemes for the polling personnel. Election duty cannot be equated with the normal work of the polling personnel. Elections involve time-bound assignment, and execution of the work on the field involves element of risk and threat of varying level to the polling personnel.. In some cases where the State Governments had entered into MOU with Insurance Companies for payment of compensation to the polling personnel, there have been cases where even in the case of death due to heart attack during performance of election duty, the Insurance Companies have taken the plea that only the death caused due to violent incidents are covered under the insurance scheme for the polling personnel. The nature of election duties being what it is, there are several threat and fear factors inflicted by the unruly party cadres and anti- social elements. Therefore, in the course of performance of election duties, death can occur to the polling personnel even without any seemingly violent incidents.

6. The Commission is, therefore, of the view that the polling personnel and other officials appointed in connection with conduct of elections should be provided the protection of adequate compensation in the event of any mishap. As mentioned above, the system of Group Insurance has not been very successful in spite of the large amounts spent on premium payment to the companies.

7. In view of the above, the Commission desires that the State Government may frame separate guidelines for payment of enhanced amount of ex-gratia payment in the event of any mishap to the election related officials. The Commission recommends an amount of Rs. 5 lacs as the minimum amount to be paid to the next of kin of the official in the unfortunate event of death of the official while on election

duty. If the death is unfortunately caused due to any violent acts of extremist or unsocial elements like, road mines, bomb blasts, armed attacks, etc. the amount of compensation should be double, i.e. Rs. 10 lakhs. In the case of permanent disability, like loss of limb, eye sight, etc., a minimum ex-gratia payment of Rs. 2.5 lacs should be made (which should be doubled in the case of such mishaps being caused by extremist or unsocial elements as aforesaid). While framing the guidelines, it may be clearly spelt out that the payment will cover any mishap occurred during the entire period of election duty connected with polling. A person is to be treated on election duty as soon as he leaves his residence/office to report for any election related duty including training and until he reaches back his residence/office after performance of his election related duty. If any mishap takes place during this period, it should be treated as having occurred on election duty subject to condition that there should be a casual connection between occurrence of death/injury and the election duty.

8. If any State Government has a policy of granting compensation in excess of the amount mentioned above, they may continue to follow the rates prescribed by them.

9. It is requested that necessary orders may be passed in this regard, and a copy of the same may be endorsed to the Commission.

Yours faithfully,

(SUMIT MUKHERJEE)
UNDER SECRETARY

1. Copy to the Chief Electoral Officers of all States and U.T.s. They should urgently make an inventory of all such cases pending in Courts and before various departments of State Government and ensure that the compensation admissible in all cases is paid to the claimants with utmost expedition.

2. Copy also forwarded to the Secretary to the Government of India, Ministry of Law and Justice, Legislative Department, New Delhi.

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.464/INST/2013-EPS

Dated : 18th October, 2013

To

The Chief Secretaries of all
States and Union Territories.

Sub: Payment of Ex-gratia compensation to the families of polling personnel who die or sustain injuries while on election duty-regarding.

Sir,

I am directed to refer to Commission's letter No.218/6/2009/EPS dated 17th February,2009 on the subject cited above and to state that the Commission advised all the State Governments that:

1. The polling personnel and other officials appointed in connection with conduct of elections should be provided the protection of adequate compensation in the event of any mishap.
2. For this purpose, the State Government may frame separate guidelines for payment of enhanced amount of ex-gratia payment in the event of any mishap to the election related officials,
3. The Commission's recommended compensations are:
 - a. An amount of Rs.5 lacs as the minimum amount to be paid to the next kin of the official in the unfortunate event of death of the official while on election duty.
 - b. If the death is unfortunately caused due to any violent acts, of extremist or unsocial elements like, road mines, bomb blasts, armed attacks etc. the amount of compensation should be double i.e. Rs.10 lakhs.
 3. In the case of permanent disability, like loss of limb, eye sight, etc., a minimum ex-gratia payment of Rs.2.5 lacs should be made (which should be doubled in the case of such mishaps being caused by extremist or unsocial elements as aforesaid).
4. It has already been advised that while framing the guidelines, it may be clearly spelt out that the payment will cover any mishap occurred during the entire period of

election duty connected with polling. A person is to be treated on election duty as soon as he leaves his residence/office to report for any election related duty including training and until he reaches back his residence/office after performance of his election related duty. If any mishap takes place during this period it should be treated as having occurred on election duty subject to condition that there should be a casual connection between occurrence of death/injury and election duty.

5. In any State Government has a policy of granting compensation in excess of the amount mentioned above, they may continue to follow the rates prescribed by them.

6. In order to have a uniform policy with regard to payment of Payment of Ex-gratia compensation to the families of polling personnel who die or sustain injuries while on election duty, the Commission desires that copy of the guidelines framed by the State Govts. May be obtained for taking a view.

7. You are, therefore, requested to forward a copy of the guidelines framed by the State Govt. to the Commission for framing uniform policy in this regard, by 25th October, 2013.

Yours faithfully,

(SUMIT MUKHERJEE)

REQUISITION OF PREMISES/ VEHICLES

INSTRUCTION SL. NO. 66

Election Commission's Letter No. 458/84, dated 06.11.1984 addressed to all Chief Electoral Officers and Ministry of Home Affairs and Ministry of Law and Justice.

Subject: General Election to Lok Sabha/Legislative Assemblies and Bye-elections-Assistance by Government of India employees and use of Government Vehicles, etc.

I am directed to say that at the time of the general election to Lok Sabha and to the Legislative Assemblies, the State Governments generally seek assistance of the Central Government Offices and Departments located in the States for deployment of their employees in connection with the conduct of elections. The civil employees of Defence, Central para-military forces like the Border Security Force, Central Reserve Police Force, Central Industrial Security Force, etc. and employees of Public Undertakings may also be required for such election duty.

2. Since the State Governments always experience difficulty in mobilizing a large number of vehicles to meet their requirements on account of their limited resources, they look to the Central Government offices and departments in the States for placing their vehicles at the disposal of the election authorities for a specified period during elections.
3. It has been brought to the notice of the Commission that in the past, some of the Central Government Offices and departments located in the States/Union Territories were not extending full-co operation in the matter despite the instructions given by the Central Government and wanted exemption from those instructions on one pretext or the other. The Commission has already instructed that in the formation of polling parties, personnel should be drawn from both the Central and State Government Offices and departments and they should be properly mixed in order to create confidence in the minds of the political parties and candidates about the impartiality of the officers manning the polling stations.
4. In this context, attention is invited to the similar instructions issued earlier by your Ministry to all the Ministries and Departments of the Government of India instructing them to place at the disposal of the election authorities in the State the service of all Government of India employees wherever a demand is made and also for the use of the Central Government vehicles in the State for the work connected with the elections.
5. Similar standing instructions may be issued now to all the Central Government Ministries and Departments concerned who are having their offices located in the States and Union Territories. Instructions are also required to be issued to cover the Public Undertakings (of the Central Government located in the States.)
6. The grant of leave or exemption from election duty should be scrupulously avoided unless there are very compelling reasons. The Heads of the Departments or the offices of the Central Government in the States should be instructed to get in touch with the Chief Electoral Officer of the State and offer their assistance in whatever manner it is required.
7. A copy of the instructions issued in the above matters may also be endorsed to the Commission.
8. The receipt of this letter may kindly be acknowledged.

INSTRUCTION SL. NO. 67

Ministry of Law and Justice (Legislative Department) (Budget and Accounts Unit) O.M. No. G. 27031 (6)/ 87-B & A, dated 12.11.1986 to all Ministries and Departments of the Govt. of India, all State Govt., all C.E.Os. and C.A.G., New Delhi.

Subject: Requisition of buildings belonging to Central Government Departments for election purposes

Clause (1) of the article 324 of the Constitution state that the conduct of all elections to the Parliament and to the Legislative Assembly of every State shall be vested with the Election Commission of India. According to sub-section 10(a) of section 160 of the Representation of the People Act, 1951, the premises should be requisitioned only if it is "needed or likely to be needed for the purpose of being used as polling stations or for storage of ballot boxes after a poll has been taken".

2. The States/Union Territories Governments have been requisitioning the buildings/premises owned by Central/State Governments, etc. for being used as polling Stations or for the storage of ballot boxes after a poll has been taken and the latter are not charging any rent, etc., therefore. But instances have come to notice that some Central Government departments are charging the rent, etc., from the State/ Union Territories Governments on requisition of the Central Government buildings for the above purpose. In this connection it is stated that the part of the election expenditure is shared by the Government of India, Ministry of Law and Justice. Keeping in view the above, the Election Commission of India have requested this Ministry to issue standing instructions to all the Central Government departments, etc., not to charge any rent, etc., if their buildings or premises are requisitioned for the purpose of conduct of Elections either to Lok Sabha or State Legislative Assemblies.

3. After careful consideration of the matter in consultation with the Ministries concerned, it has been decided not to charge any hire charges/rent, etc., if any Central Government buildings or premises are requisitioned for period the same are required for use as polling/counting stations and storage of polled ballot boxes after a poll has been taken in connection with conduct of election to Parliament or State Legislative Assemblies.

4. All the Ministries/Departments are requested to issue standing instructions to the Heads of Departments and their subordinate/attached Offices under their control in Delhi and State/Union Territories to afford facilities to the States/Union Territories Governments in the matter given above, without charging any rent. A copy of the instructions issued in this behalf may kindly be endorsed to this Ministry.

5. The above arrangement will come into force from the date of issue of this OM and will not cover public sector undertakings and local bodies.

6. This supersedes this Ministry's O.M. of even number dated 11 th November 1986.

INSTRUCTION SL. NO. 68

Election Commission's letter No. 464/INST/2008-EPS Dated:26th December, 2008 addressed to the Chief Secretaries/Chief Electoral Officers of all the States and Union Territories

Subject: - General Election to Lok Sabha, 2009 – Deployment of Vehicles - regarding.

I am directed to state that the Commission has decided that: -

- (a) Vehicles of BSNL, MTNL, UPSC and the vehicles of educational institutions which are specifically meant for carrying the students from their residence to educational institution will be requisitioned for election duty only in unavoidable circumstances and as a last resort.
- (b) The vehicles of Forest Department, All India Radio, Doordarshan, World Health Organisation, UNICEF and all the organisations of UN will not be requisitioned for election duty.

Kindly acknowledge the receipt of the letter.

INSTRUCTION SL. NO. 69

Ministry of Law and Justice (Legislative Department), (Budget and Accounts Unit) O.M. No.G. 27031 (6)/ 87-B & A dated 17.12.1987 to Ministries etc., Comptroller and Auditor General, New Delhi, Ministry of Finance, all State Governments and Chief Electoral Officers.

Subject: Payment of hire/rental charges for vehicles of Government/Semi-Government departments requisitioned for conduct of elections to the Parliament and State Legislative Assemblies

In terms of section 160 of the Representation of the People Act, 1951, the State Governments are empowered to requisition premises or any vehicle or vessel in connection with an election in that State. The word "premises" would include any land, building or part of the building and includes a hut, shed or other construction or any part thereof; "vehicle" means any vehicle used or capable of being used for the purpose of road transport whether propelled, mechanical or otherwise. In terms of section 161 of the Representation of the People Act, 1951, whenever a vehicle is requisitioned, the owner of the vehicle shall be paid compensation by the State Government, determined on the basis of the fares or rates prevailing in the locality for the hire of such a vehicle.

2. Sometimes, the State Governments are obliged to requisition vehicles belonging to offices of the Central Government situated in the locality or the vehicles belonging to a public sector enterprise functioning under the jurisdiction of the Central Government. The question whether such Central Government offices or public sector enterprises should prefer hire charges for the vehicles placed at the disposal of the State Government's requisition order has been considered. It has been decided with effect from the date of issue of this office memorandum, that Central Govt. offices as well as the autonomous statutory bodies and autonomous public sector undertakings under the control of the Central Govt. may not prefer hire charges whenever their vehicles are requisitioned by the concerned State Governments or Union Territory Administrations, for the conduct of elections. However whenever such vehicles are requisitioned, the cost of petrol, oil and minor repairs during the period of requisition would be borne by the concerned State Government as hitherto.

All Ministries and Departments are requested to issue suitable instructions to Subordinate/Attached Offices as well as the statutory autonomous bodies and public sector undertakings under their control.

INSTRUCTION SL. NO. 70

Election Commission's letter No. 4/98/J.S.II dated 10.03.1998 addressed to CEOs of all States and UTs.

Subject: General Elections to Lok Sabha, 1998 - requisition of vehicles - Payment of Compensation in case of accident - Liability of insurance - regarding

I am directed to invite your attention to Section 160 of the Representation of the People Act, 1951, which inter-alia provides for requisitioning of vehicles, etc., for election purposes, and Section 161 of the said Act which provides for payment of compensation. Under these, there is no provision for compensation in respect of such vehicles under requisition, in case of accident, loss or damage during the period of requisition.

2. The matter was brought to the notice of Govt. of India, Ministry of Law and Justice (Legislative Department) for its clarification. In reply, the Ministry of Law and Justice has forwarded a copy of O.M. No. 64(7)Ins.I/98, dated 24th February, 1998 of Ministry of Finance, which is self-explanatory. I am to forward a copy of Ministry of Law & Justice letter No. 7(2)/98-Leg.II, dated 2nd March, 1998, together with a copy of Ministry of Finance, Department of Economic Affairs, Insurance Division, O.M. dated 24th February, 1998, referred to above.

3. The instructions/directions contained in the above quoted O.M. dated 2.3.98 of the Ministry of Finance are of standing nature and may be brought to the notice of all District Election Officers and other concerned authorities for their information and necessary action.

No. 7 (2)/98-Leg. II
Government of India
Ministry of Law and Justice
Legislative Department New Delhi
2nd March, 1998
To

The Secretary,
Election Commission of India,
Nirvachan Sadan,
Ashok Road, New Delhi

Subject: General Election to Lok Sabha 1998 and certain Legislative Assemblies, 1998 -Requisition of Vehicle Payment of compensation in case of accident-Liability of insurance

Sir,

I am directed to refer to the Commission's letter No.4-98-JS-II/1 35 dated the 8th January, 1998 on the above subject and to enclose herewith a copy of O.M. No. 64 (7)-Ins.I/9 dated 24.2.98 of Ministry of Finance, Department of Economic Affairs, containing the requisite clarifications sought by the commission.

Yours faithfully

(Dr. D.B. Singh)

Under Secretary to the Govt. of India

No. 64 (7) - Ins. I/98
Government of India, Ministry of Finance
Department of Economic Affairs Insurance Division
Lok Nayak Bhavan, Khan Market, New Delhi.

OFFICE MEMORANDUM

Dated - 24th Feb'98

Subject: General Election to Lok Sabha, 1998 and certain Legislative Assemblies, 1998 -Requisition of Vehicles - Payment of Compensation in case of accident - Liability of Insurance

The undersigned is directed to refer to the correspondence on the above subject resting with the OM. No. 7(2)/98-Leg.II dated 27th January, 1998 from the Ministry of Law.

The matter has been examined in consultation with General Insurance Corporation of India. The following three situations may arise in respect of private vehicles requisitioned for election duty :

(1) The vehicles so requisitioned may have been comprehensively insured, which is not compulsory.

(2) The insurance cover may be against third party risk only, which is compulsory.

(3) The vehicle may be uninsured in breach of provisions of section 146 of Motor vehicles Act.

It may be pointed out that while revising the Motor Tariff in the year 1990, the standard exclusion regarding insurance company's liability during the period of requisition or commandeering by the Government for any purpose has been deleted from; the insurance policy and thus there is no need for the endorsement on the policy during the period of requisition. The Motor insurance policy which may be in force in respect of vehicles requisitioned for election duty need not be amended and only a notice to the concerned insurance company by the insured for the vehicle to the effect that the vehicle has been requisitioned will suffice.

As regards vehicles falling under category (3) above, the Election Commission may ascertain the insurance status of the vehicles before election.

(Dr. D.C. Srivastava)
DIRECTOR to Ministry of Law and Justice
(Dr. D.B. Singh Under Secretary),
Legislative Department.
Shastri Bhavan, New Delhi

INSTRUCTION SL. NO. 71

Election Commission's letter No. 576/26/2004/PLN-I/136C/1361, dated 26.03.2004 addressed to the Chief Secretaries/Chief Electoral Officers of all the States and Union Territories

Subject: - Requisition of vehicles for Election duties - Payments regarding

I am directed to forward herewith a copy of a letter no. AITWA/2003-04/352 dated 25th March 2004 received from All India Transporters Welfare Association for your information and necessary action.

2. In the current General Elections to the Lok Sabha. Only vehicles registered in the concerned districts should be requisitioned and vehicles, which are in transit, whether loaded or empty, should be exempted from requisitioning. Similarly, only the minimum required number of vehicles should be requisitioned and any vehicle(s) found extra of the requirements should be released immediately after making payment for the detention period. It may please be ensured that no inconvenience is caused to the vehicle owners.

3. You are also requested to revise the rates for the requisitioning of vehicles taking into account the escalation of costs.

4. I am also to request that payment for the requisitioned vehicles should be made to the transport owners for performing elections duty immediately after completion of the election.

5. Kindly acknowledge the receipt.

INSTRUCTION SL. NO. 72

Election Commission's letter No. 437/6/INST/2013-CC&BE
Dated:20.9.2013 addressed to the Chief Secretaries/Chief Electoral
Officers of all the States and Union Territories

Subject: - Vehicles and staff of Wild Life Sancturries, National
Sancturries and National Games Parks-Not to be
requisitioned for election duty- regarding.

Sir,

I am directed to forward herewith a copy of the
Commission's standing instruction No.437/6/2001-PLN-III dated 5th
March,2001 for your information and necessary action.

Yours faithfully,

Dilip Kr. Varma
Under Secretary

Copy to:-

Dr. Rajesh Gopal, Addl. DGP & Member
Secretary(NTCA),National Tiger Conservation Authority, Bikaner
House, Annexe-V, Shahjahan Road, New Delhi-110011 w.r.t. his
letter No. 1-23/2003-PT dated 10th September,2013.

COPY

Election Commission of India
Nirvachan Sadan, Ashoka Road New Delhi-110001

No.437/6/2001-PLN-III

Dated 5th March, 2001

To

- 1.The Chief Secretaries of All States and Union
Territories.
2. The Chief Electoral Officers of all States and Union
Territories.

Subject: - Vehicles and staff of Wild Life Sancturries, National Sancturries and National Games Parks-Not to be requisitioned for election duty.

Sirs,

I am directed to invite your attention to the Commission's letter No.437/6/98-PLN-III dated 30th January 1998 on the subject mentioned above exempting vehicles and staff of Wild Life Sancturries, National Sancturries and National Games Parks from Election duties. These instructions were repeated during the General Election to Lok Sabha held in 1999 and General Elections held to certain Legislative Assemblies in 2000.

2. The Commission once again emphasises that vehicles and staff of Wild Life sancturries, National Sancturries and National Games Parks in your state should not be requisitioned for election duty. They will be exempted to protect India's diminishing wild life values.

3. Kindly ensure that this direction is strictly followed and intimated to all the sancturries and parks under your control. These instructions are to be treated as **Standing Instructions** of the Commission and will apply to all future elections.

Yours faithfully,

Sharan Pal Singh
Secretary

Copy to the Forest Secretaries to the State Governments for information and necessary action.

Sharan Pal Singh
Secretary

G- ELECTION MATERIAL

INSTRUCTION SL. NO.73

Election Commission's letter No. 54/2/99-PLN-IV date 07.04.1999 addressed to CEOs of all States and UTs.

Subject: Quantum of reserve of Indelible Ink to be kept during Election period - regarding

I am directed to state that at present the quantum of reserve stock of indelible ink kept during the election period varies from State to State. The matter has been considered by the Commission.

2. The Commission has decided that the quantity of indelible ink to be kept at reserve stock may be restricted to a maximum of 10% of the actual requirement. The location to keep the reserve stock should as far as possible be District Headquarters but the Chief Electoral Officers may at their discretion decide the location and the quantity to be retained at each such location so long as the overall requirement of the State for reserves is within 10% of the projected total for each election event.

Kindly acknowledge the receipt of this letter.

INSTRUCTION SL. NO. 74

Election Commission letter No.3/1/2003/JS-II dated 13.11.2003 addressed to the Chief Electoral Officers of all States/Union Territories.

Subject: Conduct of Elections (Amendment) Rules, 2003

I am directed to refer to Commission's letter of even number dated 14th October, 2003 enclosing therewith a copy of the Election and other Related Laws (Amendment) Act, 2003 and to forward herewith a copy of the Conduct of Elections (Third Amendment) Rules, 2003, framed by the Govt. of India in pursuance of the amended provision of the Act. A copy of the Notification dated 10.11.2003, issued by the Ministry of Law and Justice under clause (a) of Explanation to Section 39A of Representation of the People Act, 1951 is also enclosed.

2. In terms of the new Rule 85D the Commission has specified that one copy of the electoral roll of the constituency concerned shall be supplied free of cost to the candidate of every recognized political party for a general election to the House of the People or Legislative Assembly of a State. The Commission has also specified the Returning Officer of the constituency concerned, as the officer through whom such copies shall be supplied to the candidates of recognized political parties.
3. At the current general elections to the Assemblies of Delhi, Chhattisgarh, Madhya Pradesh and Rajasthan, the Returning Officers shall supply copy of the electoral roll as specified above, within 7 days after the last date fixed for withdrawal of candidature at the election under proper acknowledgement of receipt from the candidate.
4. For all future general elections copy of the electoral roll shall be supplied within 3 days after last date of withdrawal of candidatures.
5. This may be brought to the notice of all District Election Officers/Returning Officers and other authorities concerned immediately. This may also be brought to the notice of all political parties based in your State.

Kindly acknowledge receipt.

INSTRUCTION SL. NO. 75

Election Commission's letter No. 464/OBS/EVM/2004, dated 12.04.2004, addressed to the Chief Electoral Officers of All States and Union Territories and all Observers/Special Observers (through the CEO, DEO)

Sub: - General Elections - 2004 - Security Measures for Electronic Voting Machines -regarding.

I am directed forward herewith the instructions relating to security measures for Electronic Voting Machines to be used for the General Elections 2004. These instructions have been prepared from the point of view of strategy for ensuring the security and safety of Electronic Voting Machines.

The district administration, particularly the Collector Deputy Commissioner, who is also the District Election Officer, should make themselves thorough with these security measures so that, the instructions are complied with in letter and spirit. Needless to add any laxity in implementing these measures will be viewed seriously by the Commission.

Kindly ensure delivery of these instructions to all Observers Special Observers appointed for your state, the District Election Officers and acknowledge receipt.

Standard Security measures:

- (i) Guard the storage centres with Armed Police of at least section strength.
- (ii) Systems of double lock from the day the Observers arrive.
- (iii) One key kept with District Election Officer (DEO): another with Observer
- (iv) Register for movement inside the campus where EVMs stored.
- (v) Register for movement inside the store rooms.
- (vi) Arrangements for storage at counting centres as per standing instruction. except that Armed Police will have to guard the locations.
- (vii) DEOs/SPs personally responsible for any lapse in arrangement and security
- (viii) Storage Room for EVMs shall be waterproof from all sides in such way that no dampness can cause harm to EVMs either from ram or from accumulated water around such storage room.

Pre-Poll

The Observers deputed by the Commission on their arrival in the district shall inspect along with the DEO the storage centre in the district for the EVMs and randomly check the stock register with the stock stored. Thereafter, the storage center will be sealed by the Observer and the DEO with double locks. The keys of one lock will be kept with the Observer and the keys of the second lock shall be kept with the DEO. (The arrangements amongst Observers for holding the key will be worked out mutually amongst themselves and his name shall be communicated to the Zonal Secretary in the Commission. Ordinarily the senior-most Observer shall take this responsibility.)

Note: For polling to be held on April 20, 2004 and April 22, 2004 the Observers should immediately take stock of the EVMs and check the storage centers, if not already done.

To streamline the security of these EVMs following measures are to be taken:

- (i) Detailed log of machines indicating the numbers of balloting and control units to be prepared.
- (ii) Test check report to be entered in log book.
- (iii) Machines requiring repair (i.e. opening of either control or balloting unit) will be segregated and their details kept,
- (iv) For such repaired machines where either the control unit or the balloting unit have been opened proper entry will be made in log book specifying the repairs done and part(s) replaced,
- (v) Only the technicians from ECIL/BEL will work on the machines and keep a record of repairs carried out.
- (vi) No outsiders will be allowed inside the storage centres,
- (vii) The machines that have undergone repairs will be kept aside and trial tests carried out on them before using them.
- (viii) After complete checking, the machines will be placed under double lock with the seals of the DEO and the Observer special!} deployed for the purpose.

Preparation for the Poll

- The machines will be prepared for poll by the ROs in this phase after the finalization of list of candidates.
- Preparation of machines to be done strictly as per the guidelines and in the presence of Observers.
- Observers shall ensure that representatives of political parties candidates wherever required are present. DEOs and ROs will be responsible in case of failure to involve political parties candidates and the Observer Special Observer will keep the Commission informed of any such lapse on part of the DEO/RO.
- Machines after preparation shall be kept in storage centres/dispersal centres.
- The prepared EVMs shall ordinarily be kept under double-lock at the dispersal centers.
- In cases where these have to be transported to other locations outside the district headquarters where dispersal of polling parties have been arranged,

the DEO shall apprise the Observer and keep him informed of the movement plan. At such locations one Observer will invariably be responsible for checking the storage facilities, security aspects and the general plan for dispersal of polling parties. As per standard instructions referred to above, the EVMs at such places will also be kept under a double-lock arrangement with one key with the Observer and the other with the DEO or the RO as the case may be. Armed security of at least a section strength shall be posted at all such dispersal centers.

- Detailed plan for distribution of EVMs will be prepared by the DEO and the Observer will closely monitor the distribution.
- Machines used for training will not be used for poll. All such machines will be segregated and stored in a separate room under double lock.
- Zonal Magistrates and Area Officers who are given EVMs from reserve stock will maintain a register where the particulars of balloting units (BU) and control units (CU) issued are mentioned and a receipt shall be obtained from them. At the end of poll these machines will be received at a separate counter and tallied with the issue register for such machines.
- Zonal Magistrates, Area Officers should visit every polling station their jurisdiction as many times as possible on the poll day.
- In cases where the Zonal Magistrate Area Magistrate has replaced any unit at the polling station due to some defect or malfunction, he shall keep a record of it in the above said Register and inform the receipt desk at the end of poll. The information furnished by the Zonal Magistrate/Area Magistrate shall be tallied with the Presiding Officer's diary by the RO and the Observer
- The unused BUs and CUs returned by me Zonal Magistrate. Area Magistrates will be stored separately in a room other than the strong room where polled EVMs are kept and the Observers will keep a key of this room¹ in his custody.

Post-poll arrangements:

- After poll, the machines are kept in strong rooms at the counting centres for which detailed instructions already exist. These are to be followed strictly.
- Armed Police will guard all counting centers without any exception.

Necessary fire fighting equipments shall also be installed around all Strong room to meet any exigency.

INSTRUCTION SL. NO. 76

Election Commission's letter No. 51/8/2/2004 PLN-IV, dated 23.04.2004 addressed to the Chief Electoral Officers of All the States/UTs

Subject: - Supply of additional Power Pack for EVMs to Polling Parties-reg.

I am directed to state that clarifications are being sought regarding supply of additional Power Pack to Polling Parties specially in remote areas. Following guidelines are being issued for compliance.

1. No additional power pack (battery) should be supplied to the polling parties.
2. In order to manage unforeseen situations of malfunctioning of machines, the standard arrangement is to provide an alternative machine at the earliest. For this purpose, Sector Officers, in-charge of a group of Polling Stations are expected to do the needful by providing spare machines to the Polling Stations where such a problem arises.
3. The malfunctioning may be due to battery level being low or any other reasons as already stressed during training. Before coming to conclusion, the machine's connection between ballot unit and control unit be thoroughly checked. If it is found that the machine is actually malfunctioning for whatever reason, the steps indicated in the above paragraph are to be followed.
4. It may be noted that in case the machine malfunctions after some votes have already been recorded and a new machine is provided for continuing the voting process, the machines initially used should be properly sealed by recording the total number of votes polled in the first machine and the information, should be recorded clearly in the Presiding Officer's diary. Details of the number of machines used and their Unique Serial Numbers are also to be noted.
5. For remote locations, adequate planning is to be made in advance for meeting such contingencies by allotting spare machines with the Sector

Officer within reasonable distance. Therefore, in such cases, a smaller group of Polling Stations can be put in charge of the Sector Officer.

6. In the worst case, if no spare machine can be provided to the Polling Station in time to continue the poll and complete it on the poll date, a re-poll with a new machine can be arranged. Such cases should be promptly reported to the Commission and a formal permission for conducting the re-poll should be obtained in time by the Returning Officer.
7. The receipt of the letter may kindly be acknowledged by return fax.

INSTRUCTION SL. NO. 77

Election Commission's letter No. 51/8/2/2004-PLN-IV, dated 01.02.2005 addressed to the Chief Electoral Office of All the State/Union Territories.

Subject: Disposal of unserviceable/expired batteries of Electronic Voting Machine.

I am directed to state that the Commission has received reference regarding disposal of unserviceable/expired batteries used in the electronic Voting Machine during poll.

Suggestions on the method of safe disposal of the power pack was called for from both the manufactures (M/s BEL & ECIL) of EVMs who are also the suppliers of power packs, used in these EVMs. The manufacturers have suggested some measures which are detailed below for strict compliance during such-disposal of power packs.

- (i) If the quantity of batteries is less, then the same may be disposed with regular trash after removing from the plastic box.
- (ii) Discarded batteries are often not completely dead. Concentrating used batteries in a container can bring these live batteries into electrical contact with one another, creating a safety risk. Any collection programme must be organized only after minimizing such risk.
- (iii) Disposing of the used batteries in small quantities, as far as possible is recommended.
- (iv) As far as possible, accumulation of expired batteries in large quantity may be avoided.
- (v) If the quantity of batteries is more and accumulated at one place, then batteries should be disposed off at a secure land fill in accordance with state and local regulations,
- (vi) Discarded batteries should never be crushed, dismantled, short circuited or recharged.
- (vii) Batteries should not be disposed-off in fire or high heated area.

- (viii) It is also desirable to consult the local Government Waste Management Authority regarding recycling regulations in respective communities.
- (ix) EVM battery packs consist of Plastic Moulded Box. Though environmentally beneficial and economically feasible recycling process has not yet been developed for Alkaline Batteries, the Plastic Moulded Boxes can be scrapped and recycled for other uses.

INSTRUCTION SL. NO. 78

Election Commission's Letter No.51/8/7/2008-EMS (Inst.-I) Dated: 11/08/08 addressed to the Chief Electoral Officers of All States and Union Territories.

Sub: Use of EVM in the elections- additional transparency measures – Randomisation.

The Election Commission of India used the Electronic Voting Machines (EVM) on an experimental basis for the first time in 1982 in an election in Kerala. After that the use of EVM was gradually increased and finally the universal use of EVM in the country became a reality in the year 2004 when EVM was used in all polling stations in the General Election to the Lok Sabha. The efficacy of EVM has stood the judicial scrutiny and the independent studies made on the use of EVM have also confirmed the voters' satisfaction about the use of EVM in elections.

The Election Commission of India, from time to time, has been issuing instructions on various measures to be taken at the time of preparation of EVM for use in the elections (vide no 51/8/7/2007-PLN-IV 12th October, 2007); protocol for first level checking of EVMs before elections (vide 51/8/16/4/2007, dated 12th October, 2007) and on protocol for security measures for EVMs (vide 464/OBS/EVM/2007 PLN-IV, 12th October, 2007). The Handbook for Returning Officers (at elections where electronic voting machines are used) published by the Commission provides detailed instructions on the various aspects of the EVM management.

As an additional measure that aims at greater transparency, the Commission has decided to introduce a randomization protocol for the deployment of EVM in various assembly constituencies/ assembly segments and has issued the following instructions to be followed meticulously without any deviation:-

1. STORAGE

As a general policy, the Commission desires that all EVMs available within a district shall be stored at the district headquarters under the direct control of the District Election Officer. It may be possible that due to want of storage space the EVMs may be stored in a decentralized manner in different locations. Even in such cases, for the purpose of first level checking and randomization procedure proposed to be introduced through this instruction, all EVMs available in the district shall be brought to the district headquarters under proper escort.

2. FIRST LEVEL CHECKING

- a. The first level checking as prescribed in the earlier instructions shall from now onwards be carried out only by the BEL/ECIL engineers, as the case may be, only at the district head quarters. For coordinating all activities connected with the first level checking, the DEO shall nominate a nodal officer at his level and intimate the name of such officer to the CEO. The first level checking will be done by following the existing instructions and procedures.

- b. For the purpose of tracking various steps taken in the process of first level checking, randomization and deployment of EVM, an adhesive label (pre-printed sticker) will be prepared and kept ready for use. (This can be organized centrally by the CEO or the DEO can print at their level as per the decision of CEO in this regard). A sample model of such label is given in Annexure 1.

3. NUMBERING OF EVMs

As soon as the first level check is over the sticker will be pasted on the back side of the CU and the checking- engineer will put his signature indicating the date in the relevant slot provided in the sticker. This would mean that the CU is in order in every respect. The unique machine number of the control unit (CU) will be also indicated in the relevant slot in the sticker; besides, a running serial number will also be allotted to the CU and will be indicated in the relevant slot. Simultaneously, the representative of DEO duly authorized for this purpose will also put his signature in the relevant space. After this, CU shall be stored with due precaution and care. In case of any CU found to be defective during checking, such CUs shall be isolated and kept separately for follow up action for rectification of defect. The above procedure will also be applicable for the Balloting Units which will be verified, signed and numbered in the above manner.

4. DATABASE

A database of the CUs and BUs verified and certified will be prepared separately and kept in readiness for randomization. The data base structure will contain details of CUs and BUs indicating the machine number (original number given by the manufacturer as inscribed on a metallic plate at the back side of the CU/BU as the case may be.) The CUs/ BUs so verified shall be kept under proper lock and key.

5. SCHEDULE FOR RANDOMIZATION OF EVM

The DEO will fix up a schedule, which should in any case be fixed before the start of training of polling personnel, for randomization of CUs/ BUs for distribution to ACs in the presence of the representatives of recognized political parties. The randomization will be done in such a way that the CUs /BUs are randomly selected by grouping them to match the poll day requirement including the reserve required for each constituency. Again, the surplus CUs/BUs shall also be randomly grouped and distributed AC wise for the purpose of training (for the training of the polling staff as well as for the purpose of voter awareness). A sticker of different color shall be affixed at the front side of the CUs/ BUs set aside for training purpose clearly indicating “.....**(Name of State/UT along with year): Training CU/ BU**”. While randomizing the CUs/ BUs the DEO shall take the number of polling stations in each constituency into account.

6. ALLOCATION OF ID

After AC wise grouping of CUs/ BUs is done in the above manner, a 'current ID' shall be assigned to each of CU/ BU in the relevant slot provided in the sticker. The 'current ID' would mean 'the AC no followed by a new running serial number for that AC'. For example if AC No 56 is allotted 280 CUs and BUs the current ID for the CUs would be from '56/CU/001' to '56/CU/280'. Similarly the current ID for BU would read '56/BU/001 to 56/BU/280'.

7. DISTRIBUTION OF LIST & EVM TO RETURNING OFFICER

- a. The randomized list of CUs and BUs allotted to each AC for use at polling stations as well as the training EVMs shall be prepared separately and signed by the DEOs representative and the R.O and that list shall be handed over to all representatives of the recognized political parties. Even if a representative is not available at the time of randomization the list shall still be sent to the party office and a receipt obtained.
- b. Thereafter, the R.O of the constituency, shall take charge of the CUs/Bus randomly allotted to his constituency. The CUs/BUs meant for use at the election shall be separately taken to R.O's strong room under proper escort and will be guarded. The training EVMs shall be distributed to the relevant officers for the training purpose. At the time of sealing the strong room, the representatives of political parties can remain present and they can also affix their seal on the lock.

8. PREPARATION OF EVM

- a. On the day fixed for preparing the CUs/BUs for use in the election, the R.O will ensure that the preparation of CUs/BUs is done invariably in the presence of the candidate or his agent or authorized representative and in the presence of observer as per the existing instructions. Now, the R.O will do the second randomization of the CUs/ BUs to allot the CU/ BU to specific polling stations. After that he will write the PS No to which the CU/BU has been randomly allotted in the slot provided for it in the adhesive label. The remaining CUs/BUs (not allotted to any specific PS) shall be kept as 'Reserve' for use if needed. Such CUs /BUs shall be marked as 'R' – meaning 'Reserve' in the slot meant for PS No.
- b. At this stage, the CU and BU randomly earmarked PS wise as above shall be identified by matching the PS no and kept in pair by tagging them together. Any candidate/ agent present at the preparation hall may choose to get the CU/BU again checked by the master trainers/ technical personnel if available through a 'mock poll cum result verification' in order to satisfy himself about the functioning of the EVM. The R.O should also, as a proactive measure, do a random verification of at least 10% of such CU-BU pairs to test the working status of the EVMs.
- c. Then a Polling Station wise list indicating the Current ID and machine number of the CU/BU allotted to each PS will be prepared and signed by the R.O. The R.O will also prepare a separate list of CUs/BUs marked as 'R' indicating the current ID and machine number. Both the above lists will be signed by R.O and copies shared with the candidates/ agents/ representatives and their signature shall be obtained as the token of receipt.
- d. As per the existing instructions two types of address tags – one for use on CU and another on BU have been prescribed. From now on wards, the tag shall also contain the current ID no (as mentioned in the sticker- read para 7 above). The address tag attached to reserve CU/ BU shall indicate the 'Reserve' status of the unit. The tagging of address tag shall also be done at this stage itself – in the presence of candidates/ agents/ observer. All the CUs/ BUs allotted to Polling Stations as above as well as the reserve machines shall be kept in the strong room in the presence of candidates/ agents. They can also be allowed to affix their seal on the lock of the strong room.

9. DISPERSAL OF EVMs FOR ACTUAL POLL

- a. When the EVMs are taken out of the strong room for dispatch the usual precaution of informing the date and time of opening of strong room in writing to the candidates/ agents shall be followed.
- b. At the time of dispatch the Presiding Officers shall be advised to compare

the machine number inscribed on the metal label and the adhesive sticker and also verify the PS no indicated on the sticker compared with PS no mentioned in the address tag before accepting the EVM. Discrepancy if any shall be brought to the notice of the officer in charge of dispatch arrangement and be reconciled.

- c. The candidates may be advised to share the current ID and machine number of the EVM (both CU/ BU) allotted to the specific PS with their polling agent/ agents appointed by them so that they are in a position to inspect the EVM for their satisfaction before the commencement of mock poll on the poll day. The Presiding Officers shall be advised to display the sticker containing the machine number and current ID etc., to the agents present before the commencement of the mock poll. It is relevant to note that as per the existing instructions the presiding officer is supposed to mention the number of CU/ CUs used; serial numbers of CU/CUs used; numbers of BU/BUs used and serial numbers of BU/BUs used at the polling station in the Presiding Officer's Diary (refer: Chapter XXVIII, Para 1 of Handbook for Presiding Officers- At elections where electronic voting machines are used, 2004). This instruction shall be scrupulously followed.

10. REPLACEMENT OF EVM DURING POLL

In case of replacement of CU or BU due to reason at any polling station the sector officer or any authorized officer who effects such replacement shall prepare a special report indicating the machine number and current ID of the existing CU/BU and new CU /BU separately. The officer shall also mention in the special report, the reason for deployment of the spare CU/BU and the time of such replacement, votes polled in the replaced machine at that point of time and leave one copy of the special report with the Presiding Officer while retaining a copy to be handed over to the R.O..

11. RECEPTION OF EVMs AFTER POLL

The machines shall be escorted back after the poll is over to the reception centres under proper escort. After all formalities are completed, the EVMs shall be kept in strong room and the room sealed in the presence of the candidates/their agents and observer.

12. RE POLL

- a. In case of repoll, the EVM required for repoll shall be drawn from the reserve list and the CU/BU number shall be informed to the candidates/agents in writing. Care shall be taken to ensure that the address tag on the CU and BU clearly mentions it to be the EVM for use in the repoll indicating the date and PS no..
- b. After repoll, for the storage of the repolled EVM, the strong room shall be re-opened in the presence of the candidates/ their agents and observer. This repoll EVM should be placed together with the old EVM which was used earlier in the original poll. A tag '**Not to be counted**' shall be put prominently on the old EVM and another tag '**Repoll EVM – to be counted**'

shall be put on the new repoll EVM. RO shall put signature on both the tags.

13. COMMUNICATION TO POLITICAL PARTIES/ CANDIDATES

The political parties/candidates should be advised in writing to properly train their counting agents and that they should bring (for tallying purpose) their copies of Form 17-C which shows the machine numbers used at the polling station and was handed over to them at the close of the polling by the presiding officer. Besides, the candidates have also been given a consolidated list of polling station wise list of CUs used during the polls (Read Para 12 above). This along with the additional information given by RO in writing to the candidates regarding the machine numbers of CUs used as replacement and the CUs used during repoll will give a complete picture of the all the EVMs used at polling stations. In order to further facilitate, additionally, the RO shall paste the EVM deployment account indicating the CU numbers of EVM used polling station wise in the relevant counting hall itself for everyone to see.

14. VIDEOGRAPHY OF THE EVENTS

All the stages enumerated above shall invariably be fully covered with videography and records kept properly.

15. The above instructions should be complied with without any deviation and brought to the notice of all concerned, viz. the political parties, contesting candidates, all field officers concerned and the Observers.

16. The receipt of the letter may kindly be acknowledged.

ANNEXURE-I

Name of the State/UT:				
Name of the election:				
District Name:				
Control Unit No.		District Running Srl.No.		
Current ID				
First Level Check			CU Randomization 1	
Date	Rep.BEL/ ECIL	Rep. D.E.O	Date	Rep D.E.O/ R.O
Randomization 2	Deployment Status	Polling Station No.		R.O./ARO.
Date				

INSTRUCTION SL. NO. 79

Election Commission's Letter No.51/8/7/2008-EMS (Inst.-II) Dated: 11/08/08 addressed to The Chief Electoral Officers of All States and Union Territories.

Sub: Use of EVM at polling stations additional instructions to ensure purity of elections.

Feedbacks have been received about some polling officers and polling staff frequently going to the voting compartment at the time of actual poll to explain to the illiterate voters about how to use EVM. This gives scope for the polling agents of the candidates and political parties to make allegations about the neutrality of the polling staff. In order to ensure that the conduct of the presiding officer and other polling staff in no way gives scope for any complaint the Commission has issued the following instruction for the strict adherence:-

1. The D.E.O shall supply a printed sample of EVM ballot unit pasted on a cardboard (real size) to all the presiding officers along with other polling materials at the time of dispatch. While printing such model ballot care shall be taken to ensure that only dummy name and dummy symbols that are not in use, are used and not any real names or symbols. It shall be printed in color so that 'blue button' 'green light' and 'red light' etc are clearly represented.
2. Whenever any voter asks for help or expresses inability to vote using EVM, the presiding officer can explain to the voter the voting process using the cardboard model of the EVM ballot in such a manner that the voter is able to understand. This shall be done outside the voting compartment only in the presence of polling agents and never inside the voting compartment.
3. The Presiding Officer or other polling staff shall not frequent the voting compartment as that may give scope for complaints.

4. In order to ensure that no voter has committed any mischief by pasting any paper, tapes etc., on the symbol/ names / ballot button, the presiding officer may from time to time make an inspection of ballot unit (BU)- but he should make it a point to do so in the immediate presence of polling agents when there is no voter inside the voting compartment.
5. Any complaint about the conduct of the polling staff at the polling station shall be taken seriously and properly enquired. The Observers are being instructed to take serious note of such complaints and conduct or cause enquiry into such allegations and submit reports to the Commission.

This instruction shall be brought to the notice of all Presiding Officers during their training. Besides, a copy of this shall also be put in the kit handed over to the presiding officers along with other documents handed over at the time of dispatch. All political parties and candidates may also be informed about this new instruction.

The Receipt of the letter may kindly be acknowledged.

INSTRUCTION SL. NO. 80

Election Commission's Letter No. 51/8/7/2007-PLN-IV Dated: 12th October, 2007 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: Preparation of Electronic Voting Machines (EVMs) during election(s)- regarding.

I am directed to say that the Commission has directed that the following steps may be followed in preparation and setting up of Electronic Voting Machines during election(s) in your state:-

1. The EVMs should be stored in properly guarded strong room. Returning Officer should ensure that a system is in place whereby only authorized persons have access to the EVMs and a proper record of the persons entering and leaving the storage area for EVMs is being maintained. The strong room should have double locking system and one key of the strong room should be kept with the District Election Officer (DEO) and other key should be with the Returning Officer (RO).
2. The RO shall ensure that intimation in writing is sent to all the contesting candidates well in time regarding the dates and venue of preparation of EVMs. He shall ensure that the signatures of the contesting candidates or their authorized agents are put on the seal at the stage of preparation of machines etc. A proper record of the candidates or their authorized agents who have put their signatures and those who have not done so should be maintained.
3. The Returning Officer shall test the machines for correct setting through an exercise similar to the mock poll in the presence of candidates or their authorized representatives.
4. The District Election Officer shall make random selection of EVMs for allocation to polling parties in every assembly segment within the Assembly Constituency.
5. At the time of preparation slide switch on the Balloting Unit may be secured with the help of transparent tape (except 2006-07 models of EVMs).
6. The Presiding Officers should give the unique numbers of EVMs used at a polling station to the polling agents.
7. The Presiding Officers should obtain the signature of candidates or their agents at the polling stations and keep the record of the same. The candidates or their agents should also be allowed to affix their signatures

on the Green and Outer Strip Seal.

8. After the poll is over and the Presiding Officer seals the EVM, the candidates or their agents should be allowed to follow the vehicles carrying the EVMs to storage centres.
9. Immediately after the EVMs are received back from the polling stations and stored in the strong room, the room should be locked and sealed forthwith. The candidates, their election agents or authorized representatives may be permitted to affix their seals on the doors and windows of the storage place. If any of the candidate so desires, he may be permitted to post an agent to keep a watch from a considerable distance over the room where the machines are stored pending the counting of votes.
10. The instructions given in para 52, Chapter XIII of the Hand Book for Returning Officers 2007 (EVMs) and instructions issued vide letter no. 51/8/2001 Pln-IV dated 3.12.2001(copy enclosed) regarding sealing of the result section of the control unit of EVMs with strip seals by Presiding Officers at the polling stations should be followed scrupulously.
11. EVMs used for training are to be taken back and stored separately. They should, in no case, be used for poll.

The above instructions should be brought to the notice of all the Returning Officers, Presiding Officers and Observers during election(s).

The receipt of the letter may kindly be acknowledged.

INSTRUCTION SL. NO. 81

Election Commission's Letter No. 464/OBS/EVM/2007 PLN-IV Dated: 12th October, 2007 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: Protocol for Security Measures for Electronic Voting Machines during election(s)- regarding.

I am directed to forward herewith the instructions relating to security measures for Electronic Voting Machines to be used for the election(s) in your State. These instructions have been prepared from the point of view of strategy for ensuring the security and safety of EVMs.

2. The district administration, particularly the District Collector/ Deputy Commissioner, who is also the District Election Officer, shall ensure that these instructions are complied with in letter and spirit. Needless to add, any laxity in implementing these instructions will be viewed seriously by the Commission.

3. Kindly ensure delivery of these instructions to all District Collectors /DEOs /ROs /AROs / Observers during election(s) and acknowledge receipt.

Security Measures for Electronic Voting Machines

1. Pre-Poll

- i. The Observers deputed by the Commission on their arrival in the district shall inspect along with the DEO and RO the storage center for the EVMs in the district and randomly check the stock register with the stock stored. Thereafter, the storage centre will be sealed by the DEO and RO with double locks in presence of Observer. The keys of one lock will be kept with the RO concerned and the keys of the second lock shall be kept with the DEO.
- ii. To streamline the security of these EVMs, following measures are to be taken:

- a. Detailed log of machines indicating the number of balloting and control units to be prepared.
- b. Test check report to be entered in each log book against every EVM entry.
- c. EVMs requiring repair (i.e. opening of their control or balloting unit) will be segregated and their details kept.
- d. For such repaired machines where either the control unit or the balloting unit have been opened, details will be entered in log book specifying the repairs done and part(s) replaced.
- e. Only the technicians from ECIL/BEL will work on the machines and keep a record of repairs carried out.
- f. No outsider will be allowed inside the storage centres.
- g. The machines that have undergone repairs will be kept aside and trial tests carried out on them before using them.
- h. After complete checking, the EVMs will be placed under double lock with the seals of the DEO and the RO.

2. Preparation for the Poll

- i. The machines will be prepared for poll by the ROs phase wise after the finalisation of list of candidates.
- ii. Preparation of machines to be done strictly as per the guidelines and in the presence of Observers and candidates or representatives of candidates (written notice to be served on them giving time and place).
- iii. DEOs/ROs/Observers shall ensure that representatives of political parties/candidates are informed of time and venue of EVM preparation. DEOs and ROs will be responsible for failure to involve political parties/candidates and the Observers. Observer will keep the Commission informed of any such lapse on part of the DEO/RO.
- iv. EVMs after preparation shall be kept in storage centres/dispersal centres.

- v. The prepared EVMs shall be kept under double-lock at the storage/dispersal centres.
- vi. In cases where these have to be transported to other locations outside the district headquarters where dispersal of polling parties have been arranged, the DEO/RO shall apprise the Observer, political parties and candidates and keep them informed of the movement plan. The candidates or their agents can follow the vehicles in which EVMs are carried. At such locations one Observer will invariably be responsible for checking the storage facilities, security aspects and the general plan for dispersal of polling parties. As per standard instructions referred to above, the EVMs at such places will also be kept under a double-lock arrangement with one key with the DEO and the other with the RO as the case may be. Armed security of at least a section strength, round the clock, shall be posted at all such dispersal centres.
- vii. Detailed plan for distribution of EVMs will be prepared by the DEO/RO and Observer will closely monitor the distribution.
- viii. Machines used for training shall not be used for poll. All such machines will be segregated and stored in a separate room under double lock, again with one key with DEO and other with the RO.
- ix. Commission's instructions No.464/OBS/EVM/2006 PLN-IV dated 29th December, 2006 (copy enclosed) should be complied with for ensuring the movement of EVMs by Zonal/Sector/Area Magistrates.

3. Standard Security measures:

- i. Two cordoned security, round the clock, one outer and one inner – outer of State Armed Police, inner of CPMF (if available) otherwise also of State Armed Police for strong rooms- i.e. after polling.
- ii. Guard the storage centres (i.e. inner cordon) with Armed Police

of at least one section strength, round the clock.

- iii. Systems of double lock from the day the Observers arrive- one key kept with District Election Officer, another with Returning Officer concerned.
- iv. Register for movement inside the campus where EVMs stored.
- v. Register for movement inside the store rooms.
- vi. Arrangements at counting centres as per standing instruction, except that Armed Police will have to guard the locations.
- vii. DEOs/SPs personally responsible for any lapse in arrangement and security.

4. Post-poll arrangements

- i. After poll, the EVMs are kept in strong rooms at the counting centres for which detailed instructions already exist. These shall be followed strictly.
- ii. State Armed Police/CPMF will guard all strong room without any exception- make two cordons as mentioned in para 1.
- iii. Necessary fire fighting equipments shall also be installed around all Strong room to meet any exigency.

F – ELECTION MATERIAL

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INSTRUCTION SL. NO. 1

Election Commission's D.O. letter No. 464/INST/2006/PLN-I, dated 17.03.2006 addressed to the Chief Electoral Officers of Assam, Kerala, Tamil Nadu, West Bengal and Pondicherry.

Subject: Material and dimensions for making of Voting Compartment and placement thereof for secrecy of voting

During last few elections, it has come to the notice of the Commission that in many polling stations the voting compartments were not erected in desirable manner. While in some, the voting compartments made with the help of cardboards were not of sufficient height, in others the cloth used was of poor quality and transparent. In such cases the secrecy of voting could have been compromised.

In certain other cases, it was noticed that voting compartments were placed next to windows / doors. In such cases, it was quite possible that others could see electors casting their votes.

In order to maintain secrecy of vote at the time of poll, the Commission desires that during the forthcoming General Elections to the Legislative Assemblies of Assam, Kerala, Tamil Nadu, West Bengal and Pondicherry, the voting compartment shall be made only of cardboard and shall be of dimension 21"X21"X21" and that in no case voting compartment should be placed near the window / door.

INSTRUCTION SI. No. 2

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No. 464/INST/2009-EPS

Dated: 23rd February, 2009

To,

The Chief Electoral Officers of
All States and Union Territories

**Sub: Colour of packing of Statutory Covers, Non- Statutory Covers
and Election Material to be submitted by the Presiding Officers
– regarding.**

Sir/Madam,

I am directed to say that after the close of poll, all election papers relating to poll are required to be sealed by the Presiding Officer in separate packets as per the provisions of Rule 49U of the Conduct of Election Rules 1961. In the past, it has been observed that while keeping the various Forms and other materials used in the polling station in respective covers, the Polling Parties inadvertently put them in wrong covers and it becomes difficult afterwards to locate those documents in case of some Court Cases or such other requirements. In order to streamline this process and to ensure that no such mistakes are repeated either intentionally or unintentionally, the Commission has decided that the following procedure should be adopted with regard to the preparation of various 'Statutory Covers' and 'Non-statutory Covers: -

I. The first packet should contain the sealed covers mentioned below and should be superscribed as "STATUTORY COVER":

- (I) The sealed cover containing the marked copy of the electoral roll;
- (ii) The sealed cover containing the register of voters;
- (iii) The sealed cover containing voter's slips;
- (iii) The sealed cover containing voter's slips;
- (iv) The sealed cover containing unused tendered ballot papers;
- (v) The sealed cover containing the used tendered ballot papers and the list in Form 17-B

A format of each of these five sealed covers is enclosed herewith. The colour of Statutory Forms and covers should be **Green**.

Even if a statement or record to be put in any cover mentioned above is nil, a slip noting on it that the statement or record is "Nil" may be put in the cover and the total number of five covers made ready so that no necessity arises for the receiving official at the receiving centre to enquire about the non-production of any of the sealed covers to be received by him.

(xi) The second packet should contain the following covers and should be superscribed as "NON-STATUTORY COVER":

(xi) The cover containing the copy or copies of electoral roll (other than the marked copy);

(xi) The cover containing the appointment letters of polling agents in Form 10;

(xi) The cover containing the election duty certificate in Form 12-B

(xi) The sealed cover containing the list of challenged votes in Form 14;

(xi) The cover containing the list of blind and infirm electors in Form 14-A and the declarations of the companions;

(xi) The cover containing the declarations obtained from electors as to their age and the list of such electors;

(xi) Cover containing the receipt book and cash, if any, in respect of challenged votes;

(xi) Cover containing unused and damaged paper seals;

(ix) Cover containing unused voter's slips;

(x) Cover containing unused and damaged special tags; and

(xi) Cover containing unused and damaged strip seals.

A format of each of eleven covers is enclosed herewith. The colour of Non Statutory Forms and covers should be **Yellow**.

III. The third packet should contain the following items:

(I) The Hand Book for Presiding Officer;

(ii) Manual of Electronic Voting Machine

(iii) indelible ink set (with stopper having been secured on each phial effectively with molten candle or wax applied thereon to prevent leakage or evaporation);

(iv) Self-inking pads;

(v) The metal seal of the Presiding Officer;

(vi) The arrow cross-mark rubber stamp for marking tendered ballot papers;

(vii) Cup for setting the indelible ink;

A Proforma of each of seven covers is enclosed herewith. The colour of these packets should be **Brown**.

IV All the other items, if any, should be kept in the Fourth Packet. The Colour of this packet should be **Blue**.

Each of the five smaller covers/packets to be included in the first packet marked Statutory Covers should be sealed. The other smaller covers/packets containing various non-statutory papers and items of election materials to be included in the second, third and fourth packets marked "Non-Statutory Covers" may be prepared separately, but not be sealed (except the cover containing list of challenged votes in Form 14).

Where the election to House of the people and legislative assembly are held simultaneously, the Voters' slip for use in the polling station (which is handed over to the electors by the second/third polling officers) may be prepared in two different colours viz. White for Lok Sabha poll and Pink for Assembly poll. After the poll is over the slips used for different poll should be kept in separate covers. Similarly, Form – 17C (Account of Votes Recorded) may also be prepared in different colour viz. White for Lok Sabha poll and Pink for Assembly poll. As regard the Register of Voters (Form – 17A), it may be noted that only one register is required even in the case of simultaneous poll.

It may be noted that the packets containing (i) the account of votes recorded and paper seal (in Form - 17C), (ii) the declarations by the Presiding Officer before the commencement of poll, during the poll and after close of poll, (iii) the Presiding Officer's Diary, (iv) form PS05 (Check Memo for Presiding Officers) and (v) Visit Sheet, should be put in separate packets.

Apart from the above, the Commission has also directed that different Forms and other papers may be pre-printed and stitched in two bundles, duly perforated, at the end viz. one for Statutory and another for Non-statutory.

The matter contained in this instruction should invariably be brought to the notice of the Polling Personnel during their training so that there is no confusion when it comes to the actual use of this facility.

Kindly acknowledge receipt of the letter.

FIRST PACKET

STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200

from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

(1) Sealed Cover containing marked copy of Electoral
Roll and List of CSVS Marked Copy of Electoral Rolls

MARKED COPY

General Election to from
.....Constituency

1. No. of Polling Station:.....
2. Name of the Polling Station:.....
3. Location of Polling Station:.....

STATUTORY COVER

**General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency**

Number of Polling Station.....Name of Polling
Station.....

(2) Sealed Cover containing Register of Voters

**FORM 17-A
(See Rule 49-L)**

Register of Voters

General Election to from
.....Constituency

1. No. of Polling Station:.....
2. Name of the Polling Station:.....
3. Part No. Electoral Roll of:.....

STATUTORY COVER

**General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency**

Number of Polling Station.....Name of Polling
Station.....

(3) Sealed Cover containing Voter's Slips

Slip of Voters

General Election to from
.....Constituency

1. Serial No. of Elector shown in Column-1 of Register of
Voters :

2. Serial No. of Elector as entered in the Electoral Roll :
.....

3. Signature of Polling Officer :
.....

STATUTORY COVER

**General Election to House of the People, 200 / State
Legislative Assembly, 200
from.....Parliamentary**

Constituency / Assembly Constituency

Number of Polling Station.....Name of Polling
Station.....

**(4) Sealed Cover containing unused Tendered Ballot
Papers**

STATUTORY COVER

**General Election to House of the People, 200 / State
Legislative Assembly, 200
from.....Parliamentary**

Constituency / Assembly Constituency

Number of Polling Station.....Name of Polling
Station.....

**(5) Sealed Cover containing used Tendered Ballot
Papers and List in 17-B
FIRST PACKET**

STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

- (1) Sealed Cover containing marked copy of Electoral
Roll and List of CSVS
Marked Copy of Electoral Rolls

MARKED COPY

General Election to from
.....Constituency

1. No. of Polling Station:.....
2. Name of the Polling Station:.....
3. Location of Polling Station:.....

STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

(2) Sealed Cover containing Register of Voters

FORM 17-A

(See Rule 49-L)

Register of Voters

General Election to from
.....Constituency

1. No. of Polling Station:.....
2. Name of the Polling Station:.....
3. Part No. Electoral Roll of:.....

STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

(3) Sealed Cover containing Voter's Slips

Slip of Voters

General Election to from
.....Constituency

1. Serial No. of Elector shown in Column-1 of Register of
Voters :
2. Serial No. of Elector as entered in the Electoral Roll :
.....

3. Signature of Polling Officer :
.....

STATUTORY COVER

**General Election to House of the People, 200 / State
Legislative Assembly, 200**

**from.....Parliamentary
Constituency / Assembly Constituency**

Number of Polling Station.....Name of Polling
Station.....

**(4) Sealed Cover containing unused Tendered Ballot
Papers**

STATUTORY COVER

**General Election to House of the People, 200 / State
Legislative Assembly, 200**

**from.....Parliamentary
Constituency / Assembly Constituency**

Number of Polling Station.....Name of Polling
Station.....

**(5) Sealed Cover containing used Tendered Ballot
Papers and List in 17-B
SECOND PACKET**

NON - STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

(1) COVER CONTAINING THE COPY OR COPIES OF ELECTORAL ROLLS (OTHER THAN THE MARKED COPY)

1. No. of the Polling Station:.....
2. Name of the Polling Station:.....
3. Location of the Polling Station:.....

NON - STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

(2) COVER CONTAINING THE APPOINTMENT LETTERS OF POLLING AGENTS IN FORM - 10

NON - STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

**(3) COVER CONTAINING THE ELECTION DUTY
CERTIFICATE IN FORM – 12-B**

NON - STATUTORY COVER

**General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency**

Number of Polling Station.....Name of Polling
Station.....

(4) LIST OF CHALLENGED VOTES IN FORM 14

NON - STATUTORY COVER

**General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency**

Number of Polling Station.....Name of Polling
Station.....

**(5) COVER CONTAINING THE LIST OF BLIND AND INFIRM
ELECTORS IN
FORM 14-A AND THE DECLARATIONS OF THE
COMPANIONS**

NON - STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

**(6) LIST OF VOTERS FROM WHOM DECLARATIONS AS TO
THEIR AGE HAVE BEEN OBTAINED
ANNEXURE - XI**

NON - STATUTORY COVER

General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency

Number of Polling Station.....Name of Polling
Station.....

**(7) COVER CONTAINING THE RECEIPT BOOK & CASH IF
ANY,
IN RESPECT OF CHALLENGED VOTES**

NON - STATUTORY COVER

**General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency**

Number of Polling Station.....Name of Polling
Station.....

**(8) COVER CONTAINING THE UNUSED AND DAMAGED
PAPER SEALS**

NON - STATUTORY COVER

**General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency**

Number of Polling Station.....Name of Polling
Station.....

(9) COVER CONTAINING UNUSED VOTERS SLIPS

NON - STATUTORY COVER

**General Election to House of the People, 200 / State Legislative Assembly, 200
from.....Parliamentary Constituency / Assembly
Constituency**

Number of Polling Station.....Name of Polling
Station.....

**(10) COVER CONTAINING WITH UNUSED & DAMAGED
SPECIAL TAGS**

INSTRUCTION SL. NO. 3

Election Commission's Letter No. 51/8/16/4/2007 PLN-IV Dated: 12th October, 2007 addressed to The Chief Electoral Officers of All States and Union Territories.

Subject: Protocol for First Level Checking of EVMs before elections.

I am directed to state that the Commission has decided that 'First Level Checking' of EVMs before election(s) shall be done by authorized engineers/technicians of Bharat Electronics Limited, Bangalore (BEL)/Electronics Corporation of India Limited, Hyderabad (ECIL), as the case may be.

2. In the past it has been noticed that malfunctioning of various switches comes to notice soon after the commencement of poll leading to the suspicion that possibly all switches are not properly checked during pre poll check.

3. To ensure proper checking of all switches of the EVM the first level checking will be done in the presence of representative of CEO or DEO who will ensure that at the time of checking the EVM the following must be checked –

- a. All the 16 Candidate Buttons in the Balloting Unit
- b. Off-On Switch
- c. Set Candidate button
- d. Ballot releasing button
- e. Close button
- f. Total button
- g. Result button
- h. Display during operation of all above button
- i. Buzzer sound with each button
- j. Real Time Clock with IST (in case of 2006-07 model EVMs i.e. upgraded EVMs)

4. In order to ensure proper testing and random check of EVMs, the Commission has given following directions:-

- a. All the EVMs, in each and every location, should be tested with the minimum 10 dummy votes /polled for each candidate.
- b. At least 1% of the machines at each and every location should be tested with minimum of 50 dummy votes.

5. A certificate (a sample proforma enclosed) to this effect duly signed with date by both (Engineers of ECIL and CEO/DEO's representative) may be pasted on lower part of the back of the EVM i.e. Ballot Unit and Control Unit (without hiding any vital information i.e. date of manufacturing, machine S. No. etc. The dimension of the certificate should not be more than 13 cms X 8 cms.
6. You may get in touch with BEL/ECIL for this purpose and chalk out the programme of first level check during election(s).

Copy to:

1. **The Chairman-cum-Managing Director
Bharat Electronics Limited,
Nagavara, Outer Ring Road,
Bangalore-560045, Karnataka.**

2. **The Chairman-cum-Managing Director
Electronics Corporation of India Limited,
Hyderabad, Andhra Pradesh.**

Design of Proforma Certificate regarding First level checking of EVM, to be pasted on the back of Control Unit and Balloting Unit is as below:

Name of the State	
Name of the election (specify the election)	
Date of testing/checking	
It is certified that this Control Unit/Ballot Unit of EVM has been tested and checked in accordance with the prescribed protocol for this purpose (tick the appropriate option)	
<input type="checkbox"/> Found to be O.K. <input type="checkbox"/> Found defective	
Signature of Authorized Engineer of M/s ECIL/BEL	
Signature of Representative of CEO/DEO	

INSTURCTION SI. No.4

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi 110 001

K.N. Bhar
Secretary

No.51/8/16/4/2010-EMS

Dated: 4th August, 2010

To

1. Chairman-cum-Managing Director
Bharat Electronics Limited,
Nagavara, Outer Ring Road,
Bangalore-560045,
Karnataka.
2. The Chief Electoral Officer,
Bihar, Patna.
3. Chairman-cum-Managing Director,
Electronics Corporation of India Limited,
Hyderabad-500015,
Andhra Pradesh.
4. The Chief Electoral Officers of all States and Union Territories (except Bihar).

Sub: Protocol for First Level Checking (FLC) of EVMs.

Sir,

In consolidation of all instructions issued by the Commission on FLC, following instructions are hereby issued for the protocol to be followed in FLC: -

1. FLC when to be done.

FLC of EVMs shall be done before every bye-election and General Election. It will be done sufficiently in advance so that all checks are carried out without any undue haste in the presence of representatives of political parties. FLC of EVM shall be completed, in any case, before finalization of the list of contesting candidates in an election.

2. FLC to be done in the presence of representatives of political parties.

Depending on the number of EVMs to be checked in FLC, the DEO shall prepare a schedule for the FLC of EVM in consultation with the EVM manufacturers (ECIL & BEL) and the CEO. The schedule will be made in such a manner that sufficient time is available for FLC of EVMs by engineers of manufacturers. The schedule for FLC shall be communicated to every national and state level recognized political party in writing by the DEO at least one week before the beginning of the FLC in the district. On the day of

the FLC, representatives of all national and state level recognized political parties authorized by the District President of the party shall be allowed to be present. A register shall be kept by the DEO in Annexure –A in which signatures of all political parties shall be taken every day as token of their presence.

3. Arrangements in the premises of FLC.

FLC shall be carried out in a large hall which can accommodate EVMs to be checked. The hall should be able to accommodate officers nominated by the DEO, engineers of BEL and ECIL and also representatives of political parties. Before the hall is used for FLC, it shall be fully sanitized to ensure that the hall is free from any other electronic device or components of electronic devices. Every entry to the hall shall be guarded by police force. Every hall shall have a door frame, metal detector. Every person who enters the hall shall be frisked at every entry. Entry to this hall shall be on production of Identity Card of the authorized officials/passes issued by the District Election Officer. Nobody will be allowed to carry any electronic device inside the hall including cell phones, camera and spy pens etc.. Similarly, nobody will be allowed to carry anything out of the FLC hall.

4. Manpower in FLC.

FLC shall be carried out only by authorized engineers of BEL and ECIL. BEL and ECIL shall be fully responsible for the integrity, efficiency and competence of their engineers. List of engineers deputed by BEL and ECIL for carrying out FLC in a district alongwith their identity card numbers etc. shall be communicated in writing by BEL and ECIL to the DEO. No engineers, technicians or other technical staff except authorized engineers/technical staff of BEL and ECIL shall be allowed to enter the FLC hall. Authorized persons will be allowed to enter the FLC hall only after proper identification using photo identity documents.

5. Work to be done during FLC.

At the time of FLC, the following shall be done:-

- a) Removal of address tags, Ballot Papers, cleaning of superscriptions on CU & BU, clearing of earlier poll data, dusting of CU & BU etc. These activities are carried-out in the secured place/protected place provided by the District Election Officers.
- b) Visual Inspection of CU/BU: Physical verification of Carrying Cases, Control Unit, Ballot Unit, Connecting Cable, Connector, Latches etc. to ensure no breakages.
- c) Full functionality checks: Checking of all switches in Control Unit & Ballot Unit, doors (flaps), sealing provisions, scratches on acrylic screen etc. Response of all switches, confirmation tests for efficacy of Control Unit, Ballot Unit etc. –**BEL and ECIL engineers shall carry out test prescribed by the manufacturers to confirm that all components of the EVM are original. BEL and ECIL engineers will**

certify in Annexure-B that all the components of the EVMs are original. If any EVM is found to be defective, it will be kept aside and then taken to the factory of BEL and ECIL for repairs. No repair of the machine shall be carried out in the field. Opening of the plastic cabinet cover of the machines is not permitted in the field. Changing of components of the machines in the field is strictly prohibited. Detailed step-by-step instructions of checking of the machine shall be issued separately by BEL and ECIL for their respective machines. These instructions will include a list of equipment, which the engineers will be required to carry with them in FLC hall. Security personnel will not allow any other equipment to be carried into the FLC hall.

- d) Conduct of Mock Poll: Casting of votes against all 16 candidate switches, observation of result & clearing of mock poll data will be done for each EVM. In **10% percent** of EVMs at least 1000 votes shall be polled during the mock poll. In rest of the machines number of votes polled during the mock poll should be to the satisfaction of the representatives of political parties. Representatives of political parties shall be allowed to do the mock poll themselves. Signatures of representatives of political parties shall be taken in a register in Annexure –C as a token of having done mock poll themselves.
- e) Demonstration of printout of results. A printout of the results of mock poll as well as a sequential print out of every vote polled during the mock poll shall be taken out for at least 10% of EVMs and shown to the representatives of political parties. Representatives of political parties shall be allowed to pick machines randomly for this purpose. Signatures of representatives of political parties shall be taken in a register in Annexure-D as a token of having seen the print out and confirm that there is no discrepancies between the votes polled during the mock poll and results in the print out.

6. Sealing of plastic cabinet of Control Unit of EVMs with pink paper seal.

In order to ensure that the Control Unit of the EVM has not been opened after First Level Checking of the EVMs, the Control Unit shall be sealed with the 'Pink Paper Seal' supplied by the Nasik Security Press in the presence of the representatives of the Political Parties present at the time of FLC. The Pink Paper Seal shall be affixed on the portion between the Candidate Set Section and the Result Section of the Control Unit.

After fixing the Pink Paper Seal, the Engineer of the Manufacturer shall put his signature on the Pink Paper Seal; the representatives of the Political Parties shall also be allowed to put their signature on the said seal with party name in abbreviation below the signature. The representatives of the Political Parties present should be allowed to note down the serial number of the pink paper seal affixed on the Control Unit. A register in Annexure – E shall be maintained to note down the serial number of the pink paper seal used on the Control Unit by clearly mentioning the unique ID number of Control Unit and the

Pink Paper Seal number. Signatures of representatives of political parties will also be obtained on this register. Photocopy of this register will be given free of cost to all National and State level recognized political parties as soon as FLC is over. Photocopy of this register will also be given to all contesting candidates as soon as last date of withdrawal of candidature is over. Photocopy of this register should also be available at the time of candidate setting and at the time of counting, and should be shown to candidates and their representative and acknowledgement taken on the register.

7. Supervision of FLC

The DEO shall nominate one officer not below the rank of Additional District Magistrate to supervise the entire process of FLC. This officer shall be present in the FLC hall for the entire duration of FLC. The process of FLC shall be continuously videographed. Video CD will be kept in the custody of DEO. CCTV cameras shall be put in the FLC hall in such a manner that the process of FLC can be seen on CCTV in the office of DEO.

8. Copy of the letter should be given to all National and State level political parties. Copy of this letter should also be given to all the contesting candidates after the last date of withdrawal of candidatures in any elections.

Yours faithfully,

(K.N. Bhar)

INSTRUCTION SI. No. 5

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.51/8/16/4/2010-EMS

Dated: 8th December, 2010

To

The Chief Electoral Officers of
all States and Union Territories.

Subject: Protocol for First Level Checking of EVMs - regarding.

Ref: Commission's instruction No.51/8/16/4/2010-EMS dated 4th August 2010.

Sir,

I am directed to refer to the above cited letter of the Commission and to state that the Commissioner desires complete transparency and complete involvement of representatives of political parties in the process of First Level Checking (FLC) of Electronic Voting Machines (EVMs). It is, therefore, clarified that the plastic cabinets of both Control Unit and Ballot Unit of the EVMs shall be opened by the BEL/ECIL engineers in the presence of political party representatives at the time of FLC and the PCB and other components of EVMs will be shown to them. Instructions contained in Para 5 (c) of the above cited letter of the Commission stand modified to that extent. Please inform all concerned and ensure strict compliance at the time of FLCs in the future.

Yours faithfully,

(K.N. BHAR)
SECRETARY

Copy to (By Speed Post)

1. The Chairman-cum-Managing Director,
Bharat Electronics Limited,
Nagavara, Outer Ring Road,
Bangalore-560045, Karnataka.

2. The Chairman-cum-Managing Director,
Electronics Corporation of India Limited,
ECIL Post, Hyderabad-500 062,
Andhra Pradesh.

INSTURCTION SI. No.6

**SECRETARIAT OF THE
ELECTION COMMISSION OF INDIA**

No. – 51/8/7/2001/EMOPS

Dated : 2nd July, 2012.

To

The Chief Electoral Officers of all
States/UTs

Subject: - Protocol for First Level Checking (FLC) of EVMs- modifications

Sir/ Madam,

I am directed to refer to the Commission's instructions number 51181161412010/EMS dated 4th August, 2010, on the subject cited, and to state that during the recent CEOs conference held at Surajkund this issue was discussed. The Commission has now decided that the mock poll in FLC as well as at the time of candidate setting shall be reduced to 5% of total EVMs from the existing 10%. However the number of votes polled shall remain 1000 in each EVM.

I am further to state that in the mock poll which has to be conducted on the day of poll, now at least 50 votes shall be polled instead of 100, as of now. However, the number of votes polled shall never be less than the total number of contestants in the constituency.

The Commission's aforesaid instruction shall be read amended accordingly. Please inform all concerned and ensure compliance.

Yours faithfully,

(R. Srivastava)
Principal Secretary

INSTURCTION SI. No. 7

Speed Post/e-mail

ELECTION COMMISSION OF INDIA
Nirvachan Sadan, Ashoka Road, New Delhi-110001

No. 51/8/7/2009 -EMS

Dated: 3rd February, 2009

To

The Chief Electoral Officers of
All the States and Union Territories.

Subject:

Randomisation of Electronic Voting Machine – some clarification
for Lok Sabha Election, 2009 and for future bye elections from
any Parliamentary Constituencies – regarding.

Sir/Madam,

I am directed to invite your attention to the Commission's instruction No. 51/8/7/2008-EMS (Inst-I) dated 11th August, 2008 prescribing the procedure for randomisation of EVM after 'First Level Checking of EVMs' by the engineers of the BEL or ECIL. The said instruction basically aims at the conduct of Assembly Election of any State.

During the General Election to the Loksabha, 2009, the states not having simultaneous assembly election, may face the following scenario leading to a confusion as in most of the cases entire district is either consists of one Parliamentary Constituency or part of it and hence allocation of EVMs assembly segmentwise may not have any relevance as ultimately they form the part of the Parliamentary Constituency having a common ballot paper for the entire constituency during the election.

Scenario No. I – The entire district consists of the Parliament Constituency and the District Election Officer is the custodian of the EVM of that district and the DEO is the Returning Officer of that

Parliament Constituency.

Scenario No. II – The Parliament Constituency consists of entire district as well as some assembly segments of some adjoining district/districts and the DEO of this district is RO of the PC.

Scenario No. III – Some or one of the assembly segments falling in a district may not form part of the Parliament Constituency and the DEO of that district is not RO for the PC consisting of those assembly segments. The DEO of such district is the custodian of the EVM that will be used in those assembly segments of his district.

In all the above cases the first level of randomisation of the EVMs will even be done immediately after the 'First Level Checking of EVMs' are done by the engineers of BEL or ECIL as per the guidelines laid down in the Commission's instruction No. 51/8/7/2008-EMS-(Inst-I) dated 11th August,2008, to allocate the EVMs for use in the 'Actual poll' and for the purpose of 'Training' with duly pasted prescribed coloured sticker supplied by the BEL or ECIL and the EVMs destined for use in the poll will be kept in the Strong Room thereafter.

In case any DEO has space constraint, even then the first level randomisation has to be done as per the instruction of the Commission. However, he may store the EVMs after the first level randomisation at the same place where it was stored prior to the FLC.

The second level randomisation of EVM during preparation of the machine for actual poll by the RO has its own relevance and hence this will invariably be done covering every step as per the procedure laid down in the instruction dated 11/08/08 referred to above.

The preparation of the EVMs, however, may be done at the level of ARO in case the assembly segment falling in the Parliament Constituency is not in the same district, the DEO of which is not the RO of that Parliament Constituency. But for this particular assembly segment, the EVMs will be used from out of the stock of that particular district.

During the preparation of EVM, there may be cases where the DEO is RO and the Dispersal of Polling Parties alongwith the material takes place from the District Head Quarters. In such case the EVMs may be prepared at the District HQ itself in the presence of Observers and the agent of the candidates.

There may be cases in larger districts where the DEO is RO but the dispatch of EVMs and other materials alongwith the Polling Parties takes place at SDO/AC level in which case the EVMs may be stored in the Strong Room after the first level randomisation and prepared at the level where it is stored in the presence of Observers and the agent of candidates.

In case in a district or PC the location of the preparation of the EVM is more than the number of Observers available for that PC or the district the schedule of preparation of EVMs may be prepared in such a way the Observer can remain present invariably during the preparation of the EVM. The programme in such cases may be discussed with the Observers and the dates may be fixed accordingly.

Once the process of First Level Checking of EVMs starts, the Strong Room where these EVMs are to be stored may be located as after first level of randomisation of EVMs the machines are invariably required to be stored in the 'Strong Room' only.

To sum up all the affairs of the management of EVM issue during conduct of election should be done in a transparent manner keeping proper documentation of the events, in the presence of agents of Political Parties, Candidates or their election agents and the Observers whenever the latest is available in the constituency.

In case of the states having simultaneous election of assembly going with the Loksabha Election, 2009, the above procedure will be applicable so far as the EVMs meant for use in the Parliamentary Constituencies are concerned. The colour of the sticker that are to be pasted on the Ballot Unit and Control Unit of the EVMs meant for use in Assembly Constituencies will be '**Pink**'. In so far as the EVMs for use in assembly constituencies are concerned, the procedure laid down in the instructions dated 11/08/08 referred to above will be applicable. In the States that would be going for simultaneous election the first step that needs to be taken after 'First Level

Checking of EVMs' will be to segregate the EVMs meant to be used for Lok Sabha Election and Legislative Assembly Election separately. This should also be done through randomization.

The District Election Officers/Returning Officers are required to submit a report regarding the arrangements on the management of EVM affairs at each stage in the proforma enclosed herewith.

All the DEOs, after first level of randomization, the list so generated will invariably furnish to the CEO concerned mentioning the location of the such storage for consolidation and the CEO will send consolidated list of the State/UT to the Commission for its information.

The information on the replaced EVM, due to malfunction or for some other reason on the day of poll, is required to be submitted to the Candidates/Agents during the closure of Strong Room where the polled EVMs are kept after the poll is over.

Yours faithfully

INSTRUCTION SL. NO. 8

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.51/8/7/2010-EMS

Dated : 24th March, 2011

To

The Chief Electoral Officer,
West Bengal,
Kolkata.

Subject: Clarification regarding Second Randomization of EVMs.

Sir

I am directed to refer to your letter No. 2370-Home(Elec), dated 17th March, 2011, on the subject cited, and to clarify that second randomization of EVMs shall be done after preparation of EVMs. As regards your query on issue of notice regarding date, time and place of preparation of EVMs to candidates, it is clarified that ROs may be advised to issue the notice to the contesting candidates about preparation of EVMs, as per their convenience, keeping in mind that the preparation of EVM should start as soon as possible, after the last date of withdrawal of candidature and candidates/their representatives will remain present at the time of preparation of EVMs.

Yours faithfully,

(Dilip K Varma)
Under Secretary

Copy to the Chief Electoral Officers of all States/UTs (except CEO, West Bengal).

INSTRUCTION SL. NO. 9

ELECTION COMMISSION OF INDIA
Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.51/8/7/2010-EMS

Dated : 3rd April, 2011

To

The Chief Electoral Officers of
All States and Union Territories.

Subject: Clarification regarding Second Randomization of EVMs.

Sir

I am directed to refer to the Commission's letter of even number, dated 24th March, 2011, on the subject cited, whereby by the Commission has clarified that second randomization of EVMs shall be done after preparation of EVMs. It has been brought to the notice of the Commission that some difficulties are being faced by the Returning Officers to do second randomization of EVMs after preparation of EVMs as the address tag of EVM is sealed at the time of preparation of EVM (Candidate Set). The purpose of second randomization of EVMs is to allocate EVM randomly polling station-wise to ensure more transparency in allocating EVMs to polling stations. Apart from that both the process of second randomization of EVMs and preparation of EVMs are invariably done in the presence of the contesting candidates/their agents. In view of the aforesaid procedure, the Commission has no objection, if Returning Officers/Assistant Returning Officers do second randomization of EVMs on or before the day of preparation of EVMs in the presence of candidates/their representatives.

The aforesaid instructions may be brought to the notice of all concerned.

Yours faithfully,

(Dilip K Varma)
Under Secretary

INSTRUCTION SI. No. 10

ELECTION COMMISSION OF INDIA

K.N. Bhar
Secretary

No.51/8/7/2010

Dated: 23rd September, 2010

To,

1. The Chief Electoral Officer, Bihar, Patna.
2. Chief Electoral Officers of all States and UTs (except Bihar).

Reference: 1. Commission's letter No. 51/8/7/2007-PLN-IV, dated 12/10/2007.
2. Commission's letter No. 464/OBS/EVM/2007 PLN-IV, dated 12/10/2007.
3. Commission's letter No. 51/8/7/2008-EMS (Inat.-I), dated 11/8/2008.

Sub: Preparation of Electronic Voting Machines (EVMs) during elections- reg.

Sir,

In addition of the instructions of the Commission on the subject cited, the following shall also be strictly done during process of preparation of EVMs during elections.

- 1. Preparation of EVMs shall be done in the presence of representatives of candidates.**

Preparation of EVMs for elections shall be done invariably in the presence of representatives of candidates. The Returning Officer shall draw a schedule for preparation of EVMs. Preparation of EVM should start as soon as possible after the last date of withdrawal of candidatures. Sufficient time should be provided for preparation of EVMs for each Assembly Constituency in the case of Assembly Elections and for each assembly segment in the case of Parliamentary Elections. It may be noted that the time required for preparation of EVM under the new instructions will be sufficiently more than the time required earlier. Therefore, the schedule should be fixed in such a manner that preparation of EVM is not done in a hurried manner. Ideally, the Returning Officer should fix between 3-5 days for each assembly segment for this purpose. In case of Parliamentary Elections, preparation of EVM for assembly segment should be supervised by Assistant Returning Officers.

The schedule should be made in such a manner that preparation of EVMs is done for all the assembly segments in separate halls and is completed at least 8 – 10 days before the poll. Candidates should be informed in writing of the schedule for preparation of EVMs and should be requested to be present or send their representatives at the time of preparation of EVMs. On the day of the preparation, a register in Annexure-A will be kept by the Returning Officer in which the signature of candidates or their representatives will be taken as token of their presence.

2. Arrangements in the premises of preparation of EVM.

Preparation shall be carried out in a large hall which can accommodate all EVMs to be prepared. The hall should be able to accommodate officers nominated by the District Election Officer, engineers of Bharat Electronics Limited (BEL) and Electronics Corporation of India Limited (ECIL) and also representatives of candidates. Before the hall is used for preparation, it shall be fully sanitized to ensure that the hall is free from any other electronic device or components of electronic devices. Every entry to the hall shall be guarded by police force. Every hall shall have a doorframe metal detector. Every person who enters the hall shall be frisked at every entry. Entry to this hall shall be on production of Identity Card of the authorized officials/passes issued by the District Election Officer (DEO). Nobody will be allowed to carry any electronic device inside the hall including cell phones, camera and spy pens. Similarly, nobody will be allowed to carry anything out of the preparation hall.

3. Manpower for preparation.

Only the authorized engineers of BEL and ECIL shall be associated with the preparation of EVMs. BEL and ECIL shall be fully responsible for the integrity, efficiency and competence of their engineers. List of engineers deputed by BEL and ECIL for carrying out task during the preparation of EVM in a district alongwith their identity card numbers etc. shall be communicated in writing by BEL and ECIL to the DEO. No engineers, technicians or other technical staff except authorized engineers/technical staff of BEL and ECIL shall be allowed to enter the preparation hall. Authorized persons will be allowed to enter the preparation hall only after proper identification using photo identity documents. It may be noted that a separate team will be required for each

EVM in which 1000 votes are cast during mock poll because the time required for casting of 1000 votes will be at least 4 hours.

4. **Additional tasks during preparation.**

Detailed instructions of the tasks to be done during preparation of EVMs have been given in the letters referred to above. In addition to these tasks, following additional tasks shall be performed: -

- (a) **Checking of Balloting Units (BUs):** BUs shall be opened completely and shown to candidates and their representatives at the time of preparation of EVMs. BU shall be examined by BEL and ECIL engineers who will certify as in Annexure-B that all components of BUs are original. If any BU is found defective, it will be kept aside and can be taken back to the factory of BEL and ECIL for repairs. No repairs shall be carried out in the field. Changing of components in the field is strictly prohibited. Detailed instructions of checking of BU shall be issued separately by BEL and ECIL for their respective machines. These instructions will include a list of equipment which the engineers will be required to carry within the preparation hall. Security personnel will not allow any other equipment to be carried into the preparation hall.
- (b) **Conduct of Mock Poll:** Casting of votes against all 16 candidate switches, observation of result & clearing of mock poll data will be done for each EVM. In 10 % (ten percent) of EVMs at least 1000 votes shall be polled during the mock poll. In rest of the machines number of votes polled during the mock poll should be to the satisfaction of the representatives of candidates. Representatives of candidates shall be allowed to do the mock poll themselves. Signature of representatives of candidates shall be taken in a register in Annexure –C as a token of having done mock poll themselves. It may be ensured that the pink paper seal of the CU which has been applied on the CU at the time of FLC is not damaged in any manner in this process.
- (c) **Demonstration of printout of results:** A printout of the results of mock poll as well as a sequential print out of every vote polled during the mock poll shall be taken out for at least 10% of EVMs and shown to the representatives of political parties. Representatives of candidates shall be

allowed to pick machines randomly for this purpose. Signatures of representatives of candidates shall be taken in a register in Annexure- D as a token of having seen the print out and confirm that there is no discrepancy between the votes polled during the mock poll and results in the print out.

(d) **Sealing of plastic cabinet of BU with pink paper seal:** Detailed instructions of BU with thread seals already exists. Those instructions shall be followed. In addition, the BU shall be sealed with a special “pink paper seal” supplied by India Security Press, Nasik, in the presence of candidates or their representatives at the time of preparation of EVMs. Pink paper seal shall be fixed at the lower most portion of the BU in such a manner that no candidate’s button or candidate’s name or symbol is hidden by the seal. After affixing the pink paper seal, BEL and ECIL engineer shall put his signature on the pink paper seal. Candidates or their representatives shall also be allowed to put their signatures on the pink paper seal with name of the candidate, party before the signature. Candidates and their representatives should also be allowed to note down the serial number of the pink paper seal on the CU. A register in Annexure - E shall be maintained to note down the serial no. of the pink paper seal used on the ballot unit by clearly mentioning unique ID of the BU and pink paper seal no. Signatures of candidates and their representatives will also be obtained on this register. Photo copies of this register will be given free of cost to all candidates once preparation is over.

(e) **Supervision of the preparation:** Preparation shall be supervised either personally by the RO or one of the AROs deputed by the RO for this purpose. The process of preparation of EVM should be fully videographed.

Copy of this letter should be given to all the national and State level political parties. Copy of this letter should also be given to all contesting candidates after the withdrawal of candidates in any election.

Yours faithfully,

(K.N. Bhar)

Annexure-A
(Register for Preparation of EVMs)

Name of State/UT:

Name of District:

Address of Preparation of EVM hall:

Date:

S.No.	Name of candidate	Name of representative of candidates with party affiliation, if any	Identity document No. with date	Signature of candidates/ His representative	Remarks, if any.

Note: If a candidate or his representative is absent the proof of due service of notice to the candidate should be pasted in the register.

(Name and signature of engineers of BEL/ECIL with ID No.)

(Name, designation, signature of officers nominated by District Election Officer)

Annexure-B
(Certification of EVMs by BEL/ECIL)

Name of State/UT:

Name of District:

Address of hall:

Date:

It is certified that tests prescribed by BEL/ECIL to ascertain that all components are original were carried out on the Ballot Unit listed below on..... (date). On the basis of these tests it is certified that all components of the Ballot Unit listed below are original.

BU Identification No.

(Name & signature of BEL/ECIL engineers with ID No.)

Annexure-C (Mock Poll certification during Preparation of EVMs)

Date:.....

Name of State/UT:

Name of District:

Address of hall:

It is certified that I have done mock poll on..... EVMs and I am fully satisfied with the functioning of EVMs.

S.No.	Name of candidate	Name of representative of candidates with party affiliation, if any	Identity document No. with date	Signature of candidate/his representative	Remarks, if any.

Annexure-D (Mock Poll certification during Preparation of EVMs)

Date:.....

Name of State/UT:

Name of District:

Address of hall:

It is certified that a printout of the result of mock poll as well as a sequential print out of every vote polled during the mock poll has been taken out from EVMs picked up by me. There are no discrepancies between the votes polled during the mock poll and result in the print out.

S.No.	Name of candidate	Name of representative of candidate with party affiliation, if any	Identity document No. with date	Signature of candidate/his representative	Remarks, if any.

Annexure-E (Register for Sealing of Ballot Unit of EVMs using Pink Paper Seal)

Name of State/UT:

Name of District:

Address of FLC hall:

Date:

It is certified that the sealing of the Ballot Units using Pink Paper Seals has been done in my presence. I have put my signature on the Pink Paper Seals after sealing of the Ballot Units and I am satisfied with the sealing of the Ballot Units.

Sl.No.	Unique ID No. of Ballot Unit	Pink Paper Seal Number

S.No.	Name of candidate	Name of political party with party affiliation, if any	Identity document No. with date	Signature of candidate/ his representative

INSTURCTION SI. No.11

Election Commission's Letter No.576/3/2009/SDR Dated : 6th January, 2009 addressed to the Chief Electoral Officer of all States and Union Territories.

Sub: Replacement of EVM during poll- requirements to be followed-regarding.

There are occasions when EVMs are required to be replaced in the polling station after commencement of poll. Such situation arises when the EVMs develop problems in the midst of poll. When replacement EVMs are used, the Presiding and Polling Officers should ensure that the requirement of conducting mock poll should be fulfilled in the case of new EVMs also, and **the new EVMs should be put to use only after conducting the mock poll, clearing of the data of mock poll and recording the certificate of mock poll, as in the case of the originally allotted EVMs .**

Necessary instructions may be issued to all DEOs, ROs and all other election authorities in the State/UT, with clear directions that this aspect should be covered in the training classes for the polling personnel.

Please acknowledge receipt of this letter.

INSTURCTION SI. No. 12

By Camp Bag/e-mail

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001

K.N.Bhar
Under Secretary

Telefax:011-23052014
e-mail: knbhar@eci.gov.in

No.51/8/7/2009-EMS

Dated: 8th April, 2009

To

**The Chief Electoral Officers of
All States and Union Territories.**

Subject: Management of EVM crisis arisen out of excess number of candidates invarious parts of the country – steps regarding.

Reference: Commission's letter No.51/8/7/2009-EMS, dated 5th April, 2009.

Sir/Madam,

The Commission has noted a trend of a higher number of candidates remaining in thefray after the last date of withdrawal of candidates in many Parliamentary Constituencies and insome of the Assembly Constituencies which are going for a simultaneous elections. Wheneverthe number of contesting candidates is more than 16 or multiples of 16 it impacts on therequirement of Ballot Units that would be needed and also the cascading effect that it would haveon the space required in polling stations to seat all the polling agents, the larger voting compartment and additional materials required etc.

The Commission desires that the Chief Electoral Officers respond to the issue through better management of the EVMs particularly the BUs. It may be borne in mind that EVMs used for poll **cannot repeat cannot be reused until and unless the period for filing of Election petition in respect of that election is over**. Hence, in the current context repeat use of BU is not possible from one phase to another phase or in another state. The Commission desires that the following steps which are administrative as well as logistical in nature be taken with immediate effect:

1. **Constitute a Core Team for EVM Management:** drawing other officials if necessary, apart from the nodal officer of CEO's office. This team at the CEO's office will undertake an immediate review of PC-wise/AC-wise requirement of CU/BU after taking the number of contesting candidates in each constituency into account. This exercise should result in a complete inventory of CU/BU availability in terms of districts/PCs so that availability of

surplus or the shortage can be identified in PC/assembly segment terms. If the training reserve/CU/BU to be actually deployed/deployment reserve are already identified that may be clearly indicated so that the excess availability/shortage of BU can be better appreciated. The Commission desires that the deployment of Ballot Units should be optimized in such a manner that takes care of the excess requirement in particular constituencies by resorting to inter-PC transfers. The Commission desires that the CEOs should handle the excess requirement of the Ballot Unit as an intra-State task rather than request for additional BUs from the ECI. Wherever inter-State transfers need to be done, the CEO will monitor the logistics issues like arrangement of transfer, tracking of loading and unloading etc. on daily basis. The problem once identified and flagged, the response system should be put in place and monitored constantly and a daily report shall be submitted to the core team in the ECI headquarter (consisting of S/Sh S Suresh Kumar, IAS, OSD, K. Ajaya Kumar, Secretary and K.N. Bhar, U.S).

2. Reduce the reserve level for training and actual deployment

Currently, we are maintaining a reserve of 15% or more of EVMs to be used in case of Contingencies. It is relevant to note that the actual replacement rate on the poll day is less than 1%. Most of these replacements involve only the replacement of CUs and the BUs get replaced only rarely. Hence, the CEOs can afford to take the following steps:-

- a. Reduce the over all reserve of EVM to the barest minimum. The overall CU reserve can be reduced even to 4%.
- b. While reserve CU can be made available with the sector officers or in some occasions at the polling station level, the access to reserve BUs can be more prudently rationalized by keeping the BUs either with the zonal officers or at some central locations such as Block Offices or Taluka/Tehsil Offices. Wherever such BUs are kept, proper communication system should be in place so that the need for replacement of BU, if any, can be quickly responded to. If such arrangement is in place, the DEOs/ROs can even operate at the reserve level of 2% with regard to BUs.
- c. This arrangement may be informed to the candidates that when the CU becomes defective only the CU will be replaced and BU will be replaced only when the BU will be defective.
- d. In either case, as per the existing instruction, proper accounting of such replacement shall be maintained so that the details of CU/BU used/replaced at any given polling station is maintained properly.

3. Reuse the Training EVMs: Normally the training of Staff and polling personnel would be completed by the time the EVMs are prepared for actual poll. After this is over, the EVM

which are used for the training can **be again checked** by the BEL/ECIL technicians immediately and can be sent for the use (randomly either through a system or manually) in the constituency going for election in the later phase. The list of such Ballot Unit should be supplied to the candidates.

4. Intra- State Transfer of Reserve BUs: The Commission has directed that reserve BUs should be immediately de-mobilised after the issue of repolls is settled and shifted to the BU deficit areas based on an assessment by the CEO. For example the 1st Phase reserve BUs can only be used in actual poll of the 3rd phase and so on.

5. Inter-State Transfer of Reserve BUs: Once the repoll from a constituency is finalized by the Commission the unused BUs should be de-mobilised and moved to a pre-identified central location having air connectivity for shifting to other state(s), if needed, to be decided by the Commission. This would be monitored directly from the ECI, if necessary, but the local logistics should be in a high state of readiness. All the states going to poll in the Phase –I, Phase – II, Phase – III and Phase – IV will **ensure that no un-pollled EVM (both CU & BU), even by mistake, are kept in the strong room.** Hence under no circumstances any BU not used in the poll shall be kept idle.

6. Continue to submit daily report to the **Commission Control Room by 5 pm every day in the proforma** in the referred letter

Yours faithfully

(K.N.Bhar)

INSTRUCTION SI. No.13

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001

No.51/8/7/2012-EMS

Dated: 19th January, 2012

To

The Chief Electoral Officers of

1. Goa, Panaji
2. Manipur, Imphal
3. Punjab, Chandigarh
4. Uttarakhand, Dehradun
5. Uttar Pradesh, Lucknow

Subject: Comprehensive instructions on use of EVMs after first level checking of EVMs (FLC) -regarding.

Sir,

Instructions with respect of EVMs have been issued from time to time. Instructions to be followed for use of EVMs after the completion of FLC till the counting is over have been consolidated for the convenience of all concerned. Consolidated instructions are given below for strict compliance:

1. First Randomization of EVMs –

1.1. Process of First Randomization -First randomization of Ballot Units (BUs) and Control Units (CUs) will be done to randomly assign EVMs to Assembly Constituencies (ACs), training and Awareness Programmes. After FLC is over the DEO will fix a schedule for first randomization of EVMs. This schedule should be fixed in such a manner that first randomization is completed before the start of training of polling personnel. During first randomization CUs and BUs are assigned to ACs in the presence of the representatives of recognized political parties. The randomization will be done in such a way that the CUs and BUs are randomly selected by grouping them to match the poll day requirement including the reserve required for each constituency. Surplus CUs and BUs after assigning ACs to them shall also be randomly grouped and distributed AC wise for the purpose of training and awareness programmes. (for the training of the polling staff as well as for the purpose of voter awareness). A sticker of Green colour shall be affixed on the CUs and BUs assigned to ACs for polling. A sticker of Yellow colour shall be affixed on the CUs and BUs assigned for training and awareness programmes. The sticker shall clearly indicate the Name of State/UT and the Number and Name of Assembly Constituency. "Training/Awareness" will be written on the sticker of CUs and BUs assigned for training and awareness. "Election" will be written on the sticker of CUs and BUs assigned for polling. No current ID will be given during randomization. After randomization the CUs and BUs will be marked "Election" or "Training/Awareness" in the EVM tracking software.

1.2. Communication of the List of Randomized CUs and BUs to Political Parties – After first randomization list of randomized CUs and BUs clearly indicating which CUs and BUs are to be used in which AC for polling and which CUs and BUs are to be used in which AC for training and awareness should be given to each recognized political party and a written acknowledgement obtained. A copy of this list should be given to all the candidates after the last date of withdrawal of candidatures.

1.3. After first randomization the R.O of the constituency, shall take charge of the CUs and BUs randomly allotted to his constituency. The CUs and BUs meant for use at the election shall be separately taken to R.O's strong room under proper escort and will be guarded 24X7. The training EVMs shall be distributed to the relevant officers for the training purpose. At the time of sealing the strong room, the representatives of political parties can remain present and they can also affix their seal on the lock.

2. Second Randomization of EVMs –

2.1. Second randomization of EVMs has to be done before preparation of EVMs for poll by candidate setting as the address tag of EVM is sealed at the time of preparation of EVM (Candidate Set). The purpose of second randomization of EVMs is to allocate EVMs randomly to polling stations. The Returning officer should fix the date of preparation of EVMs for poll (Candidate set) and intimate all candidates to remain present or send their representatives during the process. The date should be fixed as early as possible after the last date of withdrawal of candidatures and finalization of ballot papers. On this day second randomization should be done before doing the process of preparation of EVMs for polls and CUs and BUs should be randomly allocated to polling stations. After second randomization Polling Station number should be marked in the EVM tracking software, against those BUs and CUs which have been assigned polling stations. Those BUs and CUs which have not been assigned polling stations but are meant to be used in elections should be marked "Reserve" in the EVM tracking software. A list of CUs and BUs along with the number of polling stations assigned to them should be given to all the candidates. Similarly a list of CUs and BUs kept in reserve should also be given to the candidates and written acknowledgement taken.

2.2. Where more than one Ballot Unit is being used, the Chief Electoral Officers will ensure that the additional prepared Ballot Unit is also allocated randomly to the polling stations. For this purpose there may be two Scenarios –

2.2.1. Scenario 1-Preparation of EVMs and second level randomization is already over before the additional Ballot Units reaches the Returning Officers'

Headquarters. In this case another randomization for allocating Ballot Units No.2/3 will be done to allocate the Ballot Units to the polling stations and the list of such allocation may be supplied to the Political Parties/Candidates.

2.2.2. Scenario 2-Preparation of EVMs and second level randomization is done after the arrival of additional Ballot Units. In such case the randomization will be done in such a way that against the polling stations number the Control Units number is shown first and then the Ballot Unit No.1 and Ballot Unit No2 and so on.

2.3. In the constituencies where additional Ballot Units are being used for having the number of contesting candidates more than 16, the Presiding Officers should be specifically instructed to arrange the Ballot Units in the manner as shown in the figure enclosed herewith (Annexure-1). For this purpose the size of Voting Compartment should be increased proportionality in such a way that the electors are not put to any kind of inconvenience and at the same time the secrecy of ballot is not compromised in any way.

3. Preparation of EVMs (Candidate Set) –

3.1. Preparation of EVMs for elections shall be done invariably in the presence of representatives of candidates. The Returning Officer shall draw a schedule for preparation of EVMs. Preparation of EVM should start as soon as possible after the last date of withdrawal of candidatures. Sufficient time should be provided for preparation of EVMs for each Assembly Constituency in the case of Assembly Elections and for each assembly segment in the case of Parliamentary Elections. It may be noted that the time required for preparation of EVM under the new instructions will be sufficiently more than the time required earlier. Therefore, the schedule should be fixed in such a manner that preparation of EVM is not done in a hurried manner. Ideally, the Returning Officer should fix between 3-5 days for each assembly segment for this purpose. In case of Parliamentary Elections, preparation of EVM for assembly segment should be supervised by Assistant Returning Officers. The schedule should be made in such a manner that preparation of EVMs is done for all the assembly segments in separate halls and is completed at least 8 – 10 days before the poll. Candidates should be informed in writing of the schedule for preparation of EVMs and should be requested to be present or send their representatives at the time of preparation of EVMs. On the day of the preparation, a register in Annexure-2 will be kept by the Returning Officer in which the signature of candidates or their representatives will be taken as token of their presence.

3.2. Arrangements in the premises of preparation of EVM -Preparation shall be carried out in a large hall which can accommodate all EVMs to be prepared. The hall should be able to accommodate officers nominated by the District Election Officer, engineers of Bharat Electronics Limited (BEL) and Electronics Corporation of India Limited (ECIL) and also representatives of candidates. Before the hall is used for preparation, it shall be fully sanitized to ensure that the hall is free from any other electronic device or components of electronic devices. Every entry to the hall shall be guarded by police force. Every hall shall have a door-frame metal detector. Every person who enters the hall shall be frisked at every entry. Entry to this hall shall be

on production of Identity Card of the authorized officials/passes issued by the District Election Officer (DEO). Nobody will be allowed to carry any electronic device inside the hall including cell phones, camera and spy pens. Similarly, nobody will be allowed to carry anything out of the preparation hall.

3.3. Manpower for preparation -Only the authorized engineers of BEL and ECIL shall be associated with the preparation of EVMs. BEL and ECIL shall be fully responsible for integrity, efficiency and competence of their engineers. List of engineers deputed by BEL and ECIL for carrying out task during the preparation of EVM in a district along with their identity card numbers etc. shall be communicated in writing by BEL and ECIL to the DEO. No engineers, technicians or other technical staff except authorized engineers/technical staff of BEL and ECIL shall be allowed to enter the preparation hall. Authorized persons will be allowed to enter the preparation hall only after proper identification using photo identity documents. It may be noted that a separate team will be required for each EVM in which 1000 votes are cast during mock poll because the time required for casting of 1000 votes will be at least 4 hours.

3.4. Additional tasks during preparation -Detailed instructions of the tasks to be done during preparation of EVMs have been given in the letters referred in the Commission's letters No. 51/8/7/2007-PLN-IV, dated 12/10/2007, No.464/OBS/EVM/2007 PLN-IV, dated 12/10/2007 & No.51/8/7/2008-EMS (Inst.-I), dated 11/8/2008. In addition to these tasks, following additional tasks shall be performed:

3.4.1. Checking of Balloting Units (BUs) – Outer cover of BUs shall be opened and inside of BU shown to candidates and their representatives at the time of preparation of EVMs. BU shall be examined by BEL and ECIL engineers who will certify as in Annexure-3 that all components of BUs are original. If any BU is found defective, it will be kept aside and can be taken back to the factory of BEL and ECIL for repairs. No repairs shall be carried out in the field. Changing of components in the field is strictly prohibited. Detailed instructions of checking of BU shall be issued separately by BEL and ECIL for their respective machines. These instructions will include a list of equipment which the engineers will be required to carry within the preparation hall. Security personnel will not allow any other equipment to be carried into the preparation hall.

3.4.2. Conduct of Mock Poll: Casting of votes against all 16 candidate switches, observation of result & clearing of mock poll data will be done for each EVM. In 10 % (ten percent) of EVMs at least 1000 votes shall be polled during the mock poll. In rest of the machines number of votes polled during the mock poll should be to the satisfaction of the representatives of candidates. Representatives of candidates shall be allowed to do the mock poll themselves. Signature of representatives of candidates shall be taken in a register in Annexure -4 as a token of having done mock poll themselves. It may be ensured that the pink paper seal of the CU which has been applied on the CU at the time of FLC is not damaged in any manner in this process.

3.4.3. Demonstration of printout of results: A printout of the results of mock poll as well as a sequential print out of every vote polled during the mock poll shall be taken out for at least 10% of EVMs and shown to the representatives of political parties. Representatives of candidates shall be allowed to pick

machines randomly for this purpose. Signatures of representatives of candidates shall be taken in a register in Annexure-5 as a token of having seen the print out and confirm that there is no discrepancy between the votes polled during the mock poll and results in the print out.

3.4.4. Sealing of plastic cabinet of BU with pink paper seal -Detailed instructions of BU with thread seals already exists. Those instructions shall be followed. In addition, the BU shall be sealed with a special “pink paper seal” supplied by India Security Press, Nasik, in the presence of candidates or their representatives at the time of preparation of EVMs. Pink paper seal shall be fixed at the lower most portion of the BU in such a manner that no candidate’s button or candidate’s name or symbol is hidden by the seal. After affixing the pink paper seal, BEL and ECIL engineer shall put his signature on the pink paper seal. Candidates or their representatives shall also be allowed to put their signatures on the pink paper seal with name of the candidate, party before the signature. Candidates and their representatives should also be allowed to note down the serial number of the pink paper seal on the CU. A register in Annexure -6 shall be maintained to note down the serial no. of the pink paper seal used on the ballot unit by clearly mentioning unique ID of the BU and pink paper seal no. Signatures of candidates and their representatives will also be obtained on this register. Photocopies of this register will be given free of cost to all candidates once preparation is over.

3.4.5. Supervision of the preparation: Preparation shall be supervised either personally by the RO or one of the AROs deputed by the RO for this purpose. The process of preparation of EVM should be fully video-graphed.

4. Mock Poll on the day of Poll -In order to ensure that the mock poll serves the intended purpose, the Commission directs that

4.1. Mock poll shall be conducted normally one hour before the scheduled hour of commencement of poll. All contesting candidates should be informed in writing well in advance that mock poll would start one hour before the commencement of poll and they should be advised to instruct their polling agents to be present in time for the mock poll. Polling agents of at least two

candidates should be present at the time of Mock Poll. However, if polling agents of at least two candidates are not present, the Presiding Officer may wait for 15 more minutes before conducting the mock poll and if the agents still do not come, then the Presiding Officer may go ahead and start the mock poll. It is further clarified that after waiting for 15 minutes, there is a possibility that only one Polling agent may be present, in that situation also, the Presiding Officer should go ahead and start mock poll. In such case, there should be specific mention in the mock poll certificate on this aspect.

4.2. During the Mock poll, the Ballot Unit (s) should be placed in the Voting Compartment and Control Unit on the table of the Presiding/Polling Officer who will operate the Control Unit. At least two polling officers with the polling agents should be present in the Voting Compartment to watch the polling agents operating on the Ballot Unit, and to keep a record of the votes cast and tally the polled votes.

4.3. The mock poll should be conducted with the polling agents voting at random for each of the contesting candidates. A total of at least 100 votes should be polled in the mock poll. In the case of absence of polling agents of any of the candidates, one of the polling officers or other polling agents may record the votes for such candidates. The polling officers present in the Voting Compartment should ensure that votes are recorded for each of the contesting candidates. After recording of the minimum of 100 votes, the Presiding Officer will ascertain the result in the Control Unit in the presence of the Polling agents and confirm that the result is tallying with the votes polled in respect of each candidate. Thereafter, Presiding Officer will prepare and sign the mock poll certificate in the prescribed format as in Annexure -7 where pre-2006 EVMs are being used.

4.4. The Presiding Officers shall ensure that the data of the mock poll is deleted, without fail, before the official poll starts. This is very critical step and this should be emphasized during training.

4.5. The Presiding Officers shall mention the names of the Polling agents and the candidates (and their party affiliations), whom they represent and also obtain their signatures on the completion of mock poll on the certificate.

4.6. Where a micro-observer has been appointed at any polling stations, he should also participate in all the proceeding of the mock poll and his signature should also be obtained on the mock poll certificate. Further, these proceedings should be

videographed wherever videography arrangement has been made at a polling station.

4.7. The Returning Officer through the Sector Officers and using the communication link with the polling station/ mobile teams, shall track the conduct or otherwise of mock poll, and ascertain the mock poll status. Non-confirmation of mock poll could be indicative of noncommencement of poll and in that case the Returning Officer shall make immediate intervention to sort out the problem.

4.8. The polling stations not having the presence of agents of the candidates of recognized parties and where the mock poll had to be conducted in the absence of agents or with only the polling agent of only one candidate shall merit focused attention of the election machinery. Interventions such as deployment of micro-observers, deployment of video cameras (if not already done), frequent visits by sector officers and other senior officers should be ensured in such cases.

4.9. Mock Poll in case of replacement of EVM -If any defect arises in the Ballot Unit (BU) or Control Unit (CU) of the EVM during poll process, the entire set of EVM (both BU & CU) should be replaced by new set of EVM (BU & CU), a mock poll should be conducted on the new set of EVM (both BU & CU) before it is put to use. However, in this case, it would be sufficient to cast at least one vote each for each of the candidates. A new mock poll certificate should be recorded in such a case. A note should also be added in such cases, in the original mock poll certificate that the original Control Unit was replaced, and that mock poll was conducted on the new Control Unit before putting it to use. The exact time of replacement should also be mentioned in the note.

4.10. Recording of Poll Start and Poll End Date and Time -It has been brought to the notice of the Commission that in the elections where post – 2006 make EVMs were used, in some cases, the date and time of start and end of poll displayed in the Control Unit of EVM during the process of counting of votes did not match with Indian Standard Time of start and end of poll leading to confusion in the minds of stakeholders. This can only happen if the date and time displayed in the Control Unit are not checked at the time of Candidate Set procedure and also at the time of poll. To remove such confusion in future, the Commission has decided to issue the following instruction in this regard:

4.11. The display of date and time in the Control Unit of the EVM shall be checked each time the power switch of Control Unit is switched on during the process of First Level Checking of EVM and again at the time of Preparation of EVM by Returning Officer. In case any difference from Indian Standard Time is noticed the clock shall be recalibrated by the engineers of the BEL/ECIL.

4.12. The Presiding Officer at the end of Mock Poll at the polling station shall invariably check and note the date and time shown in the display of the Control Unit and also the actual date and time at that point of time as well as any discrepancy between the two, if any, in the Mock Poll certificate (Annexure-8) and also in Presiding Officers' Diary.

4.13. At the time of closure of poll the presiding officer shall make a note of the Poll end date and time displayed on the CU in the presiding officer's diary.

4.14. During the process of counting of votes, a copy of the Mock Poll certificate prepared by the Presiding Officer shall be brought to the counting table with the Control Unit pertaining to that polling station.

5. Standing Instructions to Zonal/Sector/Area Officers regarding EVMs – The following instructions shall be complied with for ensuring safe movement of EVMs by Zonal/Sector/Area Magistrates. Following category of EVMs move on poll day with either poll party or Sector/Zonal/Area Magistrate:

5.1. Category “A” -Polled EVMs – Those EVMs in which votes in respect of all polling station is registered and closed at the end of poll after following due procedure.

5.2. Category “B” -Defective polled EVMs – which become defective after some votes are recorded in it.

5.3. Category – “C” -Defective unpolled EVMs – which become defective before commencement of poll and are replaced.

5.4. Category – “D” -Unused EVMs – EVMs which are with Sector/Zonal/Area Magistrate, and are not used in actual poll.

5.5. Zonal Magistrates and Area Officers who are given EVMs from reserve stock will maintain a register where the particulars of Balloting Units (BU) and Control Units (CU) issues are mentioned and a receipt shall be obtained for the machines given to them. Such officers should also maintain the accounts of EVMs replaced in the register maintained by them for this purpose duly signed by the concerned Presiding Officers, indicating time of replacement.

5.6. Zonal/Sector/Area Officers should visit every polling station in their jurisdiction as many times as possible on the poll day and check working of EVMs and other things.

5.7. EVMs of category ‘A’ are stored in a Strong Room as per procedure.

5.8. EVMs of category ‘B’ are also stored separately with the EVMs of category ‘A’ in a Strong Room. In respect of this category of EVMs the Sector/Zonal/Area Magistrate shall keep a record of it and furnish a certificate to the effect while returning the EVMs of category ‘D’ on the next day of poll. The information furnished by them shall be tallied with Presiding Officer’s diary by the Returning Officer and the Observer before commencement of counting of votes.

5.9. EVMs of category ‘C’ are stored separately in a separate room other than the strong room. The sticker of defective unpolled EVMs should be pasted on the carrying cases of such category of Ballot Unit and Control Unit, for technical evaluation of the same by the manufacturer.

5.10. EVMs of category ‘D’ are also stored separately in a separate room, other than the strong room, where polled EVMs are kept, by Sector/Zonal/Area Magistrate. The sticker of unused EVMs should be pasted on the carrying cases of such category of Ballot Unit and Control Unit.

5.11. The store where the EVMs of category ‘C’ and ‘D’ will be kept is to be decided in advance and intimated to the political parties/candidates. It should not be in the vicinity of the collection and the counting center so as to arouse any suspicion. This category of EVMs should also not be collected on the day of poll rather it should be collected on the next day of poll from the concerned Zonal/Sector/Area Officers. On the day of poll these category of EVMs should be in the personal custody of Zonal/Sector/Area Officers, as these are unused EVMs. Also proper documentation of such and other unused EVMs are to be made before they are transported to the store by the Zonal/Sector/Area Magistrate themselves.

6. Additional Instructions on Use of EVMs in Polling Stations -In order to ensure that the conduct of the Presiding Officer and other polling staff in no way gives scope for

any complaint the Commission has issued the following instruction for the strict adherence:

6.1. The D.E.O shall supply a printed sample of EVM ballot unit pasted on a cardboard (real size) to all the presiding officers along with other polling materials at the time of dispatch. While printing such model ballot care shall be taken to ensure that only dummy name and dummy symbols that are not in use, are used and not any real names or symbols. It shall be printed in color so that 'blue button' 'green light' and 'red light' etc are clearly represented.

6.2. Whenever any voter asks for help or expresses inability to vote using EVM, the presiding officer can explain to the voter the voting process using the cardboard model of the EVM ballot in such a manner that the voter is able to understand. This shall be done outside the voting compartment only in the presence of polling agents and never inside the voting compartment.

6.3. The Presiding Officer or other polling staff shall not frequent the voting compartment as that may give scope for complaints.

6.4. In order to ensure that no voter has committed any mischief by pasting any paper, tapes etc., on the symbol/ names / ballot button, the presiding officer may from time to time make an inspection of ballot unit (BU)-but he should make it a point to do so in the immediate presence of polling agents when there is no voter inside the voting compartment.

6.5. Any complaint about the conduct of the polling staff at the polling station shall be taken seriously and properly enquired. The Observers are being instructed to take serious note of such complaints and conduct or cause enquiry into such allegations and submit reports to the Commission.

6.6. All the CUs/ BUs allotted to Polling Stations as above as well as the reserve machines shall be kept in the strong room in the presence of candidates/ agents. They can also be allowed to affix their seal on the lock of the strong room.

7. Instructions to be followed at the time of dispersal of EVMs –

7.1. When the EVMs are taken out of the strong room for dispatch the usual precaution of informing the date and time of opening of strong room in writing to the candidates/ agents shall be followed.

7.2. At the time of dispatch the Presiding Officers shall be advised to compare the machine number inscribed on the metal label and the adhesive sticker and also verify the PS no indicated on the sticker compared with PS no mentioned in the address tag before accepting the EVM. Discrepancy if any shall be brought to the notice of the officer in charge of dispatch arrangement and be reconciled.

7.3. The candidates may be advised to share the machine number of the EVM (both CU/ BU) allotted to the specific PS with their polling agent/ agents appointed by them so that they are in a position to inspect the EVM for their satisfaction before the commencement of mock poll on the poll day. The Presiding Officers shall be advised to show the machine number to the agents present before the commencement of the mock poll. It is relevant to note that as per the existing instructions the presiding officer is supposed to mention the number of CU/ CUs used; serial numbers of CU/CUs used; numbers of BU/BUs used and serial numbers of BU/BUs used at the polling station in the

Presiding Officer's Diary (refer: Chapter XVIII, Para 1 of Handbook for Presiding Officers-At elections where electronic voting machines are used, 2004). This instruction shall be scrupulously followed.

8. Replacement of EVMs during Poll –

8.1. In case of replacement of CU or BU due to reason at any polling station the sector officer or any authorized officer who effects such replacement shall prepare a special report indicating the machine number of the existing CU/BU and new CU /BU separately. The officer shall also mention in the special report, the reason of such replacement and votes polled in the replaced machine at that point of time of replacement. One copy of the special report should be left with the Presiding Officer while retaining a copy to be handed over to the

R.O.

8.2. In case replacement of EVMs becomes necessary the entire set including the CU and all the BUs shall be replaced. Before starting poll in the new EVM a mock poll will be done according to the instructions for mock poll at the beginning of the poll and a mock poll certificate will be given by the presiding officer separately for this EVM.

8.3. In case of replacement the Unique ID number of the CU and BUs which have been used after replacement should be marked against the concerned polling station in the EVM tracking software.

8.4. Reception of EVMs after Polls -The machines shall be escorted back after the poll is over to the reception centers under proper escort. After all formalities are completed, the EVMs shall be kept in strong room and the room sealed in the presence of the candidates/their agents and Observer.

9. Instructions in case of Re-poll –

9.1. In case of re-poll, the EVM required for re-poll shall be drawn from the reserve list and the CU/BU number shall be informed to the candidates/agents in writing. Care shall be taken to ensure that the address tag on the CU and BU clearly mentions it to be the EVM for use in the re-poll indicating the date and PS no.

9.2. After re-poll the strong room shall be re-opened in the presence of the candidates/ their agents and observer for the storage of the re-pollled EVM. This re-pollled EVM should be

placed together with the old EVM which was used earlier in the original poll. A tag 'Not to be counted' shall be put prominently on the old EVM and another tag 'Re-pollled EVM – to be counted' shall be put on the new re-pollled EVM. RO shall put signature on both the tags.

9.3. In case of re-poll the unique ID number of the CU and BUs used in re-poll should be entered in the EVM tracking software.

10. Instructions relating to Counting –

10.1. General Instructions –

10.1.1. Before starting the counting in the EVM the unique ID number of the CU, the Unique ID number of the Pink Paper Seal and the unique ID number of Green paper seal should be verified from the record and shown to the polling agents.

10.1.2. In Post-2006 EVMs the Poll start date and time and poll end date and time displayed on the CU should be verified from the record and shown to the polling agents.

10.1.3. During counting a close up video recording of the display on the CU should be done at all time so that every ting displayed on the CU including the the votes recorded in favour of each candidate in the CU are recorded on the video.

10.2. Procedure in case the poll start date and time or poll end date and time does not match the record –

10.2.1. In case of any discrepancy in the date and time of start and end of poll displayed in the Control Unit with Indian Standard Time of start and end of poll, the difference will be compared with the date and time noted by Presiding Officer in the mock poll certificate.

10.2.2. If the date and time difference at the time of mock poll does not match with the date and time difference of start and end of poll at the time of counting, the EVM will be kept aside and the matter will be referred to the Commission for its decision. If on other hand, the difference of date and time at the time of mock poll matches with the difference of date and time of start and end of poll noticed at the time of counting, the discrepancy will be explained to the candidates and their representatives and the votes polled in the EVM will be counted in the usual manner.

10.3. Instructions in case the Presiding officer has not pressed the close button at the end of the poll -The following action be taken during counting of votes, in such circumstances, in the presence of candidates/their authorized agents, under videography:

10.3.1. In case any Control Unit does not display result due to not-pressing of “Close” button by the Presiding Officer in the polling station at the close of poll, it should be kept back inside its carrying case and then be kept in the Returning Officer’s custody in the counting hall. Counting of votes in other machines should continue as usual.

10.3.2. When counting of votes in other machines is completed, the Returning Officer and Observer should see whether the margin of votes between the first

candidate and the runner up is more or less than the votes polled in such machine (s).

10.3.3. In both the cases where the margin of votes is more or less than the total votes polled in that machine (s), the Returning Officer/Counting Supervisor shall press the "Total" button of the Control Unit to see the total votes polled in that machine (s). In case, total votes polled in the machine (s) tallies with the total votes polled mentioned in the Form-17 C, the Returning Officer/Counting Supervisor shall press the "Close" button of the Control Unit (s) so that "Result" button can be pressed for getting result data explaining the entire issue to the candidates and/or their authorised agents and recording a proceeding in this behalf with the signatures of the candidates/their authorised agents. In such a case, the Returning Officer and Observer should send a detailed report to the Commission in the format mentioned at Annexure-9.

10.3.4. In case, total votes polled in the machine(s) does not tally with the total votes polled mentioned in the Form-17 C, the matter should be referred by the Returning Officer to the Commission for its decision and action may be taken as per the direction of the Commission. In such a case, the Returning Officer and Observer should also send a detailed report to the Commission in the format mentioned at Annexure-10, where the margin of vote between the candidates having highest vote and the runner up is more than the votes polled in the Control Unit in question, and in Annexure-11, where such margin is less.

10.4. After completion of counting, all such Control Units whether result has been retrieved from it or not, the Control Unit should be kept back inside its carrying case. The carrying cases should then be sealed once again. The Returning Officer and Observer should put their signatures on the seal. All candidates and their election agents should also be allowed to put their signature on the seal. The Control Unit should be then kept in the strong room (s).

10.5. Instructions in case of EVMs malfunctioning at the time of Counting -The following action to be taken in case of malfunctioning of EVM during counting of votes during elections:

10.5.1. In case any Control Unit does not display result, it should be kept back inside its carrying case and then be kept in the Returning Officer's custody in the counting hall. Counting of votes in other machines should continue as usual.

10.5.2. When counting of votes in other machines is completed, the Returning Officer and Observer should see whether the margin of votes between the first candidate and the runner up is more or less than the votes polled in the malfunctioned machine (s).

10.5.3. In both the cases where the margin of votes is more or less than the total votes polled in the malfunctioning machine (s), the Returning Officer

should try to retrieve the result from this machine, with the help of engineers of BEL/ECIL, using Auxiliary Display Unit (ADU) in the presence of candidates or their election agents. If the result can be retrieved by using an ADU, the result of the election can be declared accordingly. In such a case, the Returning Officer and Observer should send a detailed report to the Commission in the format mentioned at Annexure-12.

10.5.4. In case it is not possible to retrieve the result from the malfunctioning machine even by using ADU, then the Returning Officer should try to retrieve the result from the machine by taking a print out of the result with the help of engineers of BEL/ECIL. If the result can be retrieved by using a printer, the result of the election can be declared accordingly. In such a case, also the Returning Officer and Observer should also send a detailed report to the Commission in the format mentioned at Annexure-12.

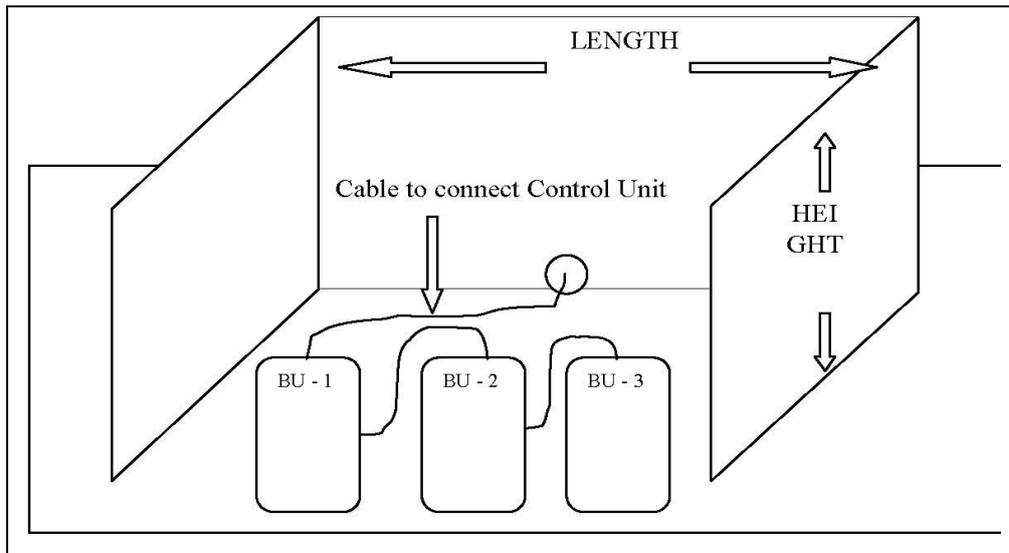
10.5.5. If the result from the malfunctioned machine can not be retrieved even by using printer, the matter should be referred by the Returning Officer to the Commission for its decision and action may be taken as per the direction of the Commission. In such a case, the Returning Officer and Observer should also send a detailed report to the Commission in the format mentioned at Annexure-13, where the margin of vote between the candidates having highest vote and the runner up is more than the votes polled in the malfunctioned EVM, and in Annexure-14, where such margin is less. In no case the machine should be opened, or its outer or inner seals disturbed in any manner. There should be no attempt to repair the machine. Replacement of any parts of the EVM in the field level is strictly forbidden.

10.5.6. After completion of counting, all the Control Units whether result has been retrieved from it or not should be kept back inside their respective carrying cases. The carrying cases should then be sealed once again. The Returning Officer and Observer should put their signatures on the seal. All candidates and their election agents should also be allowed to put their signature on the seal. The Control Unit should be then kept in the strong room (s).

Yours faithfully,

(K N BHAR)
SECRETARY

Annexure-1



NOTE: Ballot Unit placed as 'BU-1' will contain the names of candidates 1 –16;
Ballot Unit placed as 'BU-2' will contain the names of candidates 17 –32;
and so on..

Annexure-2

(Register for Preparation of EVMs)

Name of State/UT:

Name of District:

Name of Assembly/Parliamentary Constituency:

Address of Preparation of EVM hall:

Date:

S.No.	Name of candidate	Name of representative of candidates with party affiliation, if any	Identity document No. with date	Signature of candidates/ His representative	Remarks, if any.

Note: If a candidate or his representative is absent the proof of due service of notice to the candidate should be pasted in the register.

(Name and signature of engineers of BEL/ECIL with ID No.)

(Name, designation, signature of officers nominated by District Election Officer)

Annexure-2
(Certification of EVMs by BEL/ECIL)

Name of State/UT:

Name of District:

Name of Assembly/Parliamentary Constituency:

Address of hall:

Date:

It is certified that tests prescribed by BEL/ECIL to ascertain that all components are original were carried out on the Ballot Unit listed below on..... (date). On the basis of these tests it is certified that all components of the Ballot Unit listed below are original.

BU Identification No

(Name & signature of BEL/ECIL engineers with ID No.)

Annexure-4

(Mock Poll certification during Preparation of EVMs)

Date:.....

Name of State/UT:

Name of District:

Name of Assembly/Parliamentary Constituency:

Address of hall:

It is certified that I have done mock poll on..... EVMs and I am fully satisfied with the functioning of EVMs.

S.No.	Name of candidate	Name of representative of candidates with party affiliation, if any	Identity document No. with date	Signature of candidate/his representative	Remarks, if any.

Annexure-5

(Mock Poll certification during Preparation of EVMs)

Date:.....

Name of State/UT:

Name of District:

Name of Assembly/Parliamentary Constituency:

Address of hall:

It is certified that a printout of the result of mock poll as well as a sequential print out of every vote polled during the mock poll has been taken out from EVMs picked up by me. There are no discrepancies between the votes polled during the mock poll and result in the print out.

S.No.	Name of candidate	Name of representative of candidates with party affiliation, if any	Identity document No. with date	Signature of candidate/his representative	Remarks, if any.

Annexure-6

(Register for Sealing of Ballot Unit of EVMs using Pink Paper Seal)

Name of State/UT:

Name of District:

Name of Assembly/Parliamentary Constituency:

Address of hall:

Date:

It is certified that the sealing of the Ballot Units using Pink Paper Seals has been done in my presence. I have put my signature on the Pink Paper Seals after sealing of the Ballot Units and I am satisfied with the sealing of the Ballot Units.

Sl.No.	Unique ID No. of Ballot Unit	Pink Paper Seal Number

S.No .	Name of candidate	Name of political party with party affiliation, if any	Identity document No. with date	Signature of candidate/ his representative

Annexure-7
Mock Poll Certificate

This is certified that I Presiding Officer at the Polling Station No -----of -----Assembly Constituency (or the -----Assembly segment under -----Parliamentary Constituency) conducted the mock poll at ---AM today, the poll day i.e. -----, following scrupulously all the instructions issued by the Election Commission of India.

A total of -----votes were polled in the mock poll and after the mock poll I have carefully cleared the memory of the mock poll and the total votes polled showed '0' after clearing the memory.

A. At the time of mock poll the following of polling agents representing the candidates whose names mentioned against the names of such agents were present and I have obtained their signatures.

Sl.No. Name of Polling Agent Name of Party Name of candidates Signature of Polling Agent

- 1.
- 2.
- 3.
- 4.
- 5.

B. At the time of mock poll the agent of only one contesting candidate was present. After waiting for fifteen more minutes, I conducted the mock poll along with other polling staff at -----I have mentioned before the name of the agent present at the time of mock poll including the name of the candidate whom he represented.

(In case, no agent was present it shall be mentioned “ No Polling agent was present at the time of mock poll”)

Name of the agent	Name of the Candidate agent (with Party affiliation)	Signature of the
-------------------	---	------------------

Signature of micro-observer (if posted at the polling station)

Date:

Time:

Name & Signature
of the Presiding Officer
Polling Station No.....

Annexure-8
Mock Poll Certificate

This is certified that I Presiding Officer at the Polling Station No -----of -----Assembly Constituency conducted the mock poll at ----AM today, the poll day i.e. -----, following scrupulously all the instructions issued by the Election Commission of India.

A total of -----votes were polled in the mock poll and after the mock poll I have carefully cleared the memory of the mock poll and the total votes polled showed '0' after clearing the memory.

At the end of Mock Poll, I checked the date and time as shown in the display of the Control Unit (Unique ID No. of CU.....).

The CU showed the date and time as:

Date..... Time.....

The actual date and time at that point of time was:

Date..... Time.....

Discrepancy between the above date and time, if any:.....

The above mentioned process has been performed in the presence of the following agents of the political parties/candidates whose signatures are obtained below :

<u>Sl.No.</u>	<u>Name of Polling Agent</u>	<u>Name of Party</u>	<u>Signature</u> of
---------------	------------------------------	----------------------	---------------------

Polling Agent

- 1.
- 2.
- 3.
- 4.
- 5.

Date:

Time:
Presiding Officer

Name & Signature of the

Polling Station No.....

Annexure-9

(Report on getting result data from Control Unit whose CLOSE button was not pressed by Presiding Officer at the end of poll)

Name of State/UT:

Name of District:

Name of election:

Number & Name of Assembly/Parliamentary Constituency:

Date of Counting:

It is certified that the result has been obtained from the following Control Unit(s) after pressing CLOSE button in the presence of the contesting candidates/their counting agents. The result of the election has been declared taking into consideration the result from this machine as well.

SI.No.	Polling Station No.	Unique ID No. of Control Unit	Detailed Report	No. of votes polled in the Control Unit as per Form 17C

Final statement showing votes polled by the winning and runner up candidates

S.No.	Name of candidate	Party affiliation, if any	Votes polled

(Name & Signature of Returning Officer)

(Name & Signature of Observer)

Annexure-10

(Report on discrepancy in total votes shown in the Control Unit whose CLOSE button was not pressed by Presiding Officer at the end of poll and as mentioned in Form 17-C)

Name of State/UT:

Name of District:

Name of election:

Number & Name of Assembly/Parliamentary Constituency:

Date of Counting:

It is certified that the discrepancy has been noticed in the total votes polled in the following Control Unit(s), after pressing TOTAL button of the Control Unit and comparing it with the total votes polled mentioned in Form 17-C.

Sl.No.	Polling Station No.	Unique ID No. of Control Unit	No. of votes polled displayed by Control Unit on pressing TOTAL button	No. of votes polled in the Control Unit as per Form 17C	Remarks

Final statement showing votes polled by the winning and runner up candidates

S.No.	Name of candidate	Party affiliation, if any	Votes polled

As the margin of votes between the candidate having highest votes and the runner up is more than the votes polled mentioned in the Form 17C relating to the Control Unit(s) mentioned above, the matter is being referred to the Commission for permission to set aside the votes polled in the said Control Units (s) and to declare the result.

(Name & Signature of Returning Officer)

(Name & Signature of Observer)

Annexure-11

(Report on discrepancy in total votes shown in the Control Unit whose CLOSE button was not pressed by Presiding Officer at the end of poll and as mentioned in Form 17-C)

Name of State/UT:

Name of District:

Name of election:

Number & Name of Assembly/Parliamentary Constituency:

Date of Counting:

It is certified that the discrepancy has been noticed in the total votes polled in the following Control Unit(s), after pressing TOTAL button of the Control Unit and comparing it with the total votes polled mentioned in Form 17-C.

Sl.No.	Polling Station No.	Unique ID No. of Control Unit	No. of votes polled displayed by Control Unit on pressing TOTAL button	No. of votes polled in the Control Unit as per Form 17C	Remarks

As the margin of votes between the candidate having highest votes and the runner up is less than the votes polled mentioned in the Form 17C relating to the Control Unit(s) mentioned above, the matter is being referred to the Commission for appropriate orders.

Statement showing votes polled by the candidates having highest votes and runner up

S.No.	Name of candidate	Party affiliation, if any	Votes polled

(Name & Signature of Returning Officer)

(Name & Signature of Observer)

Annexure-12

(Report on retrieving result data from Control Unit by using Auxiliary Display Unit and Printer)

Name of State/UT:

Name of District:

Name of election:

Number & Name of Assembly/Parliamentary Constituency:

Date of Counting:

It is certified that the result has been retrieved from the following malfunctioned Control Unit (s) by using Auxiliary Display Unit/Printer in the presence of the contesting candidates/their counting agents. The result of the election has been declared taking into consideration the result from this machine as well.

Sl.No.	Unique ID No. of Control Unit	Detailed Report	No. of votes polled in the malfunctioned Control Unit as per Form 17C

Final Statement showing votes polled by the winning and runner up candidates

S.No.	Name of candidate	Party affiliation, if any	Votes polled

(Name & Signature of Returning Officer)

(Name & Signature of Observer)

Annexure-13

(Report on non-retrieving result from Control Unit by using Auxiliary Display Unit and Printer)

Name of State/UT:

Name of District:

Name of election:

Number & Name of Assembly/Parliamentary Constituency:

Date of Counting:

It is certified that the result could not be retrieved from the following malfunctioned Control Unit (s) by using Auxiliary Display Unit and Printer.

SI.No.	Unique ID No. of Control Unit	Detailed Report	No. of votes polled in the malfunctioned Control Unit as per Form 17C

Final statement showing votes polled by the winning and runner up candidates

S.No.	Name of candidate	Party affiliation, if any	Votes polled

As the margin of votes between the candidate having highest votes and the runner up is more than the votes polled in the malfunctioned Control Unit (s) mentioned above, the matter is being referred to the Commission for permission to set aside the votes polled in the said Control Units (s) and to declare the result.

(Name & Signature of Returning Officer)

(Name & Signature of Observer)

Annexure-14

(Report on non-retrieving result from Control Unit by using Auxiliary Display Unit and Printer)

Name of State/UT:

Name of District:

Name of election:

Number & Name of Assembly/Parliamentary Constituency:

Date of Counting:

It is certified that the result could not be retrieved from the following malfunctioned Control Unit (s) by using Auxiliary Display Unit and Printer.

Sl.No.	Unique ID No. of Control Unit	Detailed Report	No. of votes polled in the malfunctioned Control Unit as per Form 17C

Statement showing votes polled by the candidates having highest votes and runner up

S.No.	Name of candidate	Party affiliation, if any	Votes polled

(Name & Signature of Returning Officer)

(Name & Signature of Observer)

Annexure-A
(Register for First Level Checking of EVMs)

Name of State/UT:

Name of District:

Address of FLC hall:

Date:

S.No.	Name of National/State Party	Name of representative of political party with party affiliation	Identity document No. with date	Signature of representative	Remarks, if any.

Note: If the representative of a party is absent the proof of due service of notice to the party should be pasted in the register.

(Name and signature of engineers of BEL/ECIL with ID No.)

(Name, designation, signature of officers nominated by District Election Officer)

Annexure-B
(Certification of EVMs by BEL/ECIL)

Name of State/UT:

Name of District:

Address of hall:

Date:

It is certified that tests prescribed by BEL/ECIL to ascertain that all components are original were carried out on the EVMs listed below on..... (date). On the basis of these tests it is certified that all components of the EVMs listed below are original.

CU Identification No.	BU Identification No.

(Name & signature of BEL/ECIL engineers with ID No.)

Annexure-C

(Mock Poll certification during First Level Checking of EVMs)

Date:.....

Name of State/UT:

Name of District:

Address of hall:

It is certified that I have done mock poll on..... EVMs and I am fully satisfied with the functioning of EVMs.

S.No.	Name of National/State Party	Name of representative of political party with party affiliation	Identity document No. with date	Signature of representative	Remarks, if any.

Annexure-D

(Mock Poll certification during First Level Checking of EVMs)

Date:.....

Name of State/UT:

Name of District:

Address of hall:

It is certified that a printout of the result of mock poll as well as a sequential print out of every vote polled during the mock poll has been taken out from EVMs picked up by me. There are no discrepancies between the votes polled during the mock poll and result in the print out.

S.No.	Name of National/State Party	Name of representative of political party with party affiliation	Identity document No. with date	Signature of representative	Remarks, if any.

Annexure-E
(Register for Sealing of Control Unit of EVMs using Pink Paper Seal)

Name of State/UT:
Name of District:
Address of FLC hall:
Date:

It is certified that the sealing of the Control Units using Pink Paper Seals has been done in my presence. I have put my signature on the Pink Paper Seals after sealing of the Control Units and I am satisfied with the sealing of the Control Units.

Sl.No.	Unique ID No. of Control Unit	Pink Paper Seal Number
S.No.	Name of National/State Party	Name of representative of political party with party affiliation
	Identity document No. with date	Signature of representative
	(Name, designation, signature of officers nominated by District Election Officer)	

INSTRUCTION SL. NO. 14

Election Commission's letter No. 51/8/7/2009/EMS Date: 23.01.2009 addressed to the Chief Electoral Office of All the State/Union Territories.

Subject: - Accountability for spreading awareness about EVM voting in rural and remote areas.

I am directed to say that the introduction of EVM in elections in India took place in phases and finally the universal use of EVM at all polling stations in a general election became a reality in 2004. At that time, the Commission took various initiatives to spread the awareness about EVM among all sections of voters. After that in various general elections to Legislative Assemblies, the EVMs have been used. The fact that EVMs have been repeatedly used in all elections in recent times should not result in EVM awareness being taken for granted. There is a need to renew the campaign as there are millions of voters who have become eligible to be voters in the meanwhile and there is a considerable gap between one general election and another general election.

For spreading EVM awareness among rural voters, various innovative methods can be used but there is no substitute for giving an opportunity to the voter to handle the EVM prior to the election so that the voter has 'hands on experience' about voting using EVM even before elections. Considering the need for giving a 'hands on training' to the voters on EVMs, the Commission has issued the following directions based on some methods adopted in Orissa during general election in 2004.

1. Accountability for taking EVM to all villages and hamlets should be fixed on a govt. servant at the Gram Panchayat level by identifying one govt. servant for each Gram Panchayat who would be the nodal point for spreading awareness about EVM in all villages and hamlets coming under that Panchayat. In view of the fact that the BLO system in the meanwhile has become operational and functioning successfully, if the CEO desire he can create such accountability polling station-wise instead of Gram Panchayat-wise so that the EVM awareness spread in all villages and hamlets coming under that polling station area by the officer responsible for it, may be

BLO. Whether the Gram Panchayat approach is adopted or the polling station approach is adopted, the accountability factor should be very clear.

2. The CEO should fix a time frame for a focused campaign so that the time frame is used uniformly throughout the State. It is relevant to note that such a focused approach in itself will generate its own momentum.
3. The DEO will direct the Sub-Divisional Officers/BDOs/ARO of the assembly segment to identify Gram Panchayat-wise or polling station-wise officer-in-charge of this exercise and approve the same. A directory of such GP-wise or PS-wise nodal officers will be maintained by DEO/ARO for his respective jurisdiction.
4. The DEO will make the required number of EVMs needed for this campaign for the specific period. The EVM used for this purpose should bear the label indicating "T" (meaning Training).
5. A schedule for this awareness campaign should be prepared by the DEO assembly segment-wise and he should give adequate publicity by informing the media about the campaign. Leaflets shall be printed in this regard and circulated in the villages.
6. During the schedule for campaign, the nodal officer will carry the EVM and give exposure to the voters by convening Gram Sabha/Palli Sabha meeting at village/hamlet level. The campaign should make use of such locations where the people normally congregate in the rural and tribal areas for example, the weekly Hat (weekly market place) is an important place where the public congregate once in every week.
7. During the campaign period, supervisory officers such as SDOs/BDOs should take test check to find out whether the schedule is being followed by the nodal officers. At the end of the campaign, the nodal officer shall submit a certificate in the proforma attached to this letter. This certificate will be given by the nodal officer to the BDO and the BDO send a similar certificate to the SDO who in turn will send a certificate to the DEO. Finally, the CEO will obtain a certificate about EVM awareness in all the villages and hamlets of

the district from the respective DEOs. A clear timetable should be adopted for this purpose. No deviation shall be allowed in this matter.

8. Simultaneously, before launching of the campaign period, the CEO will identify one officer for each district who will be called "EVM awareness observer". This officer should be preferably drawn from various Departments in headquarters. Alternatively, this officer can be identified with the help of Divisional Commissioner (if the Divisional Commissioner system exists in the State) from within the Division. Immediately after the campaign period at the district level is over, this EVM awareness observer will be deputed to the field. They should be given independent mobility. These officers will obtain the details of awareness campaign done in a district and travel at random in the interior pockets and meet the voters at random in market places/villages and check up with them as to whether they had seen the EVM and whether they are aware about the EVM voting. For this purpose, these officers should also carry one EVM with them wherever they travel. In case of the observer finding that EVM exposure was not done at the village level and the voters were not aware of the EVM voting, they should bring that fact immediately to the notice of the DEO and local officer concerned. Simultaneously, they will also inform the CEO's office. Observer should also submit a consolidated report after their return to the headquarters. Whenever such lack of awareness is detected, the DEO/ARO of the assembly segment should make immediate intervention for creating further awareness and he should also simultaneously fix up responsibility on the officer who was responsible for creating awareness during the campaign period and initiate necessary disciplinary action against that officer.
9. After the campaign period is over, after obtaining certificate from all the DEOs and after obtaining report from the EVM awareness observer, the CEO should send a report to the Commission's headquarters to Sh. K.N. Bhar, Under Secretary (Fax-011-23052014, E-mail: knbhar@eci.gov.in..

10. For the purpose of spreading EVM awareness, the Commission in the past had prepared audio visual campaign materials which are available in the Commission's website and already been circulated to the CEOs also, in the past. Besides, the CEOs at their level also have prepared audio visual campaign materials in the respective vernacular language for the purpose of better dissemination. The CEOs may review the availability of such audio visual campaign materials. They should also finalize suitable posters and leaflets for spreading EVM awareness in the rural areas. Besides, CEO should also make use of the services of the Directorate of Field Publicity and Song & Drama Division of Ministries of Information & Broadcasting may be made use of. The CEO should take initiative to explore various folk medium that would attract the attention of rural voter in the process of EVM awareness.

This instruction may be brought to the notice of all concerned and be followed without any deviation.

INSTRUCTION SI. No.15

**ELECTION COMMISSION OF INDIA
NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001**

K.N.Bhar
Under Secretary

No.51/8/2009-EMS

Dated: 12th February, 2009

To

The Chief Electoral Officers of
All States and Union Territories.

**Subject: Introduction of Braille Signage Features in Electronic Voting
Machines.**

Sir,

The Commission has been receiving representation from the various Associations of Visually impaired person for introduction of Braille signage features in the EVMs to facilitate the visually impaired voters to cast their votes without the help of attendant. The Commission considered the proposal in detail and tried the Braille signage feature in the EVMs during the bye-election to the Legislative Assembly of Andhra Pradesh from 123-Asfnagar Assembly Constituency held during September-October, 2004. In 2005, it was tried in one of the constituency during the Assembly elections of Bihar, Jharkhand and Haryana. In 2006, it was tried in one of the constituency of the States of Assam, West Bengal, Tamil Nadu, Pondicherry and Kerala during Assembly elections. In 2008, it was tried in all the assembly constituencies of NCT of Delhi during Assembly election.

The Commission has decided to introduce similar Braille signage features on the Electronic Voting Machines to be used during the ensuing General Election to Lok Sabha 2009 and simultaneous Assembly elections in some States. The Commission has directed that the following additional arrangements will be made to facilitates visually imparted electors to exercise their franchise:-

- (i) The numeric stickers in Braille denoting the serial numbers of the candidates will be prepared by the Chief Electoral Officers with the assistance of the manufacturers of EVM i.e. Bharat Electronics Limited, Bangalore and Electronics Corporation of India Limited, Hyderabad, as the case may be or Devnar School for Blind/National Association for Blind or from any other

source available for this purpose. The States and Union Territories having new version EVMs (2006 + models) are not required to prepare such numeric stickers, as new version EVMs have embedded such serial numbers of the candidates at the right of the blue button (voting button) on the Balloting Units.

- (ii) These stickers will be pasted to the right of the blue button (voting button) on the Balloting Unit by the Returning Officer/Assistant Returning Officer, in the presence of the candidates or their authorized agents.
- (iii) The Returning Officer will send the list of contesting candidates to the Devnar Foundation for Blind/National Blind Association or to any other source available for this purpose immediately after the last date of withdrawal of candidatures for preparation of Dummy Ballot Sheets which will contain the serial numbers of the contesting candidates, names of the candidates arranged in the same serial order in which their names will appear on the ballot paper on the Balloting Unit. The party affiliation of each of the candidates will be indicated by printing the name of the political party concerned against the name of the candidate. In the case of independent candidates, the word "Independent" will be printed. These Dummy Ballot Sheets will be prepared in English only and one copy of the sheets will be supplied to each of the polling stations.
- (iv) The Dummy Ballot Sheets mentioned in (iii) above will be printed in Braille signage by Devnar School for Blind/National Association or by any other source available for this purpose for Blind under the supervision of one responsible officer of the office of Chief Electoral Officer of the concerned State/Union Territory for which the Chief Electoral Officer of the State/Union Territory will liase with the Devnar School for Blind/National Association or any other source available for this purpose and the manufacturers of EVM i.e. Bharat Electronics Limited, Bangalore, as the case may be. The Dummy Sheets will have the name of the constituency written in normal script also at the top. The language "English" will also be written in normal script to distinguish the language version of the Dummy Ballot Sheet.
- (v) Each such sheet prepared for every polling station will be certified by the Commissioner of Disabilities of concerned State or his authorized representative who will be an official knowing Braille.

- (vi) The concerned District Election Officer and the Returning Officer will hold detailed training and briefing sessions for the Presiding Officers and the Polling Officers to explain to them these measures as they are being introduced for the first time in all the polling stations in your State/Union Territory for the visually impaired electors.
- (vii) The Chief Electoral Officer will also arrange for wide publicity of these measures through advertisements in newspapers and TV etc. to inform the visually impaired voters of the arrangements being made for them.
- (viii) At the polling station, the visually impaired persons will also be allowed the facility of a companion as provided for in Rule 49N of Conduct of Elections Rules, 1961, regardless of the new facility being introduced.
- (ix) Inside the polling station the visually impaired voter will be given the Dummy Ballot Sheet in Braille by the Presiding Officer on his/her request if he/she prefers to cast his/her vote with the help of same. After he/she has studied the contents of Dummy Sheet he/she will be allowed to proceed to the voting compartment to cast his/her vote. Such electors after reading the Dummy Ballot Sheet will be able to cast their vote by reading the serial number of the candidate of their choice on the numeric sticker fixed on the EVM. If the elector so desires, the companion will be allowed to accompany the voter to the voting compartment as provided in Rule 49N of the Conduct of Elections Rules, 1961.
- (x) After the voter has cast his/her vote, the Presiding Office will ensure that the Dummy Sheet delivered to the voter is returned to the Presiding Officer.
- (xi) After the close of Poll, the Dummy Ballot Sheet shall be sealed in separate cover superscribed "Dummy Ballot Sheets" for the visually impaired and sent to the Returning Officer along with other Non-Statutory forms.
- (xii) The Presiding Officer shall keep a record of all such voters (who avail of the facility of Braille Sheet) in the enclosed proforma and will submit the same to the Returning Officer along with the EVM. These details will be kept separately in the office of Returning Officer. The Returning Officer will consolidate all the information supplied by the Presiding Officers in this regard and submit the same to the Commission through the Chief Electoral Officer after declaration of result.

2. You are requested to kindly take all appropriate steps for the implementation of above directions of the Commission. The concerned District Election Officers and Returning Officers should be informed of the above directions of the Commission immediately. All political parties and contesting candidates may also be called by the District Election Officers and briefed about the measures to be taken in this regard.

3. Kindly acknowledge the receipt.

Yours faithfully,

(K.N.Bhar)

Copy for information and necessary action to: -

1. The National Association for the Blind, Sector-5, R.K.Puram, New Delhi.
2. Devnar Foundation for the Blind, Plot No.185, Road No.1, West Marredpally, Secunnderabad-500026, Andhra Pradesh.
3. Bharat Electronics Limited, Nagavara, Outer Ring Road, Bangalore-560045, Karnataka.
4. Electronics Corporation of India Limited, MCU Complex, Phase-II, IDA Cherapalli, PO. HCL, Hyderabad-500051, Andhra Pradesh.

PROFORMA FOR

REPORT ON THE VOTING BY THE VISUALLY IMPAIRED VOTERS

No. and the Name of the Assembly/Parliamentary
Constituency.....
No. and Name of the Polling
Station.....
Name of the Presiding
Officer.....

S.No.	Number of visually impaired voters turned up for voting	Number of such voters who used dummy ballot sheet in Braille	Number of such voters who did not use dummy ballot sheet and preferred to vote using the provision of Rule 49N	Number of voters who used both the facilities.

(Signature of the Presiding Officer)

INSTRUCTION SI. No. 16

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001

No.51/8/2009-EMS

Dated: 18th March, 2009

To

The Chief Electoral Officers of
All States and Union Territories.

Subject: Introduction of Braille Signage Features in Electronic Voting Machines.

Sir/Madam,

I am directed to refer to the Commission's instructions dated 12th February, 2009 and to state that some Chief Electoral Officers have expressed their inability to implement the Braille Signage feature in all the polling stations on the ground that not much Braille literate electors are available in all the polling stations of the States. The Commission has considered this and has decided that the Chief Electoral Officers may quickly conduct a survey for their State/UT and find out whether the opinion mentioned above is correct in respect of their States/UTs also. In such a case, the Chief Electoral Officers may consider to adopt a model followed by National Institute for the Visually Handicapped, Dehradun since 2004 where they set up an auxiliary polling station for the Braille literate electors in their institute to facilitate the casting of votes by the visually impaired electors without companion. One ballot paper was printed in Braille Signage and was kept with Presiding Officer for the use of visually impaired electors and another in normal font was kept on the ballot unit of the EVM. Chief Electoral Officers having difficulty to implement the scheme of Braille Signage Feature in all the polling stations of their States/UTs may like to consider to adopt this alternative model in the polling station area where there is presence of Braille literate electors. To assist them in logistics for implementation of this scheme, they may identify the agency at their level according to their convenience.

Yours faithfully,
(K.N.Bhar)

INSTRUCTION SI. No. 17

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi- 110 001.

No.464/INST/2009/EPS

Dated :12th May, 2009

To

The Chief Electoral Officer of All States/Union Territories.

Sub: General Elections-2009-Security Measures for Electronic Voting Machine-regarding.

Sir/Madam,

The Commission vide it's letter No.464/OBS/EVM/2004, dated 12.04.2004 (copy enclosed) had issued instruction regarding the security and safety of electronic voting machines. During the General Election 2009 states would have to deploy respective State Armed Police to guard strong rooms containing polled EVMs due to the non-availability of CPF. The Commission has further reviewed the matter and has directed that the following measures be adopted for the Lok Sabha/Assembly Election 2009 in addition to the instructions mentioned in the Commission's above mentioned letter: -

- (i) Keys of the EVM strongroom should be in safe custody with the RO/DEO. The candidate should be allowed to put their own seal/lock etc. on the strong room and keep the keys with them.
- (ii) Arrangements should be made for candidates representative (not more than one each shift per candidate) to keep watch and guard the strong room.
- (iii) Video Cameras or CCTV Cameras should be installed out side strong rooms and such video recordings should be kept in safe custody.
- (iv) All contesting candidates should be intimated in writing to depute their representatives in the strong rooms to keep a close watch on security arrangement of Strong Room.
- (v) Sufficient number of police personnel should be deployed for the security of strong rooms.
- (vi) A control room adjacent to the Strong Room be opened round the clock;

- (vii) A Gazetted officer along with a police officers should be put on duty round the clock for monitoring the security arrangements of Strong Room.
- (viii) There should be sufficient arrangement of fire extinguishers near and inside the strong rooms.
- (ix) There should be an arrangement round the clock for the security of EVMs which have been segregated and stored after being used for training purposes.
- (x) District Election officer and Senior Superintendent of Police/Superintendent of Police would be completely responsible for the security of the EVM Strong Room and unused EVMs. They will analyse the arrangements and will ensure the security arrangements for EVM by visiting the place.

In this connection it is further directed that the DEOs should furnish a final report in the prescribed check list Annexure – 1 to the Chief Electoral Officers. The Chief Electoral Officers should ensure that the check list duly filled are received by them.

Yours faithfully,

(SUMIT MUKHERJEE)
UNDER SECRETARY

Annexure-1		
S. No.	Information to be furnished	Remarks
1.	Video Cameras or CCTV Cameras have been installed in strong rooms and the video recordings are kept in safe custody.	Yes/No
2.	All contesting candidates have been intimated in writing to depute their representatives in the strong rooms to keep a close watch on security arrangement of Strong Room.	Yes/No
3.	Sufficient number of police personnel has been deployed for the security of strong rooms.	Yes/No
4.	A control room adjacent to the Strong Room has been opened round the clock;	Yes/No
5.	A Gazetted officer along with a police officers has been put on duty round the clock for monitoring the security arrangements of Strong Room.	Yes/No
6.	Sufficient arrangements for installation of fire extinguishers in the strong rooms have been made.	Yes/No
7.	Arrangements have been made for round the	Yes/No

Instruction Sl. No. 18
ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi-110001

No.464/INST/2009/EPS

Dated : 12th May, 2009

To

The Chief Electoral Officer of All States/Union Territories.

Sub: General Elections-2009-Security Measures for Electronic Voting Machine-regarding.

Sir/Madam,

In continuation of the Commission's earlier letter of even No. dated 12.05.2009 on the above subject, I am directed to state that paras (i) & (iv) of the said letter may be substituted and read as under :

- Para (i) "Keys of the EVM strongroom should be in safe custody with the RO/DEO. The candidates should be allowed to put their own seal on the strong room".
- Para (iv) "A control room be opened in the premises from where watch may be kept on the strong room security". This may be brought to the notice of all the concerned.

Yours faithfully,

(SUMIT MUKHERJEE)
UNDER SECRETARY

INSTRUCTION SI. No.19

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001

No.51/8/6/2010-EMS

Dated: 3rd May, 2010

To

The Chief Electoral Officers
Of all States and Union Territories

Sub: Storage and Safety arrangement of EVMs- regarding.

Sir,

The Election Commission of India, from time to time, has been issuing instructions on the various aspects of the EVM viz. storage, movement, physical verification. In consolidation of earlier instructions in these regards and for greater transparency on use of EVMs, the Commission has decided that the following instructions to be followed meticulously without any deviation:-

1. STORAGE, MOVEMENT AND PHYSICAL VERIFICATION OF EVMs

STORAGE

A. Action to be taken immediately

- I. A committee consisting of officer nominated by Deputy Election Officer (not below the rank of Sub-Divisional Magistrate), Election Supervisor and Warehouse-in-charge of EVMs shall be constituted for every district.
- II. This committee shall carry out 100% physical verification of EVMs in the district. 100% physical verification for the current year shall be completed before 30th May, 2010. Details of all EVMs in the district shall be entered in Master Stock Register (MSR). MSR shall be maintained warehouse-wise for every district in the format given in **Annexure –A**. Every EVM in the district whether in working condition or not must be entered in the MSR.

Annexure-A
(Master Stock Register)
Part- A (Control Unit)

Name of State/Union Territory:

Name of District:

Name of sub-division/taluka:

Address of Warehouse:

CU ID No.	Box No.	Name of Manufacturer	Year of Manufacture	Date of Receipt	Receipt Challan No.	Date on receipt challan	Name and designation of receiver	Signature of receiver	Signature of Warehouse e-incharge	Remarks
1	2	3	4	5	6	7	8	9	10	11

Part-B (Ballot Unit)

BU ID No.	Box No.	Name of Manufacturer	Year of Manufacture	Date of Receipt	Receipt Challan No.	Date on receipt challan	Name and designation of receiver	Signature of receiver	Signature of Warehouse e-incharge	Remarks
1	2	3	4	5	6	7	8	9	10	11

- III. After complete physical verification of EVMs and entry of their details in the MSR, the committee given in point no. (i) shall certify on the MSR that physical verification of EVMs has been carried out and the details of all EVMs available in the district have been entered in the MSR of respective warehouse as well as in the Ledger of EVMs. In the ledger of EVMs every page should be allocated each of the CU for CU-Ledger and BU for BU-Ledger in the format given in **Annexure-AA**. Based on this certificate, the DEO shall send a certificate in the format given in **Annexure - B** to the Commission through the CEO of the concerned States/UTs.

Annexure-AA

CU ID No.	Box No.	Name of Manufacturer	Year of Manufacture	Date of Receipt	Receipt Challan No.	Date on receipt challan	Present Status (Available in warehouse)	If not available then reason thereof.	Date of movement from warehouse	Remarks
1	2	3	4	5	6	7	8	9	10	11

Part-B (Ballot Unit)

BU ID No.	Box No.	Name of Manufacturer	Year of Manufacture	Date of Receipt	Receipt Challan No.	Date on receipt challan	Present Status (Available in warehouse)	If not available then reason thereof.	Date of movement from warehouse	Remarks
1	2	3	4	5	6	7	8	9	10	11

Annexure-B
(Physical Verification Report)

Name of State/Union Territory:

Name of District:

Name of warehouse	Location of warehouse	No. of Control Units in warehouse		No. of Control Units physically verified		No. of Control Units not in warehouse as mentioned in Master Stock Register		No. of Ballot Units in warehouse as mentioned in Master Stock Register		No. of Ballot Units physically found verified		No. of Ballot Units not in warehouse	
		BEL	ECIL	BEL	ECIL	BEL	ECIL	BEL	ECIL	BEL	ECIL	BEL	ECIL

Note- Reasons for EVM for not being available in warehouse.

(Signature of District Election Officer)

B. Method of storage of EVMs

- i. As far as possible EVM should be stored in Treasury.
- ii. EVMs must be stored in a separate warehouse where nothing other than EVM is kept.
- iii. Normally the EVMs should be stored at District Head Quarters.
- iv. However, if it is not possible to store the EVMs at District HQ, then EVM storage warehouse should not be at a place below Tehsil headquarter.
- v. EVM storage warehouse must not have more than one (1) entry point. If there are any other doors or windows in the warehouse, they should be sealed using brick-masonry or concrete.
- vi. Entry of EVM storage warehouse must be secured by a double lock system, which should be held jointly by two separate officers to be nominated by the DEO. One of whom will be not below the rank of Sub-Divisional Magistrate.
- vii. Warehouse must be kept in such a manner that there is no dampness, pests, rodents etc. Proper fire-fighting arrangement must be available. Warehouse should be free from flood/water logging risk/cracks/leakage/broken window etc.. EVMs must be kept in a safe manner.
- viii. Adequate security arrangement to be made at warehouse by deputing police/security guard.

C. Procedure for opening/closing of warehouse.

- i. The DEO shall nominate one (1) officer not below the rank of Tehsildar to be in-charge of every EVM warehouse.
- ii. Warehouse will be opened only on the written orders of the DEO and not otherwise.
- iii. Since the warehouse containing the EVMs will be under double lock system, both officers nominated by the DEO, to maintain the double lock system, must be physically present at the time of opening and closing of the warehouse. They will insert their own keys in the lock and will not give their key to any other officer for this purpose.
- iv. National and State recognized political parties shall be informed in writing at least 24 hours in advance about the date and time of opening and closure of EVM warehouse. Their authorised representatives will be allowed to be present at the

time of opening and closure of the warehouse and their signature shall be taken in a logbook to be maintained for this purpose. Format of the logbook is given in **Annexure-C**. The entire process should be videographed.

**Annexure-C
(Log Book)**

Part- A (Control Unit)

Master Stock Register No.	Purpose of opening of warehouse (Poll/ Training/ Voter awareness/ maintenance/ diagnostic test/physical verification)	Name & Signature of recognized political parties or their representatives with party affiliation	Signature of Warehouse-in-charge

Part-B (Ballot Unit)

Master Stock Register No.	Purpose of opening of warehouse (Poll/ Training/ Voter awareness/ maintenance/ diagnostic test/physical verification)	Name & Signature of recognized political parties or their representatives with party affiliation	Signature of Warehouse-in-charge

MOVEMENT OF EVMs

- i. EVMs shall not be moved in and out of the EVM warehouse without the written orders of the DEO in the format given in **Annexure -D**.

Annexure-D

(to be pasted in the Log Book after opening of the warehouse)

Permission is hereby granted to open the EVM warehouse located at.....on(date) at.....(time).

The following officers are authorized to open the double lock and will remain physically present at the time of opening/closing of the warehouse: -

- 1.....
- 2.....

The warehouse is being permitted to be opened for the following purpose in presence of representatives of the following political parties (with affiliation)

(Signature of District Election Officer)

- ii. The order shall clearly state the purpose of movement, whether the movement is temporary or permanent, and if it is temporary, the period for which EVM is being sent out of the warehouse. The order shall also clearly state the name and designation of the officer who shall be receiving the EVM from the warehouse.
- iii. On receiving the written order of the DEO, the warehouse in-charge shall identify the EVMs to be moved out and make an entry of the details of such EVMs in a movement register to be kept by him as given in the format in **Annexure -E**. If the EVM is being moved out permanently then an entry to that effect shall be made in the remarks column of MSR. The warehouse incharge shall take a written acknowledgement from the officer who is receiving the EVM in the format given in **Annexure-F** as proof of having received the EVMs.

Annexure-E

(Movement Register)

Part- A (Control Unit)

Master Stock Register No.	CU ID No.	Purpose of sending CU (Poll/ Training/ Voter awareness/ maintenance/ diagnostic test etc.)	Whether the movement of CUs is temporary or permanent.	When CUs are returned/received to warehouse.	Name & designation of the officer who shall be receiving/ returning CUs from/to warehouse	Name & Signature of recognized political parties or their representatives with party affiliation

Part-B (Ballot Unit)

Master Stock Register No.	BU ID No.	Purpose of opening BU (Poll/ Training/ Voter awareness/ maintenance/ diagnostic test etc.)	Whether the movement of BUs is temporary or permanent.	When BUs are returned/ received to warehouse.	Name & designation of the officer who shall be receiving/ returning BUs from/to warehouse	Name & Signature of recognized political parties or their representatives with party affiliation

*** Permanent means that the EVM is not be returned to the warehouse.**

Annexure-F

I,.....(Name, designation, Official Address) have received.....[list of ID Number of all EVMs (Ballot Unit and Control Unit separately) signed by Store-in-charge] from(Name of Warehouse-in-charge) of EVM warehouse located at.....on(date) at.....(time), for the following purpose.....

(Signature of Receiver)

- iv. When EVMs are returned to the warehouse an entry to that effect shall be made in the movement register and the warehouse in charge shall give a written receipt in the format given in **Annexure-G** to the officer who has returned the EVMs to the warehouse.

Annexure-G

I,.....(Name of Warehouse-in-charge, designation, Official Address) of EVM warehouse located at.....have received.....[list of ID Number of all EVMs (Ballot Unit and Control Unit separately)] from(Name of officer who has returned the EVMs) on(date) at.....(time).

(Signature of Warehouse-in-charge)

- v. On the days when the EVM warehouse is opened, a stock statement in the format given in **Annexure -H** shall be prepared by the warehouse in-charge. Even if

EVM warehouse is not opened for the entire month, a stock statement in **Annexure -H** shall be prepared once a month by the warehouse in-charge and sent for the perusal of the DEO on the last working day of the month.

Annexure-H

Name of State/Union Territory:
Name of District:
Name of sub-division/taluka:
Address of Warehouse:

Name of Officer-in-charge of warehouse (with designation):

1. Total No. of EVMs in Master Stock Register-.....
2. Total No. of CUs outside the warehouse-.....
 - a. For poll-.....
 - b. For Training-.....
 - c. For Voter awareness-.....
 - d. For maintenance-.....
 - e. For diagnostic test-.....
3. Total No. of CUs in the warehouse-.....
4. Total No. of BUs outside the warehouse-.....
 - a. For poll-.....
 - b. For Training-.....
 - c. For Voter awareness-.....
 - d. For maintenance-.....
 - e. For diagnostic test-.....
5. Total No. of BUs in the warehouse-.....

(Name, designation and signature of Warehouse-in-charge)

- vi. An account of the EVM in usable and in unusable condition shall also be kept in **Annexure-I**.

Annexure-I

Name of Warehouse	Location of warehouse	No. of Control Units with ID Nos. in warehouse		No. of Control Units with ID Nos. Which are usable		No. of Control Units with ID Nos. which are unusable		No. of Ballot Units with ID Nos. in warehouse		No. of Ballot Units with ID Nos. which are usable		No. of Ballot Units with ID Nos. which are unusable	
		BEL	ECIL	BEL	ECIL	BEL	ECIL	BEL	ECIL	BEL	ECIL	BEL	ECIL

(Signature of Warehouse-in-charge)

QUARTERLY PHYSICAL VERIFICATION OF EVMs

100% physical verification of EVMs shall be carried out by the Committee mentioned at point no. (i) above every quarters in the month of January, April, July and October so that the report of the same is made available to the Commission through the CEO of the concerned States/UTs by 20th of the next month. 100% physical verification for the current quarter will be done by 30th May, 2010 so that the report is received in the Commission through the Chief Electoral Officer latest by 15th June 2010. If there is an election during the year, the DEO shall carry out 100% physical verification of EVMs during the First Level Checking of the EVMs and send the report to the Commission through Chief Electoral Officer.

Yours faithfully,

(K.N. Bhar)
Under Secretary

INSTURCTION SI. No. 20

ELECTION COMMISSION OF INDIA

NIRVACHAN SADAN, ASHOKA ROAD, NEW DELHI-110001.

No.464/INST/2011/EPS

Dated: 19th March 2011

To,

The Chief Electoral Officers of

1. Assam, Dispur
2. West Bengal, Kolkata
3. Kerala, Thiruvananthapuram
4. Tamil Nadu, Chennai
5. Puducherry, Puducherry

Subject: - General Election to the State Legislative Assembly to West Bengal, Tamil Nadu, Assam, Kerala and Puducherry – regarding.

Sir/Madam,

The Commission vide its letters No. 464/INST/2009/EPS dated 12th May, 2009 issued detailed guidelines regarding security and safety measure for EVM in Strong Room (copy enclosed).

Now the Commission has reviewed the matter and has decided as under: -

1. In addition to deployment of CPF, State police force shall also be deployed in adequate number for each hall of the strong room to guard the Strong Room containing polled EVM and election related documents.
2. As per Standing Instructions it is reiterated that Video Cameras or CCTV Cameras should be installed outside the strong rooms and such video recordings should be kept in safe custody.
This should be brought to the notice of all concerned.

Yours faithfully,

**(SUMIT MUKHERJEE)
UNDER SECRETARY**

INSTURCTION SI. No.21
ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi 110 001

No.464/INST/2013-EPS,

Dated: 12th April, 2013

To

The Chief Electoral Officers of
All the States and UTs.

Sub: Fixing of Uniform rates of remuneration for payment to staff reserved for the completion of polling/ counting process – Regarding.

Sir/Madam,

The Commission has received complaints that staff kept on reserved duty is not paid honorarium by same rates. The Commission has directed that staff on reserve should be paid honorarium at the same rate as the staff which is put on actual duty.

Yours faithfully,

(Sumit Mukherjee)
Secretary

INSTRUCTION SI. No.22

ELECTION COMMISSION OF INDIA

Nirvachan Sadan, Ashoka Road, New Delhi 110 001

No.464/Hon/2012/EEPS/1057,

Dated: 23rd April, 2012

To

The Chief Electoral Officers of
Uttar Pradesh
Punjab
Uttarakhand
Manipur
Goa.

Sub: Grant of Honorarium to the Assistant Expenditure Observers appointed in the General Elections to the Legislative Assemblies of Uttar Pradesh, Punjab, Uttarakhand, Manipur and Goa, 2012 – Regarding.

Sir,

I am directed to state that the Commission has decided to sanction an honorarium to each Assistant Expenditure Observer who were deployed for General Elections to the Legislative Assemblies of Uttar Pradesh, Punjab, Uttarakhand, Manipur and Goa by taking the following criteria:-

S. No.	No. of days during which election duty rendered.	Amount to be disbursed to Assistant Expenditure Observers
1.	Below 10 days	Nil
2.	10 days but below 15 days	Rs. 1500/-
3.	15 days but below 30 days	Rs. 3500/-
4.	30 days and above	Rs. 5000/-

You are therefore requested to kindly intimate immediately the financial implications of the honorarium (along with the list of officers vis-à-vis amount) to be paid to them.

3. The amount after confirmation from the Commission shall be disbursed from the office of the Collectors/DEOs and will be reimbursed from the budget grant of Election Commission of India.

Yours faithfully,

(Sumit Mukherjee)
Secretary